



# United States Department of the Interior



FISH AND WILDLIFE SERVICE  
International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

APR 18 2018

IN REPLY REFER TO:  
FWS/DMA/CB004

Ms. Bethany McMartin

(b) (6)

Port Angeles, Washington (b) (6)

Dear Ms. McMartin:

We have reviewed the information in your application dated March 22, 2018, for authorization under the Wild Bird Conservation Act of 1992 (WBCA) to amend your Cooperative Breeding Program (CBP) CB004 by adding Gary Maul as a new member.

Following a review of the information you submitted, it is the decision of this office to approve Gary Maul as a new member of CB004.

Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15.

Sincerely,

Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

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## Re: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Horton, Monica" <monica\_horton@fws.gov>

Tue, Nov 14, 2017 at 8:43 AM

Thanks.

On Tue, Nov 14, 2017 at 8:42 AM, Horton, Monica <monica\_horton@fws.gov> wrote:  
I have completed it. It is waiting for review and signature by Elena. Hoping to have it today!?

On Tue, Nov 14, 2017 at 8:40 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
Are you able to complete the DSA finding now?

On Tue, Nov 14, 2017 at 8:32 AM, Horton, Monica <monica\_horton@fws.gov> wrote:  
For you - With my inquiry to her about Gary Maul not being registered, the previous letter requesting the addition of him to the CBP (which she resubmitted), and now this information, she is trying to get his addition to the CBP completed.

Thanks, Monica

----- Forwarded message -----

From: **Bethany McMartin** (b) (6) >  
Date: Thu, Nov 9, 2017 at 3:40 PM  
Subject: RE: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)  
To: "Horton, Monica" <monica\_horton@fws.gov>

Thank you for getting back to me. Please see attached for Gary's Annual Reports to complete his addition to the co-op.

Kind Regards,

Bethany

**From:** Horton, Monica [mailto:monica\_horton@fws.gov]  
**Sent:** Thursday, November 9, 2017 3:20 AM

**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Thank you Bethany for your email. I have enough information to complete my finding.

Have a great weekend,

Monica

On Tue, Nov 7, 2017 at 3:02 PM, Bethany McMartin (b) (6) > wrote:

Hi Monica,

To answer your questions –

I have attached an updated version of the COOP-04 Summary with the ratio of male/female in the Disposition of imported/offspring column under the bold text of 2017 Summary.

The number imported column for the European Goshawk has been updated from 14 to 17. I forgot to add in the 3 imported in 2015 under Justin Rondeau, that has now been corrected. Please also note the text in bold under the 2017 Summary. Please see attached for the letter sent in for the removal of the two goshawks.

Please see attached for the request to remove the four Aplomados transferred from co-op member Dennis Grisco to Fred Seaman. Dennis Grisco has been very ill since 2016 and his wife transferred the birds to Fred Seaman because Dennis could no longer care for them. She was unaware that she needed to send in a request to the COOP to transfer the birds.

Gary Maul's annual reports to prove two years of breeding success are being sent to me and I will forward them to you once I receive them. Please see attached for the letter of approval sent in by Dan Pike in 2014 for Gary to be a COOP member.

Thank you,

Bethany

**From:** Horton, Monica [mailto:[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)]

**Sent:** Friday, November 3, 2017 8:39 AM

**To:** Bethany McMartin <(b) (6)>

**Subject:** Re: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Hi Bethany,

As I am completing my finding, I have just a few questions seeking clarification before I can finalize it:

- Do you know the male/female ratio for the imported birds of European sparrowhawk, Red-naped shaheen and European goshawk?
- For European goshawk, I am having trouble understanding the current situation regarding the 14 imported birds. Your summary states 8 retained, 2 removed from CBP (I have the letter of approval for one female, do you have an approval letter from USFWS for the other bird?), 3 died (killed by mates), 1 lost, 1 killed by raccoon, 1 transferred to CB014 (do you have a letter from USFWS approving the transfer?), and 1 died from old age (Dane Pike 2015). That totals 17 birds, however, only 14 were imported. Can you please clarify the disposition of each of the 14 imported birds? I am just trying to understand the current situation.
- For Aplomado falcon, two individuals Gary Maul and Fred Siemen are listed as currently in possession of these birds. However, we do not have either one of these individuals listed as approved members of your CBP. If they are to continue to keep these birds, you will need to request and submit the

appropriate paperwork for them to become members of the CBP. If not, then the birds needs to be transferred to an approved member of the CBP. Thank you.

Please let me know if you have any questions.

Thanks, Monica

On Tue, Oct 31, 2017 at 7:26 AM, Horton, Monica <[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)> wrote:

Hi Bethany,

Yes, I should be able to complete my finding today. It will then be submitted for review and signature, and then sent to the Division of Management Authority for final approval.

Thanks,

Monica

On Sat, Oct 28, 2017 at 1:05 PM, Bethany McMartin <(b) (6)> wrote:

Hi Monica,

When you have time could you please provide me with an update on our application?

Thank you,

Bethany

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**From:** Horton, Monica <[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)>

**Sent:** Friday, October 13, 2017 9:05 AM

**To:** Bethany McMartin

**Subject:** Re: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Hi Bethany,

I will be working on your application today and the beginning part of next week. As I begin to delve in deeper into your records, I will let you know if I have any other questions.

Thank you very much.

Have a great weekend,

Monica

On Thu, Oct 5, 2017 at 11:00 PM, Bethany McMartin <(b) (6)> wrote:

Hi Monica,

When you have a moment could you please provide the status of our permit?

Thank you,

Bethany

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**From:** Bethany McMartin  
**Sent:** Sunday, September 24, 2017 3:23 PM  
**To:** 'Horton, Monica' <[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)>  
**Subject:** RE: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Ms. Horton,

Thank you for your response. I have answered your questions in [Blue](#).

In your application you have provided a report showing the current status of each species. The report is titled "Summary Report November 20, 2016." It states at the top of the report that the table represents a summary of all activity for CB004 since inception by species type. However, the table you have provided shows data only up through 2012. There is also missing data for Ornate hawk eagle - presumably only one bird was imported, correct?

[I am waiting on a few more responses from Co-op Members and then I will send you a more up-to-date summary report.](#)

In the same table, there is also a note indicating that all European Sparrowhawks have been sent to a member of CBP 005. Are you planning to continue breeding this species as part of CB004? We note in previous documents associated with the CBP that only one member, Barry Ollette, has had interest in breeding this species.

Yes, we would like to maintain the European Sparrowhawks on our permit.

You also provide a 2nd table that shows import data for 28 Aplomado Falcons yet 41 have been imported. Following that table there is another showing Aplomado progeny but there is no indication how those offspring relate to the birds listed in the previous table. This table shows data beyond 2012 that is not represented on the first summary report discussed above (although we do note the summary table indicates under the F1 column to refer to a table).

Please see attached for more clarification on the Aplomado Falcons. The spreadsheet will be condensed onto the Summary Report once I get the remaining responses.

Finally, you've provided multiple Migratory Bird Permit Annual Reports for Goshawk, Gyrfalcon, Aplomado, and Peregrine but without indication of which birds are part of the Co-op.

This will be clarified in the Summary Report I send to you. Gyrfalcon and Peregrine on the report I sent you are not part of the Co-op.

It would be very helpful for us if you can clarify these spreadsheets, perhaps by summarizing the information relevant to the cooperative breeding program in a single report including information up through 2016.

Lastly, is Dan Pike still the lead Cooperator for the CBP?

Dan Pike sent a letter to the DMA stating I would be taking over the Cooperator position.

Thank you,

Bethany McMartin

**From:** Horton, Monica [[mailto:monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)]

**Sent:** Friday, September 8, 2017 6:13 AM

**To:** [REDACTED]

**Subject:** Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Dear Ms. McMartin Rondeau,

Thank you very much for responding to DMA's recent email concerning your application. As the Division of Scientific Authority continues to review your application we have some additional questions that will help us better understand the current standing of the WFA cooperative breeding program (CBP).

In your application you have provided a report showing the current status of each species. The report is titled "Summary Report November 20, 2016." It states at the top of the report that the table represents a summary of all activity for CB004 since inception by species type. However, the table you have provided shows data only up through 2012. There is also missing data for Ornate hawk eagle - presumably only one bird was imported, correct?

In the same table, there is also a note indicating that all European Sparrowhawks have been sent to a member of CBP 005. Are you planning to continue breeding this species as part of CB004? We note in previous documents associated with the CBP that only one member, Barry Ollette, has had interest in breeding this species.

You also provide a 2nd table that shows import data for 28 Aplomado Falcons yet 41 have been imported. Following that table there is another showing Aplomado progeny but there is no indication how those offspring relate to the birds listed in the previous table. This table shows data beyond 2012 that is not represented on the first summary report discussed above (although we do note the summary table indicates under the F1 column to refer to a table).

Finally, you've provided multiple Migratory Bird Permit Annual Reports for Goshawk, Gyrfalcon, Aplomado, and Peregrine but without indication of which birds are part of the Co-op.

It would be very helpful for us if you can clarify these spreadsheets, perhaps by summarizing the information relevant to the cooperative breeding program in a single report including information up through 2016.

Lastly, is Dan Pike still the lead Cooperator for the CBP?

We will be able to quickly complete our evaluation of your application once we have received this additional information.

Thank you very much.

Best regards,

Monica Horton

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Monica A. Horton

Biologist (CITES Specialist)

Division of Scientific Authority

International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#), MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

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Monica A. Horton  
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Clifton A. Horton  
U.S. Fish & Wildlife Service  
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MS: IA  
Falls Church, VA 22041-3803  
  
(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

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Dec 17, 2014

Chief, Branch of Operations  
Division of Management Authority  
Fish and Wildlife Service  
Washington, DC 20240

To Whom It May Concern:

This letter is formal notification that the below listed individual has met the requirements necessary for inclusion in the Washington Falconers Association Cooperative Breeding Program (File Number CB004). This inclusion is effective in Dec 2014.

Gary Maul-2014

(b) (6)

Channahon IL, (b) (6)

(b) (6) t

MB-111515-0

Gary Maul will be working with Jim Nelson on aplomado falcons and has successfully bred Harris hawks, as well as over 20 years experience as a licensed falconer. All nominees are welcomed by the WFA Board of Directors and current cooperative breeders.

I have included documentation indicating his success in propagation efforts.

Sincerely,

Dan L. Pike  
CB004 Permit Coordinator

(b) (6)  
Lynden, WA (b) (6)  
(b) (6)

Steve Seibert  
Director at Large  
Washington Falconers Association

(b) (6)  
Yakima, WA (b) (6)  
(b) (6)



# United States Department of the Interior



FISH AND WILDLIFE SERVICE  
International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

IN REPLY REFER TO:  
FWS/DMA/CB004

JAN 23 2018

Ms. Bethany McMartin

(b) (6)

Port Angeles, Washington (b) (6)

Dear Ms. McMartin:

This letter is formal notification that your application for renewal of the Washington Falconers Association Cooperative Breeding Program (CB004) under the Wild Bird Conservation Act of 1992, dated December 8, 2016, has been approved under 50 CFR Section 15.26, as outlined below. This approval will be effective for two (2) years from the date of this letter. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program.

We are denying your request to include Gary Maul as a member in the request dated December 17, 2014, as sufficient documentation has not been provided. In your e-mail dated October 5, 2017, you have stated that Gary Maul is a non-member. According to information you provided, Gary Maul is in possession of birds in violation of the conditions for this cooperative and these birds need to be returned to approved members of the cooperative. **Please respond within sixty days of the date of this letter regarding the disposition of the program birds in his possession. Failure to comply with our request may jeopardize the continued approval for this cooperative.**

We are approving your request in your letter dated September 24, 2017, to transfer five Aplomado falcons (Peru Band #'s 209, 226, 238, 352, 469) to Robert Probst. We also are approving your request to transfer four Aplomado falcons (Peru Band #'s 071, 074, 085, 179) in your later dated November 26, 2017, to James Seaman. We find that your reasons for wishing to remove these nine birds from the cooperative breeding program (i.e., age) are justified, and therefore, we approve your request.

**Cooperative Breeding Program Name:** Washington Falconers Association Cooperative Breeding Program

**Oversight Organization:** Washington Falconers Association

**Cooperative breeding program application #:** CB004

**Species:** Eurasian sparrowhawk (*Accipiter nisus*), northern goshawk (*Accipiter gentilis*), aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincae*), Barbary falcon (*Falco pelegrinoides*) (synonymous with *Falco peregrinus babylonicus*), ornate hawk-eagle (*Spizaetus ornatus*).

**Program Members:** Lester Boyd, Brian Sullivan, Jim Nelson, Barry Ollette, Doug Alton, Dan Pike, Ron Tokar, Chuck Arnold, Brad Wood, Danny Ertsgaard, Matthew Mitchell, Wayne Lippert, Dave Knutson, Jeff Rossey, Geoff Hirschi, Bill Meeker, Brad Felger, Dave Baker, Gregg Zerbe, Dennis Grisco, Thomas Coulson, Jennifer Coulson, Bill Murphy, John Brennan, Jim Adamson, Troy Morris, Jim Ingram, Tim Hickok, Connie Stanger, Jeff Novak, Christopher Lynn, Jimmy Bathke, Jason Hausman, Raul Ramirez, Keith Hix, Darryl Perkins, Jeff Kisak, Patrick Rummins, Joe Terry, Justin Rondeau, Bethany Rondeau, and Justin Stoval.

**Falconry Members:** Harry McElroy, Tom Gleason, Aaron Smith, Jeremy Roselle, Cliff Robinson, Randy Landis, Phil Smith, Simone Cook, and Marilyn Musser.

This approval is subject to the general conditions below **and** the conditions in the enclosed Division of Scientific Authority finding. We have determined that all of the issuance criteria contained in 50 CFR Section 15.26 have been met, therefore amendment of this program has been granted.

Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15.

**Conditions:** Please be aware that, since *Falco pelegrinoides* is listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of that species may only be imported for commercial purposes from a CITES-registered breeding operation. Also, Migratory Bird Treaty Act (MBTA) authorization is required in order to import, possess, and sell specimens of *Falco femoralis femoralis*, *Falco femoralis pichincae*, *Accipiter gentilis*, and *Falco pelegrinoides*. Additional information on the MBTA can be found at <http://www.fws.gov/migratorybirds/>.

All birds imported for this cooperative breeding program must remain within a Service-approved cooperative breeding program for these species unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the

oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application of May 10, 1994. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals to whom specimens will be loaned for any purpose, including falconry, must become members of the cooperative breeding program. You may designate them as non-breeding members. To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., falconry permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for non-breeding members.

The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny. Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the U.S. Fish and Wildlife Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

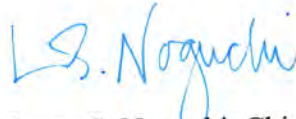
This notice entitles the members of this cooperative breeding program who are listed above as Program Members to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR 15.11. Enclosed you will find an application to import birds under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

Ms. Bethany McMartin

4

If you have any questions regarding this renewal, please feel free to contact Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, Falls Church, VA 22041-3803; telephone 703-358-1908; email: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,



Laura S. Noguechi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

Enclosure

IN REPLY REFER TO:  
FWS/DMA/CB004

| SURNAME     |
|-------------|
| COB 1/16/18 |
| LGJ 1/16/18 |
| MAH 1/19/18 |
| EZ. 1/19/18 |
|             |
|             |

Ms. Bethany McMartin

(b) (6)

Port Angeles, Washington (b) (6)

Dear Ms. McMartin:

This letter is formal notification that your application for renewal of the Washington Falconers Association Cooperative Breeding Program (CB004) under the Wild Bird Conservation Act of 1992, dated December 8, 2016, has been approved under 50 CFR Section 15.26, as outlined below. This approval will be effective for two (2) years from the date of this letter. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program.

We are denying your request to include Gary Maul as a member in the request dated December 17, 2014, as sufficient documentation has not been provided. In your e-mail dated October 5, 2017, you have stated that Gary Maul is a non-member. According to information you provided, Gary Maul is in possession of birds in violation of the conditions for this cooperative and these birds need to be returned to approved members of the cooperative. **Please respond within sixty days of the date of this letter regarding the disposition of the program birds in his possession. Failure to comply with our request may jeopardize the continued approval for this cooperative.**

We are approving your request in your letter dated September 24, 2017, to transfer five Aplomado falcons (Peru Band #'s 209, 226, 238, 352, 469) to Robert Probst. We also are approving your request to transfer four Aplomado falcons (Peru Band #'s 071, 074, 085, 179) in your later dated November 26, 2017, to James Seaman. We find that your reasons for wishing to remove these nine birds from the cooperative breeding program (i.e., age) are justified, and therefore, we approve your request.

**Cooperative Breeding Program Name:** Washington Falconers Association Cooperative Breeding Program

**Oversight Organization:** Washington Falconers Association

**Cooperative breeding program application #:** CB004



**Species:** Eurasian sparrowhawk (*Accipiter nisus*), northern goshawk (*Accipiter gentilis*), aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincha*), Barbary falcon (*Falco pelegrinoides*) (synonymous with *Falco peregrinus babylonicus*), ornate hawk-eagle (*Spizaetus ornatus*).

**Program Members:** Lester Boyd, Brian Sullivan, Jim Nelson, Barry Ollette, Doug Alton, Dan Pike, Ron Tokar, Chuck Arnold, Brad Wood, Danny Ertsgaard, Matthew Mitchell, Wayne Lippert, Dave Knutson, Jeff Rossey, Geoff Hirschi, Bill Meeker, Brad Felger, Dave Baker, Gregg Zerbe, Dennis Grisco, Thomas Coulson, Jennifer Coulson, Bill Murphy, John Brennan, Jim Adamson, Troy Morris, Jim Ingram, Tim Hickok, Connie Stanger, Jeff Novak, Christopher Lynn, Jimmy Bathke, Jason Hausman, Raul Ramirez, Keith Hix, Darryl Perkins, Jeff Kisak, Patrick Rummins, Joe Terry, Justin Rondeau, Bethany Rondeau, and Justin Stoval.

**Falconry Members:** Harry McElroy, Tom Gleason, Aaron Smith, Jeremy Roselle, Cliff Robinson, Randy Landis, Phil Smith, Simone Cook, and Marilyn Musser.

This approval is subject to the general conditions below **and** the conditions in the enclosed Division of Scientific Authority finding. We have determined that all of the issuance criteria contained in 50 CFR Section 15.26 have been met, therefore amendment of this program has been granted.

Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15.

**Conditions:** Please be aware that, since *Falco pelegrinoides* is listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of that species may only be imported for commercial purposes from a CITES-registered breeding operation. Also, Migratory Bird Treaty Act (MBTA) authorization is required in order to import, possess, and sell specimens of *Falco femoralis femoralis*, *Falco femoralis pichincha*, *Accipiter gentilis*, and *Falco pelegrinoides*. Additional information on the MBTA can be found at <http://www.fws.gov/migratorybirds/>.

All birds imported for this cooperative breeding program must remain within a Service-approved cooperative breeding program for these species unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the

oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application of May 10, 1994. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals to whom specimens will be loaned for any purpose, including falconry, must become members of the cooperative breeding program. You may designate them as non-breeding members. To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., falconry permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for non-breeding members.

The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny. Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the U.S. Fish and Wildlife Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

This notice entitles the members of this cooperative breeding program who are listed above as Program Members to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR 15.11. Enclosed you will find an application to import birds under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

Ms. Bethany McMartin

4

If you have any questions regarding this renewal, please feel free to contact Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, Falls Church, VA 22041-3803; telephone 703-358-1908; email: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,

Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

Enclosure

November 6, 2017

Mr. Clifton Horton  
United States Fish and Wildlife Service  
Division of Management Authority  
4401 North Fairfax Drive, Room 700  
Arlington, Virginia 22203

Dear Mr. Horton,

This letter is in regards to co-op member Dennis Grisco's request to remove the following list of captive bred Aplomado Falcons from CB004. The reason the list of Aplomado Falcons are being requested to transfer to another falconer is because of their old age. We have retained their genetics in the form of prior offspring within the program.

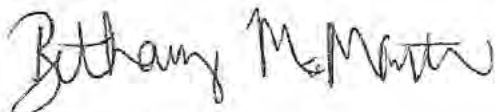
| Sex    | Peru Band # | Hatch Year |
|--------|-------------|------------|
| Female | 071         | 2002       |
| Male   | 074         | 2002       |
| Female | 085         | 2002       |
| Male   | 179         | 2004       |

Dennis Grisco has found a suitable home for them and I am requesting that they be removed from CB004 program. The licensed falconer that Dennis Grisco is requesting to permanently transfer them to is:

James Frederick Seaman  
(b) (6)  
Paso Robles, CA (b) (6)  
CA FAL-10575

Please let me know if you have any additional questions.

Sincerely,



Bethany McMartin  
WFA Cooperative Breeding Program Administrator

(b) (6)  
Port Angeles, WA (b) (6)  
(b) (6)

---

**Re: Brian Sullivan PRT #18696C (Germany) and #18695C (United Kingdom)**

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Barry, Anna" <anna\_barry@fws.gov>

Fri, Mar 31, 2017 at 6:12 PM

Hello Anna, 004 is now expired. As you noted in your e-mail, the program expired in May 2016 and was temporarily suspended. However, a proper renewal application was not submitted until December 2016. DSA has had this application since January 5, 2017. Marie Maltese can best be able to tell you when she will be finished with it.

Thanks,  
Clif

On Fri, Mar 31, 2017 at 4:47 PM, Barry, Anna <anna\_barry@fws.gov> wrote:

Hello Clif,

Could you confirm whether or not the Washington Falconers Association Cooperative Breeding Program (CB004) currently have an application in house being process to renew their program? If yes, when was it received and what is the estimated processing time?

Thanks,

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

***Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!***



----- Forwarded message -----

From: **Brian Sullivan** (b) (6)  
Date: Fri, Mar 31, 2017 at 4:35 PM  
Subject: Re: Brian Sullivan PRT #18696C (Germany) and #18695C (United Kingdom)  
To: "Barry, Anna" <anna\_barry@fws.gov>

Dear Anna Barry,

I have been told the renewal of the Cooperative is going through and would like to continue to have my applications in place when this happens.

Can you give me an update on the Renewal? I was told it went to **Scientific Authority** and would soon be finalized? Please advise.

Best regards, Brian

On Fri, Mar 31, 2017 at 1:16 PM, Barry, Anna <[anna\\_barry@fws.gov](mailto:anna_barry@fws.gov)> wrote:

Dear Mr. Sullivan,

The Washington Falconers Association (WFA) Cooperative Breeding Program (CB004), which was approved under the Wild Bird Conservation Act (WBCA) expired May 14, 2016 and no request for renewal was received, thus, the program was suspended on June 16, 2016. *Based on this information birds can **no longer** be imported under WFA Cooperative Breeding Program.*

Would you like for us to **withdraw** your application at this time (we will request refund of your processing fee) **or** proceed with the processing of your application?

*If I do not hear from you by **April 7, 2017**, your application will be abandoned and administratively closed. Once a file is closed you will need to submit a new application and all required fees for the Service to consider your proposed activity.*

Sincerely,

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
[1-800-358-2104](tel:1-800-358-2104), ext. 1976 or [703-358-1976](tel:703-358-1976)  
Fax: [703-358-2281](tel:703-358-2281)  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

***Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!***



Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

**Re: [EXTERNAL] Re: Question regarding Application to Amend WBCA Cooperative Breeding Program (new species - Falco perigrinus ernesti)**

1 message

---

**Bethany McMartin** <(b) (6)>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Sat, Sep 8, 2018 at 11:12 PM

Hi Clif,

Thank you for the update. I will let the application members in the co-op know.

Kind Regards,

Bethany

On Tue, Sep 4, 2018 at 8:01 AM Horton, Clifton <clifton\_horton@fws.gov> wrote:

Greetings, having not received the requested information in a timely manner, we are considering your two applications to add *Falco peregrinus peregrinus* and *Falco peregrinus ernesti* as abandoned. If you still desire to add these species, you will need to reapply.

Regards,  
Clif

On Wed, Aug 22, 2018 at 1:24 AM, Bethany McMartin <(b) (6)> wrote:

I have emailed the co-op member who wants this new species added. I will get back to you about the application soon.

Kind Regards,

Bethany

On Wed, Aug 15, 2018 at 7:54 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

Greetings, it has been over sixty days since we have requested additional information regarding your application and it appears that we have not received the requested additional information.

Unless we receive the requested information by September 1, 2018, we will consider the application as abandoned.

Regards,  
Clif

On Wed, Jun 6, 2018 at 10:58 AM, Horton, Monica <monica\_horton@fws.gov> wrote:

Good Morning Ms. Martin,

I am in receipt of your application to amend your current WBCA cooperative breeding program by adding a new species, *Falco peregrinus ernesti*. I am currently reviewing your request, however, I need some additional information before I can continue with my review.

Attached, please find a list of questions that I will need you to answer regarding the new species and submit to me, in order for me to continue to review and process your amendment request.

Please let me know if you have any questions. Thank you.

Sincerely,  
Monica Horton

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#), MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
[5275 Leesburg Pike](#)  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

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Falls Church, VA 22041-3803

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[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)



---

**Re: Bethany McMartin Rondeau PRT #14694C - CB004**

1 message

---

**Barry, Anna** <anna\_barry@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Tue, Jan 16, 2018 at 11:32 AM



Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041-3803](#)  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*\*ALERT\* You may have noticed that our **application forms expired 5/31/2017**. The forms are currently undergoing periodic review by the Office of Management and Budget. Once that process is complete, we will post updated application forms. In the interim, please continue to use the application forms available from our website with the expiration date 5/31/2017. We will continue to accept those forms until new ones become available. However, please check back each time you submit a new application to see whether our new forms have been posted. **We do not accept applications via fax or e-mail.***

**Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!**



On Tue, Jan 16, 2018 at 4:19 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
Just need the Branch Chief to sign the approval letter.

On Thu, Jan 11, 2018 at 3:12 PM, Barry, Anna <anna\_barry@fws.gov> wrote:

Hello Clif,

I noticed in the SPITS Library for the above application that a finding was finalized by DSA. Are you in the process of finalizing the approval or is it not going to be approved, thus, the hold up?

It is only a matter of time before Sullivan start contacting me every week again.

Thanks,

Anna

--

Clifton A. Horton

U.S. Fish & Wildlife Service  
[5275 Leesburg Pike](#)  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

**Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"**

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>

Tue, Jan 23, 2018 at 5:54 AM

To: Bethany McMartin (b) (6) >

Attached-please note required action within 60 days.

Regards,  
Clif

On Tue, Jan 9, 2018 at 2:22 PM, Bethany McMartin (b) (6) > wrote:

Hi Clif,

Happy New Year!

Just checking in to see how things are going with our Co-op renewal?

Kind Regards,

Bethany

**From:** Horton, Clifton [mailto:[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]

**Sent:** Monday, December 11, 2017 10:14 AM

**To:** Bethany McMartin (b) (6) >

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings. I received several e-mails from you recently. My apologies for not responding sooner. I have been overwhelmed in preparing an Administrative Record for litigation (an avalanche of documents comprising over 27,000 pages) which has completely consumed all available time for other matters. I will be finished with that shortly (next day or two) and can proceed with this matter at that time. Everything is in order with this renewal.

Regards,

Clif

On Thu, Dec 7, 2017 at 2:55 PM, Bethany McMartin (b) (6) > wrote:

Hi Clifton,

Could you please provide me with an update on the co-op?

Kind Regards,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Thursday, November 30, 2017 5:13 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I have received 'return to sender' for letters that I tried mailing to you.

Please provide me with an updated mailing address.

Here is what I used :

Clifton Horton  
USFWS  
DMA  
[4401 N Fairfax Dr. Room 700](#)  
[Arlington, VA 22203](#)

Thank you,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Monday, November 27, 2017 8:48 AM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

When you have a moment could you please provide an update on the Coop?

Thank you,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Friday, November 17, 2017 3:25 PM  
**To:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I sent an e-mail to Monica with the attached letter on 11/6/17.

We apologize for Dennis Grisco's action in the Co-op and for the improper transfer to Fred Seaman. From my understanding Dennis Grisco is currently in the hospital, and has been very ill since 2016. The falcons are too old to be of breeding age (15 years old) and the letter is requesting to remove them from the co-op.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Thursday, November 16, 2017 7:07 PM  
**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings Bethany, what is the status of the birds improperly transferred to Fred Seaman? This is the last issue that needs to be resolved for this renewal application.

Regards,

Cli

On Thu, Oct 5, 2017 at 11:00 PM, Bethany McMartin (b) (6) wrote:

Hi Clifton,

I have yet to get a response from Gary Maul. If this is holding up the renewal with the COOP please consider Gary Maul currently a non-member.

Thank you,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Sunday, September 24, 2017 3:07 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I am waiting for the annual reports to be sent to me by Gary Maul and then I will be able to provide you everything you need.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Wednesday, September 6, 2017 4:19 AM  
**To:** Bethany McMartin <(b) (6)>  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Bethany, thank you for your reply. I am unable to locate in our files the copy of the letter (unsigned) you provide here in your e-mail as well as the accompanying documentation referenced in the letter.

Please be aware that prospective members of your cooperative breeding program must be approved by the U.S. Fish and Wildlife Service prior to their inclusion into the cooperative, at which point you will be notified by us if the prospective member is included.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program.

It appears that in this case the information was submitted; however it evidently was lost and consequently was not acted upon by us. Please resubmit the necessary information for Gary Maul to include him into the cooperative.

Thank you,

Clif

On Fri, Sep 1, 2017 at 10:02 PM, Bethany McMartin (b) (6) > wrote:

Hi Clifton,

Please see attached for Gary Maul's letter that Dan Pike sent to DMA in December 2014.

The list of species you sent are what the WFA Co op would like to add on the species list in the future, please disregard at this time.

Thank you,

Bethany McMartin

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]

**Sent:** Thursday, August 31, 2017 7:51 AM

**To:** Bethany McMartin (b) (6)

**Subject:** Re: Dec 14 2016 2 00 00; Acknowledgement letter for Permit No "14694C"

Greeting , do you have any documentation showing when Gary Maul was added to the Co op?

Also it is not clear if you are asking to add the species as they are not listed under question 2(a) of your application but are in the table titled WFA Cooperative Breeding Program:

*Falco peregrinus peregrinator*

*Falco peregrinus ernesti*

*Falco cherrug*

*Falco biarmicus*

--

Clifton A. Horton

U.S. Fish & Wildlife Service

[5275 Leesburg Pike](#)

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Falls Church, VA 22041-3803

(703) 358-1908

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--

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--

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--

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 **CB004\_1\_23-2018.PDF**  
4426K

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## FOIA 2019-00159 Narrowed request

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Tapia, Brenda" <brenda\_tapia@fws.gov>  
Cc: Laura Noguchi <Laura\_Noguchi@fws.gov>

Tue, Feb 26, 2019 at 6:02 AM

Brenda:

FOIA 2019-00159 Narrowed request:

- The OPA will limit its requests to the time period since 10/05/2016 - 11/01/2018. Agreement letters would be acceptable.

**RESPONSE: 6 ATTACHMENTS-Four Appendix I WBCA FWS approval letters issued between 10/05/2016 and 11/01/2018 and 2 DSA findings attached with 2 of the 4 approval letters.**

- 
- A spreadsheet would also be acceptable if it lists all submitted requests and their outcomes within the proposed period, as well as processing times. The OPA would also like to know whether the cooperative breeding agreements ultimately approved by the Service resulted from negotiated agreements or were approved as submitted.

**RESPONSE: Please be advised that the FOIA does not require the FWS to do research for you, to analyze data, to answer written questions, or to create records in response to your request.**

<https://www.fws.gov/irm/bpim/foia.html>

- 
- Also requested are any non-publicly available guidance related to how the Service decides to approve such agreements. The information requested is limited to agreements for approval of CITES Appendix 1 species.

**RESPONSE: NONE**


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--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803


(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

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### 6 attachments

 **CB030\_2-17-2017.pdf**  
109K


 **CB004\_1-23-2018.pdf**  
125K

 **CB040\_4-18-2018\_CB040.pdf**  
89K

**CB030\_10-19-2018.pdf**

 200K

 **CB040\_76975B CB040 Renewal 2018\_4-12-18.pdf**  
145K

 **CB004\_14694C\_DSA\_CB004\_11-14-17.pdf**  
414K

**Re: [EXTERNAL] Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"**

1 message

**Horton, Clifton** <clifton\_horton@fws.gov>

Wed, Apr 18, 2018 at 12:01 PM

To: Bethany McMartin (b) (6) >

Please see attached.

Regards,  
Clif

On Sat, Mar 31, 2018 at 11:53 PM, Bethany McMartin (b) (6) > wrote:

The application has been delivered.

Thank you,

Bethany McMartin

---

**From:** Horton, Clifton <clifton\_horton@fws.gov>

**Sent:** Friday, March 23, 2018 2:42 AM

**To:** Bethany McMarn

**Subject:** Re: [EXTERNAL] Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

On the form:

U.S. Fish and Wildlife Service  
Division of Management Authority (DMA)  
Branch of Permits, MS: IA  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041-3803](#)

On Fri, Mar 23, 2018 at 1:44 AM, Bethany McMartin <(b) (6)> wrote:

Which address do I use? The one below or the one on the Form?

USFWS

DMA

[4401 N Fairfax Dr. Room 700](#)

[Arlington, VA 22203](#)

Thanks,

Bethany

---

**From:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Sent:** Wednesday, March 14, 2018 6:01 PM

**To:** Bethany McMarn

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

OK, thanks.

On Wed, Mar 14, 2018 at 2:02 PM, Bethany McMartin (b) (6) wrote:  
Hi Clif,

I would like to add Mr. Maul as a Program Member. I will fill out the form with the \$100 fee and send it to you. Thank you for getting back to me.

Kind Regards,

Bethany

---

**From:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Sent:** Monday, March 12, 2018 10:34 AM

**To:** Bethany McMarn

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Are you seeking to add Mr. Maul as a "Falconry Member" or "Program Member"? To add Mr. Maul as a Program member application Form 3-200-49 with \$100 fee, check "Amend an existing cooperative breeding program" box and answer question 4.

<https://www.fws.gov/forms/3-200-49.pdf>

On Thu, Mar 1, 2018 at 11:02 PM, Bethany McMartin (b) (6) >  
wrote:

Hi Clif,

Can you please provide an update for me on the Gary Maul submission? Please help me with an update, I would rather this not come down to the wire on the 60 day time limit that was written in the letter.

Kind Regards,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Thursday, February 15, 2018 1:18 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clif,

Could you please provide an update for me on the Gary Maul submission?

Kind Regards,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Thursday, February 1, 2018 8:02 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Thank you Clif,

I also received the hard copy in the mail today.

Please see attached for Gary Maul's Annual Reports as well as Dan Pike's original letter requesting he join the co-op. Gary has since got in contact with me after I wrote you on October 5<sup>th</sup> and he has provided me with the necessary information to follow up with you about his membership.

Thank you,

Bethany

**From:** Horton, Clifton [mailto:[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Tuesday, January 23, 2018 2:54 AM  
**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Attached-please note required action within 60 days.

Regards,

Clif

On Tue, Jan 9, 2018 at 2:22 PM, Bethany McMartin (b) (6) >  
wrote:

Hi Clif,

Happy New Year!

Just checking in to see how things are going with our Co-op renewal?

Kind Regards,

Bethany

**From:** Horton, Clifton [mailto:[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Monday, December 11, 2017 10:14 AM  
**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings. I received several e-mails from you recently. My apologies for not responding sooner. I have been overwhelmed in preparing an Administrative Record for litigation (an avalanche of documents comprising over 27,000 pages) which has completely consumed all available time for other matters. I will be finished with that shortly (next day or two) and can proceed with this matter at that time. Everything is in order with this renewal.

Regards,

Clif

On Thu, Dec 7, 2017 at 2:55 PM, Bethany McMartin (b) (6) >  
wrote

Hi Clifton,

Could you please provide me with an update on the co-op?

Kind Regards,

Bethany

---

**From:** Bethany McMartin

**Sent:** Thursday, November 30, 2017 5:13 PM

**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I have received 'return to sender' for letters that I tried mailing to you.

Please provide me with an updated mailing address.

Here is what I used :

Clifton Horton

USFWS

DMA

[4401 N Fairfax Dr. Room 700](#)



Arlington, VA 22203

Thank you,

Bethany

---

**From:** Bethany McMartin

**Sent:** Monday, November 27, 2017 8:48 AM

**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

When you have a moment could you please provide an update on the Coop?

Thank you,

Bethany

---

**From:** Bethany McMartin

**Sent:** Friday, November 17, 2017 3:25 PM

**To:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I sent an e-mail to Monica with the attached letter on 11/6/17.

We apologize for Dennis Grisco's action in the Co-op and for the improper transfer to Fred Seaman. From my understanding Dennis Grisco is currently in the hospital, and has been very ill since 2016. The falcons are too old to be of breeding age (15 years old) and the letter is requesting to remove them from the co-op.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]

**Sent:** Thursday, November 16, 2017 7:07 PM

**To:** Bethany McMartin <(b) (6)>

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings Bethany, what is the status of the birds improperly transferred to Fred Seaman? This is the last issue that needs to be resolved for this renewal application.

Regards,

Cli

On Thu, Oct 5, 2017 at 11:00 PM, Bethany McMartin

(b) (6) wrote:

Hi Clifton,

I have yet to get a response from Gary Maul. If this is holding up the renewal with the COOP please consider Gary Maul currently a non-member.

Thank you,

Bethany

---

**From:** Bethany McMartin

**Sent:** Sunday, September 24, 2017 3:07 PM

**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I am waiting for the annual reports to be sent to me by Gary Maul and then I will be able to provide you everything you need.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Wednesday, September 6, 2017 4:19 AM  
**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Bethany, thank you for your reply. I am unable to locate in our files the copy of the letter (unsigned) you provide here in your e-mail as well as the accompanying documentation referenced in the letter.

Please be aware that prospective members of your cooperative breeding program must be approved by the U.S. Fish and Wildlife Service prior to their inclusion into the cooperative, at which point you will be notified by us if the prospective member is included.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program.

It appears that in this case the information was submitted; however it evidently was lost and consequently was not acted upon by us. Please resubmit the necessary information for Gary Maul to include him into the cooperative.

Thank you,

Clif

On Fri, Sep 1, 2017 at 10:02 PM, Bethany McMartin  
(b) (6) wrote:

Hi Clifton,

Please see attached for Gary Maul's letter that Dan Pike sent to DMA in December 2014.

The list of species you sent are what the WFA Co-op would like to add on the species list in the future, please disregard at this time.

Thank you,

Bethany McMartin

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]

**Sent:** Thursday, August 31, 2017 7:51 AM

**To:** Bethany McMartin (b) (6) >

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings, do you have any documentation showing when Gary Maul was added to the Co-op?

Also it is not clear if you are asking to add these species as they are not listed under question 2(a) of your application but are in the table titled WFA Cooperative Breeding Program:

*Falco peregrinus peregrinator*

*Falco peregrinus ernesti*

*Falco cherrug*

*Falco biarmicus*

--

Clifton A. Horton

U.S. Fish & Wildlife Service

[5275 Leesburg Pike](#)

MS: IA

Falls Church, VA 22041-3803

(703) 358-1908

[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

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[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)



**4-18-2018\_CB004.pdf**  
25K

---

**Re: [EXTERNAL] Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"**

1 message

---

**Bethany McMartin** <(b) (6)>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Wed, Apr 18, 2018 at 8:05 PM

Thank you!

Bethany

---

**From:** Horton, Clifton <clifton\_horton@fws.gov>  
**Sent:** Wednesday, April 18, 2018 9:01 AM  
**To:** Bethany McMarn  
**Subject:** Re: [EXTERNAL] Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Please see attached.

Regards,  
Clif

On Sat, Mar 31, 2018 at 11:53 PM, Bethany McMartin <(b) (6)> wrote:  
The application has been delivered.

Thank you,

Bethany McMartin

---

**From:** Horton, Clifton <clifton\_horton@fws.gov>  
**Sent:** Friday, March 23, 2018 2:42 AM  
**To:** Bethany McMarn  
**Subject:** Re: [EXTERNAL] Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

On the form:

U.S. Fish and Wildlife Service  
Division of Management Authority (DMA)  
Branch of Permits, MS: IA  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041-3803](#)

On Fri, Mar 23, 2018 at 1:44 AM, Bethany McMartin <(b) (6)> wrote:  
Which address do I use? The one below or the one on the Form?

USFWS



DMA

4401 N Fairfax Dr. Room 700

Arlington, VA 22203

Thanks,

Bethany

---

**From:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Sent:** Wednesday, March 14, 2018 6:01 PM

**To:** Bethany McMarn

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

OK, thanks.

On Wed, Mar 14, 2018 at 2:02 PM, Bethany McMartin (b) (6) wrote:  
Hi Clif,

I would like to add Mr. Maul as a Program Member. I will fill out the form with the \$100 fee and send it to you. Thank you for getting back to me.

Kind Regards,

Bethany

---

**From:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Sent:** Monday, March 12, 2018 10:34 AM

**To:** Bethany McMarn

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Are you seeking to add Mr. Maul as a "Falconry Member" or "Program Member"? To add Mr. Maul as a Program member application Form 3-200-49 with \$100 fee, check "Amend an existing cooperative breeding program" box and answer question 4.

<https://www.fws.gov/forms/3-200-49.pdf>

On Thu, Mar 1, 2018 at 11:02 PM, Bethany McMartin (b) (6) wrote:

Hi Clif,

Can you please provide an update for me on the Gary Maul submission? Please help me with an update, I would rather this not come down to the wire on the 60 day time limit that was written in the letter.

Kind Regards,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Thursday, February 15, 2018 1:18 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clif,

Could you please provide an update for me on the Gary Maul submission?

Kind Regards,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Thursday, February 1, 2018 8:02 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Thank you Clif,

I also received the hard copy in the mail today.

Please see attached for Gary Maul's Annual Reports as well as Dan Pike's original letter requesting he join the co-op. Gary has since got in contact with me after I wrote you on October 5<sup>th</sup> and he has provided me with the necessary information to follow up with you about his membership.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Tuesday, January 23, 2018 2:54 AM  
**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Attached-please note required action within 60 days.

Regards,

Clif

On Tue, Jan 9, 2018 at 2:22 PM, Bethany McMartin (b) (6) >  
wrote:

Hi Clif,

Happy New Year!

Just checking in to see how things are going with our Co-op renewal?

Kind Regards,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Monday, December 11, 2017 10:14 AM  
**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings. I received several e-mails from you recently. My apologies for not responding sooner I have been overwhelmed in preparing an Administrative Record for litigation (an avalanche of documents comprising over 27,000 pages) which has completely consumed all available time for other matters I will be finished with that shortly (next day or two) and can proceed with this matter at that time. Everything is in order with this renewal

Regards,

Clif

On Thu, Dec 7, 2017 at 2:55 PM, Bethany McMartin (b) (6) > wrote

Hi Clifton,

Could you please provide me with an update on the co-op?

Kind Regards,

Bethany

---

**From:** Bethany McMartin

**Sent:** Thursday, November 30, 2017 5:13 PM

**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I have received 'return to sender' for letters that I tried mailing to you.

Please provide me with an updated mailing address.

Here is what I used :

Clifton Horton

USFWS

DMA

4401 N Fairfax Dr. Room 700

Arlington, VA 22203

Thank you,

Bethany

---

**From:** Bethany McMartin

**Sent:** Monday, November 27, 2017 8:48 AM

**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

When you have a moment could you please provide an update on the Coop?

Thank you,

Bethany

---

**From:** Bethany McMartin

**Sent:** Friday, November 17, 2017 3:25 PM

**To:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I sent an e-mail to Monica with the attached letter on 11/6/17.

We apologize for Dennis Grisco's action in the Co-op and for the improper transfer to Fred Seaman. From my understanding Dennis Grisco is currently in the hospital, and has been very ill since 2016. The falcons are too old to be of breeding age (15 years old) and the letter is requesting to remove them from the co-op.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]

**Sent:** Thursday, November 16, 2017 7:07 PM

**To:** Bethany McMartin <(b) (6)>

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings Bethany, what is the status of the birds improperly transferred to Fred Seaman? This is the last issue that needs to be resolved for this renewal application.

Regards,

Cli

On Thu, Oct 5, 2017 at 11:00 PM, Bethany McMartin

<(b) (6)> wrote:

Hi Clifton,

I have yet to get a response from Gary Maul. If this is holding up the renewal with the COOP please consider Gary Maul currently a non-member.

Thank you,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Sunday, September 24, 2017 3:07 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I am waiting for the annual reports to be sent to me by Gary Maul and then I will be able to provide you everything you need.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Wednesday, September 6, 2017 4:19 AM  
**To:** Bethany McMartin <(b) (6)>  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Bethany, thank you for your reply. I am unable to locate in our files the copy of the letter (unsigned) you provide here in your e-mail as well as the accompanying documentation referenced in the letter.

Please be aware that prospective members of your cooperative breeding program must be approved by the U.S. Fish and Wildlife Service prior to their inclusion into the cooperative, at which point you will be notified by us if the prospective member is included.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office

and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program.

It appears that in this case the information was submitted; however it evidently was lost and consequently was not acted upon by us. Please resubmit the necessary information for Gary Maul to include him into the cooperative.

Thank you,

Clif

On Fri, Sep 1, 2017 at 10:02 PM, Bethany McMartin

<(b) (6)> wrote:

Hi Clifton,

Please see attached for Gary Maul's letter that Dan Pike sent to DMA in December 2014.

The list of species you sent are what the WFA Co-op would like to add on the species list in the future, please disregard at this time.

Thank you,

Bethany McMartin

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]

**Sent:** Thursday, August 31, 2017 7:51 AM

**To:** Bethany McMartin (b) (6) >

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings, do you have any documentation showing when Gary Maul was added to the Co-op?



Also it is not clear if you are asking to add these species as they are not listed under question 2(a) of your application but are in the table titled WFA Cooperative Breeding Program:

*Falco peregrinus peregrinator*

*Falco peregrinus ernesti*

*Falco cherrug*

*Falco biarmicus*

--

Clifton A. Horton

U.S. Fish & Wildlife Service

[5275 Leesburg Pike](#)

MS: IA

Falls Church, VA 22041-3803

(703) 358-1908

[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

Clifton A. Horton

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[5275 Leesburg Pike](#)

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Falls Church, VA 22041-3803

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--

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[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

**Re: CBP 004**

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Kapetanakos, Yula" <yula\_kapetanakos@fws.gov>

Wed, Apr 19, 2017 at 5:08 PM

Hello Yula, 004 is **now expired**. The program expired in May 2016 and was **temporarily suspended**. However, a proper renewal application was not submitted until December 2016. DSA has had this application since January 5, 2017. I'll be in Thursday if you would like to discuss further.

On Wed, Apr 19, 2017 at 3:32 PM, Kapetanakos, Yula <yula\_kapetanakos@fws.gov> wrote:

Hi Clif - While Marie's dad is in post op I've been given the renewal for CBP004. Any idea why this CBP was suspended? Thanks! Let me know if it's easier to talk in person and I can stroll over. It's an old CBP dating back to the 1990's

have a great night! yula

----- Forwarded message -----

From: **Maltese, Marie** <marie\_maltese@fws.gov>  
Date: Thu, Apr 13, 2017 at 9:53 AM  
Subject: Fwd: CBP 004  
To: yula kapetanakos <yula\_kapetanakos@fws.gov>

CB 004 email from Clif...

----- Forwarded message -----

From: **Maltese, Marie** <marie\_maltese@fws.gov>  
Date: Thu, Mar 30, 2017 at 3:19 PM  
Subject: CBP 004  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Hi Clif,

I have an application from Tiffany Rondeau wanting to establish a new cbp, and her reason for this-she states that CBP 04, of which she is a member, is suspended. This is the first I've heard of this; can you comment?

Thanks so much,  
Marie

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike  
Falls Church, VA 22041-3803

*(Please note new address.)*

Phone: (703) 358-2486

Fax: (703) 358-2276

**Sign up** for our e-newsletter to learn how we're working around the globe to protect species and their habitats!

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike  
Falls Church, VA 22041-3803

*(Please note new address.)*

Phone: (703) 358-2486

Fax: (703) 358-2276

**Sign up** for our e-newsletter to learn how we're working around the globe to protect species and their habitats!

--

Yula Kapetanacos, PhD

AAAS Science & Technology Policy Fellow

US Fish and Wildlife Service

Division of Scientific Authority

5275 Leesburg Pike

Falls Church, VA 22041-3803

(o) 703-358-2369

(c) 917-689-9114

[yula\\_kapetanacos@fws.gov](mailto:yula_kapetanacos@fws.gov)

skype: yulakap

--

Clifton A. Horton

U.S. Fish & Wildlife Service

5275 Leesburg Pike

MS: IA

Falls Church, VA 22041-3803

(703) 358-1908

[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

## Brian Sullivan PRT #18696C (Germany) and #18695C (United Kingdom)

1 message

---

Barry, Anna <anna\_barry@fws.gov>

Fri, May 12, 2017 at 11:26 AM

To: Brian Sullivan (b) (6)

Bcc: clifton\_horton@fws.gov

Dear Mr. Sullivan,

The COOP Breeding Program CB004 is still under review, thus, I cannot finalize your applications until the program has been approved. I will give you an update once the application for CB004 has been finalized.

Sincerely,

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

***Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!***



On Thu, May 11, 2017 at 2:46 PM, Brian Sullivan <(b) (6)> wrote:

Dear Mrs. Barry,

I was wondering if there is any news/update on the Washington Falconers Cooperative and if my applications are now being processed?

Thank you for your time.

Best wishes, Brian E. Sullivan

---

## Re: Two apps

1 message

---

**Kapetanakos, Yula** <yula\_kapetanakos@fws.gov>

Tue, May 16, 2017 at 9:12 AM

To: "Babij, Eleanora" <eleanora\_babij@fws.gov>

Cc: "Maltese, Marie" <marie\_maltese@fws.gov>, Anna Barry <anna\_barry@fws.gov>, "Horton, Clifton" <clifton\_horton@fws.gov>

Okay will do. I have a long list of findings so waiting is no problem.

YK

On Tue, May 16, 2017 at 8:05 AM, Babij, Eleanora <eleanora\_babij@fws.gov> wrote:

Yula-

Lets wait until we get the referral sheet on these 2 apps before you start working on them. I want to be sure these haven't been abandoned. Thanks.

Elena

On Mon, May 15, 2017 at 4:39 PM, Kapetanakos, Yula <yula\_kapetanakos@fws.gov> wrote:

Thanks for pursuing this, Marie. I am working on the CB004 renewal/new application. I have to finish another new CBP request and it's a pretty lengthy one but I'm trying to get it done this week and then 004 is next on my list.

On Mon, May 15, 2017 at 4:32 PM, Maltese, Marie <marie\_maltese@fws.gov> wrote:

Hi Anna.

Regarding PRT#'s 18695C and 18696C, I note they never came to DSA b/c they were listed as abandoned in SPITS. Since we now know they were abandoned in error, would you please send DSA forms so Elena can assign them?

Thanks so much!

Elena,

These are the two apps I queried you about; Yula is currently working on the renewal of the coop (CB 004).

Thanks to both of you,

Marie

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
(Please note new address.)  
Phone: (703) 358-2486  
Fax: (703) 358-2276  
[Sign up](#) for our e-newsletter to learn how we're working around the globe to protect species and their habitats!

--

Yula Kapetanakos, PhD

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(o) 703-358-2369  
(c) 917-689-9114

[yula\\_kapetanakos@fws.gov](mailto:yula_kapetanakos@fws.gov)  
skype: yulakap

--

Eleanora Babij, Ph.D.  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority - International Affairs  
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Mail Stop: IA  
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--

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skype: yulakap



---

## Brian Sullivan PRT #18696C (Germany) and #18695C (United Kingdom)

1 message

---

**Barry, Anna** <anna\_barry@fws.gov>

Thu, May 18, 2017 at 2:13 PM

To: Eleanora Babij <eleanora\_babij@fws.gov>, "Kapetanakos, Yula" <yula\_kapetanakos@fws.gov>, "Maltese, Marie"

<marie\_maltese@fws.gov>, Clifton Horton <clifton\_horton@fws.gov>

Cc: Mary Cogliano <mary\_cogliano@fws.gov>

Hello Elena,

This is just to confirm that the two above files were abandoned and will remain abandon until the COOP Breeding Program CB004 has been approved or denied. Once I know the outcome of CB004 I will reopen Mr. Sullivan and begin the normal processing procedures (referral to DSA). He is aware that I cannot finalize his applications until CB004 has been finalize. We normally abandon such applications to avoid it lingering on our tracking report. Unless your office wants to work on his applications simultaneously with the COOP application, I will wait until CB004 is finalize before submitting the referral.

Thanks,

Anna

---

**[EXTERNAL] Re: WBCA amendment application**

1 message

---

**Bethany McMartin** (b) (6) [REDACTED] m>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Sat, May 26, 2018 at 6:50 PM

We are looking to add '*Falco peregrinus peregrinus*' Common name - Eurasian Peregrine Falcon to the list of species.

Please let me know if that clarifies the application?

Kind Regards,

Bethany

---

**From:** Horton, Clifton <clifton\_horton@fws.gov>  
**Sent:** Thursday, May 24, 2018 6:50 PM  
**To:** Bethany McMarn  
**Subject:** WBCA amendment applicaon

Greetings, we have received your application to amend CBP#004. However, the species you list in your application "*Peregrinus peregrinus*" is not a valid species name.

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
[5275 Leesburg Pike](#)  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

## WBCA permit CB004 renewal status for file number 14694C

1 message

---

**Dan Pike** <(b) (6)> Thu, Jul 20, 2017 at 1:11 PM  
To: Dan Pike <(b) (6)>, "clifton\_horton@fws.gov" <clifton\_horton@fws.gov>  
Cc: USFWSTim Vannorman <tim\_vannorman@fws.gov>, Bethany McMartin <(b) (6)>

Mr. Horton, I understand you are working on file number 14694C. I am requesting a status update on the renewal for CB004. I have been the permit administrator for CB004 since inception in 1994. In March of 2015 that role was transitioned to a member on the cooperative, Bethany McMartin (see attached letter), to carry the permit forward. I believe there has been a misunderstanding since renewal came up in 2016. When Bethany submitted renewal in summer of 2016, it appears the application was treated like a request for new cooperative program, and has been delayed since that time.

There are some members who have been waiting to import critical new goshawk genetics, and I would like to help find a resolution. If there is anything you need from me, or any additional information beyond what Bethany has provided, please let me know. I would greatly appreciate a response.

Thank you for your time.

Dan L. Pike  
Chair, CITES Committee  
North American Falconers Association

---

 **Program Administrator change.doc**  
76K

## Brian Sullivan PRT #18696C (Germany) and #18695C (United Kingdom)

1 message

Barry, Anna <anna\_barry@fws.gov>

Mon, Aug 14, 2017 at 9:56 AM

To: Brian Sullivan (b) (6) >

Bcc: clifton\_horton@fws.gov

Dear Mr. Sullivan,

The COOP application (004) is still pending at this time, I recommend that you have Ms. Bethany McMartin Rondeau contact Clifton Horton ([Clifton\\_Horton@fws.gov](mailto:Clifton_Horton@fws.gov)) with our Wildlife Trade and Conservation Branch to obtain the status of the COOP application. Once the COOP has been finalized I can begin the processing of your applications.

Sincerely,

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

**Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!**



On Sat, Aug 12, 2017 at 6:08 PM, Brian Sullivan (b) (6) > wrote:

Dear Mrs. Barry,

I wanted to see if there is any update on the WFA Cooperative CB004 and if my permits are being processed?

Best regards, Brian

On Fri, May 12, 2017 at 4:49 PM, Brian Sullivan (b) (6) > wrote:

Dear Mrs. Barry,

Thank you for the up date and look forward to having my applications processed once the Coop up is finalized.

Best regards, Brian

On Fri, May 12, 2017 at 8:26 AM, Barry, Anna <[anna\\_barry@fws.gov](mailto:anna_barry@fws.gov)> wrote:

Dear Mr. Sullivan,

The COOP Breeding Program CB004 is still under review, thus, I cannot finalize your applications until the program has been approved. I will give you an update once the application for CB004 has been finalized.

Sincerely,

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
[1-800-358-2104](tel:1-800-358-2104), ext. 1976 or [703-358-1976](tel:703-358-1976)  
Fax: [703-358-2281](tel:703-358-2281)  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

***Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!***



On Thu, May 11, 2017 at 2:46 PM, Brian Sullivan <[\(b\) \(6\)](#)> wrote:

Dear Mrs. Barry,

I was wondering if there is any news/update on the Washington Falconers Cooperative and if my applications are now being processed?

Thank you for your time.

Best wishes, Brian E. Sullivan

---

**Re: WBCA permit CB004 renewal status for file number 14694C**

1 message

---

**Dan Pike** <(b) (6)>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Thu, Aug 24, 2017 at 3:36 PM

Thank you! I apologize for any permit coordinator transition issues.

Dan L. Pike

---

**From:** Horton, Clifton <clifton\_horton@fws.gov>  
**Sent:** Thursday, August 24, 2017 10:51 AM  
**To:** Dan Pike  
**Subject:** Re: WBCA permit CB004 renewal status for file number 14694C

We expect this to be completed next week.

On Thu, Jul 20, 2017 at 1:11 PM, Dan Pike <(b) (6)> wrote:

Mr. Horton, I understand you are working on file number 14694C. I am requesting a status update on the renewal for CB004. I have been the permit administrator for CB004 since inception in 1994. In March of 2015 that role was transitioned to a member on the cooperative, Bethany McMartin (see attached letter), to carry the permit forward. I believe there has been a misunderstanding since renewal came up in 2016. When Bethany submitted renewal in summer of 2016, it appears the application was treated like a request for new cooperative program, and has been delayed since that time.

There are some members who have been waiting to import critical new goshawk genetics, and I would like to help find a resolution. If there is anything you need from me, or any additional information beyond what Bethany has provided, please let me know. I would greatly appreciate a response.

Thank you for your time.

Dan L. Pike  
Chair, CITES Committee  
North American Falconers Association

---

Clifton A. Horton  
U.S. Fish & Wildlife Service  
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MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

## Re: Australian species included in WBCA cooperative breeding programs

1 message

---

**Kapetanakos, Yula** <yula\_kapetanakos@fws.gov>

Thu, Aug 31, 2017 at 10:55 AM

To: "St John, Anne" <anne\_stjohn@fws.gov>, "Hoover, Craig" <craig\_hoover@fws.gov>, "Gnam, Rosemarie" <rosemarie\_gnam@fws.gov>, Eleanora Babij <eleanora\_babij@fws.gov>, "Horton, Monica" <monica\_horton@fws.gov>, Laura Noguchi <laura\_noguchi@fws.gov>, "Horton, Clifton" <clifton\_horton@fws.gov>

We will be meeting in Monarch for the CBP 036 meeting

Thanks.

Yula

On Thu, Aug 31, 2017 at 10:26 AM, Kapetanakos, Yula <yula\_kapetanakos@fws.gov> wrote:

Good morning everyone,

In the interest of time this afternoon we would like to focus only on CBP 036 rather than both CBP 036 and 004. We'll be in touch about 004 at a later date.

In addition, I found the original 2008 finding for the Australian Parakeet program and I've attached here.

I still haven't heard back about room availability but I'll keep you posted. See you at 2:30.

If anyone needs to call in, here's the conference line info:

1-866-823-6387

Passcode: 2105864

On Wed, Aug 30, 2017 at 3:20 PM, Kapetanakos, Yula <yula\_kapetanakos@fws.gov> wrote:

Hi everyone -

Based on the email thread below, I put on the calendar a time where we can discuss CB036 (Australian parakeets). It seems 2:30pm tomorrow (Thursday Aug. 31) works for everyone. I've attached CB036's most recent renewal in case it's helpful.

In addition, since we will all be together, I'd like to touch base about CB004 (Washington Falconers Association). Their CB permit lapsed and they have submitted a new application. But I have some concerns and would like some feedback as I work on their finding. As I went through their old files, which date back to 1994, I noticed some unique aspects of the CBP that seem to be present in the current application. Anne, you've had quite a bit of interaction with this CBP as far as I can tell from previous email exchanges, so it would be great to hear your thoughts. If we don't have time to meet on the second item then I can outline my thoughts in an email.

Mo is not here today and I'm not sure who can reserve a room in her absence. If you know who else I can contact to reserve a space please let me know and I can do so.

Thanks and see you tomorrow.

Yula

On Wed, Aug 30, 2017 at 2:12 PM, St John, Anne <anne\_stjohn@fws.gov> wrote:

FYI

----- Forwarded message -----

From: **Hoover, Craig** <craig\_hoover@fws.gov>

Date: Wed, Aug 30, 2017 at 2:08 PM

Subject: Re: Australian species included in WBCA cooperative breeding programs

To: "St John, Anne" <anne\_stjohn@fws.gov>

Cc: "Babij, Eleanora" <eleanora\_babij@fws.gov>, Rosemarie Gnam <rosemarie\_gnam@fws.gov>, Laura Noguchi <laura\_noguchi@fws.gov>, Clifton Horton <clifton\_horton@fws.gov>, Pamela Scruggs <pamela\_scruggs@fws.gov>

Is 2:30 possible? If not, I can do 2 but will need to skip something else.

On Wed, Aug 30, 2017 at 2:02 PM, St John, Anne <[anne\\_stjohn@fws.gov](mailto:anne_stjohn@fws.gov)> wrote:  
Thanks, Elena. Now to see if that works for Craig and Laura.

Regards,  
anne

On Wed, Aug 30, 2017 at 1:52 PM, Babij, Eleanora <[eleanora\\_babij@fws.gov](mailto:eleanora_babij@fws.gov)> wrote:  
Hi Anne-

2:00 will work for us. Thanks.

Elena

On Mon, Aug 28, 2017 at 4:23 PM, St John, Anne <[anne\\_stjohn@fws.gov](mailto:anne_stjohn@fws.gov)> wrote:  
Dear Colleagues,

Please let me know if 2:00pm on Thursday will work for you.

Regards,  
anne

On Mon, Aug 28, 2017 at 3:41 PM, St John, Anne <[anne\\_stjohn@fws.gov](mailto:anne_stjohn@fws.gov)> wrote:  
Dear Colleagues,

Craig has suggested that we have a face-to-face meeting to discuss this issue. Please let me know if you have any availability this week to meet.

Regards,  
anne

On Fri, Aug 25, 2017 at 9:33 AM, St John, Anne <[anne\\_stjohn@fws.gov](mailto:anne_stjohn@fws.gov)> wrote:  
1 of 2

Dear Rose,

In follow-up to our brief chat yesterday, below is information concerning Mr. Smith's efforts to import Australian endemic species for use in his approved WBCA cooperative breeding program. Please let me know if you would be available next week to discuss how to proceed with this information.

Regards,  
anne

----- Forwarded message -----

From: **Horton, Clifton** <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

Date: Thu, Aug 24, 2017 at 1:23 PM

Subject: Re: Telephone call from Frank Smith, Australian parrot WBCA coop

To: "St John, Anne" <[anne\\_stjohn@fws.gov](mailto:anne_stjohn@fws.gov)>

Cc: Pamela Scruggs <[pamela\\_scruggs@fws.gov](mailto:pamela_scruggs@fws.gov)>, Laura Noguchi <[laura\\_noguchi@fws.gov](mailto:laura_noguchi@fws.gov)>

Mr. Smith's co-op (CB036) is approved to import the following species and he states that DSA is not allowing him to import some of these species:



green-winged king-parrot (*Alisterus chloropterus chloropterus*), Australian king-parrot (*Alisterus scapularis*), twenty-eight parakeet (*Barnardius zonarius semitorquatus*), Port Lincoln parakeet (*Barnardius zonarius zonarius*), bluebonnet parakeet (*Northiella haematogaster*), moustached parakeet (*Psittacula alexandri alexandri*), emerald-collared parakeet (*Psittacula calthorpae*), Malabar parakeet (*Psittacula columboides*), plum-headed parakeet (*Psittacula cyanocephala*), and slaty-headed parakeet (*Psittacula himalayana himalayana*).

Mr Smith states that all of his prospective imports are from European, captive bred, closed banded (most are mutations) and he does not understand why DSA is denying him the ability to import these specimens.

Below is information I received from Mr. Smith via e-mail:

<<<Mr. Horton, Here is the information as per our phone conversation. I want to thank you for your help in resolving this issue. If you don't mind please let me know you received this ok. I want to thank you in advance for trying to help resolve this matter. Also if you don't mind please keep me posted. Regards

Dear Mr Smith

Thank you for your email regarding the import of captive bred Australian native birds from Belgium and the Netherlands to the United States. I apologise for the delayed response.

As you are aware, under Australian law native Australian birds (and animals) cannot be exported for commercial or breeding purposes under any circumstances. Only six species of parrot can be exported as household pets and this is only approved with strict evidence that the bird is a bona fide household pet and will not be used for commercial or breeding purposes. These birds are:

Sulphur-crested cockatoo (*Cacatua galerita*)  
Galah (*Eolophus roseicapillus*)  
Little corella (*Cacatua sanguinea*)  
Long-billed corella (*Cacatua tenuirostris*)  
Cockatiel (*Nymphicus hollandicus*)  
Budgerigar (*Melopsittacus undulatus*).

Prior to the introduction of the laws prohibiting the export of native birds and animals we are aware that there was trade in Australian native species and that the progeny of these animals are still in existence overseas.

There is no documentation this Department requires, but we would request that you ensure you are only purchasing legally exported/bred specimens and that you undertake due care to ensure you are not purchasing or contributing to illegal trade in Australian native birds.

If you have any reason to believe that the specimens you are looking to purchase are or are descended from unlawfully exported native Australian birds then we would appreciate if you could provide information to the Department's wildlife trade compliance section email [wildlifetrade@environment.gov.au](mailto:wildlifetrade@environment.gov.au)

I hope this information is of assistance. If you have any further questions please do not hesitate to contact the Wildlife Trade Regulation team.

Kind regards

Sarah

Sarah Hearn | A/g Assistant Director | Wildlife Trade Regulation Section  
CITES Management Authority of Australia | Department of the Environment and Energy  
( 02 6274 1900 (option '3') \* [wildlifetrade@environment.gov.au](mailto:wildlifetrade@environment.gov.au)  
GPO Box 787, CANBERRA, ACT 2601  
Description: frog email>>>

On Thu, Aug 24, 2017 at 11:22 AM, St John, Anne <[anne\\_stjohn@fws.gov](mailto:anne_stjohn@fws.gov)> wrote:

Dear Clif,

When you have a moment, would you please call Mr. Frank Smith at 813-763-1967? As I told you, he indicated this morning when I spoke to him that he has a letter from "the Australian Fish and Wildlife Service equivalent" stating that the Australians recognize that numerous endemic species were exported before Australia's law banning exports went into effect and that there are animals from those bloodlines available from European breeders (his characterization of the contents of the letter).

I spoke to Rose and she thinks that we left it with Mr. Smith trying to obtain a letter along the lines of the one he claims to have now. I guess we need to figure out from him where he is in the process (i.e., seeking approval of the species to be included in his cooperative breeding program or seeking to get WBCA import permits) and then go from there. Thanks and sorry I don't have a better understanding of the whole picture.

Regards,  
anne

--

Anne St. John, Biologist  
Wildlife Trade and Conservation Branch  
Division of Management Authority  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041-3803](#)  
Email: [anne\\_stjohn@fws.gov](mailto:anne_stjohn@fws.gov)  
Tel: 703-358-1990

--

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[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

Anne St. John, Biologist  
Wildlife Trade and Conservation Branch  
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[5275 Leesburg Pike](#)  
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--

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--

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--

Eleanora Babij, Ph.D.  
Chief, Branch of Consultation and Monitoring  
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Craig Hoover  
Chief, Division of Management Authority  
International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041-3803](#)  
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[www.fws.gov/international](http://www.fws.gov/international)

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--

Anne St. John, Biologist  
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--

Yula Kapetanakos, PhD

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skype: yulakap

--

Yula Kapetanakos, PhD

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--

Yula Kapetanakos, PhD

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skype: yulakap

---

**Fwd: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"**

1 message

---

Horton, Clifton <clifton\_horton@fws.gov>

Wed, Sep 6, 2017 at 8:31 AM

To: "Kapetanakos, Yula" <yula\_kapetanakos@fws.gov>, "Babij, Eleanora" <eleanora\_babij@fws.gov>

----- Forwarded message -----

From: **Bethany McMartin** (b) (6) m>

Date: Fri, Sep 1, 2017 at 10:02 PM

Subject: RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

To: "Horton, Clifton" <clifton\_horton@fws.gov>

Hi Clifton,

Please see attached for Gary Maul's letter that Dan Pike sent to DMA in December 2014.

The list of species you sent are what the WFA Co-op would like to add on the species list in the future, please disregard at this time.

Thank you,

Bethany McMartin

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]

**Sent:** Thursday, August 31, 2017 7:51 AM

**To:** Bethany McMartin (b) (6)

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings, do you have any documentation showing when Gary Maul was added to the Co-op?

Also it is not clear if you are asking to add these species as they are not listed under question 2(a) of your application but are in the table titled WFA Cooperative Breeding Program:

*Falco peregrinus peregrinator*

*Falco peregrinus ernesti*

*Falco cherrug*

*Falco biarmicus*

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

 **MaulOMA.doc**  
79K

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## Re: help?

1 message

---

**Maltese, Marie** <[marie\\_maltese@fws.gov](mailto:marie_maltese@fws.gov)>  
To: "Horton, Clifton" <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>

Tue, Oct 4, 2016 at 4:16 PM

Thanks Clif!

On Tue, Oct 4, 2016 at 4:14 PM, Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)> wrote:  
They were sent a renewal notice and the sent in an incomplete application. They can not import.

On Tue, Oct 4, 2016 at 4:08 PM, Maltese, Marie <[marie\\_maltese@fws.gov](mailto:marie_maltese@fws.gov)> wrote:  
Clif,  
We renewed them in 2014, But they are expired? Should we deny or send them a letter?  
Marie

On Tue, Oct 4, 2016 at 4:04 PM, Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)> wrote:  
Marie, this Co-op is expired. when active, they were not approved for peregrines.

Thanks, Clif

On Tue, Oct 4, 2016 at 3:39 PM, Maltese, Marie <[marie\\_maltese@fws.gov](mailto:marie_maltese@fws.gov)> wrote:  
Hi Clif,  
Do you have a phone number for Dan Pike CB 004, Washington Falconer's assoc.? I have an application for Jeffrey Rossey to import peregrine falcons, and don't see that they are approved for them. Do you know?  
Thanks,  
Marie

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
*(Please note new address.)*  
Phone: (703) 358-2486  
Fax: (703) 358-2276  
***[Sign up](#) for our e-newsletter to learn how we're working around the globe to protect species and their habitats!***

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C

**5275 Leesburg Pike  
Falls Church, VA 22041-3803**

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**Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"**

1 message

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**Bethany McMartin** <(b) (6)>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Thu, Aug 24, 2017 at 5:45 PM

Thank you for the update.

Kind Regards,  
Bethany

Sent from my iPhone

On Aug 24, 2017, at 10:43 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

We expect this to be completed next week.

On Tue, Aug 15, 2017 at 3:12 PM, Bethany McMartin <(b) (6)> wrote:

Hi Clifton,

Could you please provide me with an update on the Co-op application?

Kind Regards,

Bethany

**From:** Horton, Clifton [mailto:clifton\_horton@fws.gov]

**Sent:** Wednesday, July 5, 2017 3:40 PM

**To:** Bethany McMartin <(b) (6)>

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Really have no Idea. Best guess is 3 weeks.

On Mon, Jul 3, 2017 at 8:10 PM, Bethany McMartin <(b) (6)> wrote:

Thank you for the update.

Do you know when it might be up for review?

Kind Regards,

Bethany McMartin

**From:** Horton, Clifton [mailto:[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Thursday, June 29, 2017 5:02 AM  
**To:** Bethany McMartin <(b) (6)>  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

This application is in our Division of Scientific Authority. Due to other pressing matters, it has not yet been reviewed.

On Sun, Jun 25, 2017 at 8:25 PM, Bethany McMartin (b) (6) > wrote:

Hello Mr. Horton,

When you have a moment, could you please provide me with the status of the Permit No. "14694C" ?

Kind Regards,

Bethany McMartin

**From:** Permits, FWHQ [mailto:[permits@fws.gov](mailto:permits@fws.gov)]  
**Sent:** Thursday, May 25, 2017 3:28 AM  
**To:** Bethany McMartin <(b) (6)>  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Good morning Ms. McMartin,

Your application is still currently pending. The file is with Cliff Horton who can be reached at [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Thank you for your time and consideration.

*Please do not hit "reply to," send your reply to [permits@fws.gov](mailto:permits@fws.gov).*

\*\*\*\*\*

U.S. Fish and Wildlife Service  
International Affairs Program  
Division of Management Authority  
Branch of Permits

1-800-358-2104

<http://www.fws.gov/international/permits/>

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\*\*\*\*\*

*New Address:  
ATTN DIVISION OF MANAGEMENT AUTHORITY - BRANCH OF PERMITS*

U.S. FISH & WILDLIFE SERVICE HEADQUARTERS

MS: IA

[5275 LEESBURG PIKE](#)

[FALLS CHURCH, VA 22041-3803](#)



Thank you for submitting an application to the U.S. Fish and Wildlife Service. The application was received by the Division of Management Authority on 12/14/2016; check number 1104 accompanied the application. Your application has been assigned the following PRT identification number: MA14694C-0

While processing time may be less, you should anticipate a minimum of 30 days to process your request, with many requests averaging between 60 to 90 days due to some requests which need to be published in the Federal Register and/or be reviewed by other Service offices.

While we are aware that you may want to inquire about the status of your application, we request that you allow our office at least three weeks after the receipt of this letter to make any inquiries. If you have additional information that needs to be provided, please mail information to DMA, Branch of Permits MS:

IA, [5275 Leesburg Pike, Falls Church, VA 22041](#) by fax 703-358-2281 or call our staff on duty at 800- 358-2104.

--

Clifton A. Horton

U.S. Fish & Wildlife Service

[5275 Leesburg Pike](#)

MS: IA

Falls Church, VA 22041-3803

(703) 358-1908

[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

Clifton A. Horton

U.S. Fish & Wildlife Service

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--

Clifton A. Horton

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Falls Church, VA 22041-3803

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[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

**Re: Bethany McMartin Rondeau PRT #14694C - CB004**

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Barry, Anna" <anna\_barry@fws.gov>

Mon, Nov 6, 2017 at 12:54 PM

No-told her what to do to get in compliance.

On Mon, Nov 6, 2017 at 12:01 PM, Barry, Anna <anna\_barry@fws.gov> wrote:  
Did you refer it to OLE?

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041-3803](#)  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*\*ALERT\* You may have noticed that our **application forms expired 5/31/2017**. The forms are currently undergoing periodic review by the Office of Management and Budget. Once that process is complete, we will post updated application forms. In the interim, please continue to use the application forms available from our website with the expiration date 5/31/2017. We will continue to accept those forms until new ones become available. However, please check back each time you submit a new application to see whether our new forms have been posted. **We do not accept applications via fax or e-mail.***

***Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!***



On Mon, Nov 6, 2017 at 11:30 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
The co-op also had distributed birds to people who are not authorized to possess them.

On Mon, Nov 6, 2017 at 11:29 AM, Barry, Anna <anna\_barry@fws.gov> wrote:



Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041-3803](#)  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

**\*ALERT\*** You may have noticed that our **application forms expired 5/31/2017**. The forms are currently undergoing periodic review by the Office of Management and Budget. Once that process is complete, we will post updated application forms. In the interim, please continue to use the application forms available from our website with the expiration date 5/31/2017. We will continue to accept those forms until new ones become available. However, please check back each time you submit a new application to see whether our new forms have been posted. **We do not accept applications via fax or e-mail.**

**Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!**



On Mon, Nov 6, 2017 at 11:26 AM, Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)> wrote:

Yes-there have been all kinds of issues-the applicant has been advised to provide the requested documents so that we can proceed.

On Mon, Nov 6, 2017 at 11:18 AM, Barry, Anna <[anna\\_barry@fws.gov](mailto:anna_barry@fws.gov)> wrote:

Good Morning Clif,

Is this Coop still pending?

Thanks,

Anna

----- Forwarded message -----

From: **Brian Sullivan** (b) (6) >

Date: Sun, Nov 5, 2017 at 4:46 PM

Subject: Import of Goshawks from Germany

To: "Barry, Anna" <[anna\\_barry@fws.gov](mailto:anna_barry@fws.gov)>

Dear Anna,

Could you please give me an update on my permit application status for importing the Goshawks from Germany.

In addition if the WFA COOP still has not been re-new-ed I would request who is currently handling the that and an update on why it has not been finalized, so I can contact the person in charge to help facilitate getting it active once again.

Best regards, Brian

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
[5275 Leesburg Pike](#)  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908

[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

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--

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---

## Fwd: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

1 message

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**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Tue, Nov 14, 2017 at 8:32 AM

For you - With my inquiry to her about Gary Maul not being registered, the previous letter requesting the addition of him to the CBP (which she resubmitted), and now this information, she is trying to get his addition to the CBP completed.

Thanks, Monica

----- Forwarded message -----

From: **Bethany McMartin** (b) (6) >  
Date: Thu, Nov 9, 2017 at 3:40 PM  
Subject: RE: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)  
To: "Horton, Monica" <monica\_horton@fws.gov>

Thank you for getting back to me. Please see attached for Gary's Annual Reports to complete his addition to the co-op.

Kind Regards,

Bethany

**From:** Horton, Monica [mailto:monica\_horton@fws.gov]  
**Sent:** Thursday, November 9, 2017 3:20 AM

**To:** Bethany McMartin <(b) (6)>  
**Subject:** Re: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Thank you Bethany for your email. I have enough information to complete my finding.

Have a great weekend,

Monica

On Tue, Nov 7, 2017 at 3:02 PM, Bethany McMartin (b) (6) wrote:

Hi Monica,

To answer your questions –

I have attached an updated version of the COOP-04 Summary with the ratio of male/female in the Disposition of imported/offspring column under the bold text of 2017 Summary.

The number imported column for the European Goshawk has been updated from 14 to 17. I forgot to add in the 3 imported in 2015 under Justin Rondeau, that has now been corrected. Please also note the text in bold under the 2017 Summary. Please see attached for the letter sent in for the removal of the two goshawks.



Please see attached for the request to remove the four Aplomados transferred from co-op member Dennis Grisco to Fred Seaman. Dennis Grisco has been very ill since 2016 and his wife transferred the birds to Fred Seaman because Dennis could no longer care for them. She was unaware that she needed to send in a request to the COOP to transfer the birds.

Gary Maul's annual reports to prove two years of breeding success are being sent to me and I will forward them to you once I receive them. Please see attached for the letter of approval sent in by Dan Pike in 2014 for Gary to be a COOP member.

Thank you,

Bethany

**From:** Horton, Monica [mailto:[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)]  
**Sent:** Friday, November 3, 2017 8:39 AM  
**To:** Bethany McMartin <(b) (6)>

**Subject:** Re: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Hi Bethany,

As I am completing my finding, I have just a few questions seeking clarification before I can finalize it:

- Do you know the male/female ratio for the imported birds of European sparrowhawk, Red-naped shaheen and European goshawk?
- For European goshawk, I am having trouble understanding the current situation regarding the 14 imported birds. Your summary states 8 retained, 2 removed from CBP (I have the letter of approval for one female, do you have an approval letter from USFWS for the other bird?), 3 died (killed by mates), 1 lost, 1 killed by raccoon, 1 transferred to CB014 (do you have a letter from USFWS approving the transfer?), and 1 died from old age (Dane Pike 2015). That totals 17 birds, however, only 14 were imported. Can you please clarify the disposition of each of the 14 imported birds? I am just trying to understand the current situation.
- For Aplomado falcon, two individuals Gary Maul and Fred Siemen are listed as currently in possession of these birds. However, we do not have either one of these individuals listed as approved members of your CBP. If they are to continue to keep these birds, you will need to request and submit the appropriate paperwork for them to become members of the CBP. If not, then the birds needs to be transferred to an approved member of the CBP. Thank you.

Please let me know if you have any questions.

Thanks, Monica

On Tue, Oct 31, 2017 at 7:26 AM, Horton, Monica <[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)> wrote:

Hi Bethany,

Yes, I should be able to complete my finding today. It will then be submitted for review and signature, and then sent to the Division of Management Authority for final approval.

Thanks,

Monica

On Sat, Oct 28, 2017 at 1:05 PM, Bethany McMartin (b) (6) wrote:

Hi Monica,

When you have time could you please provide me with an update on our application?

Thank you,

Bethany

---

**From:** Horton, Monica <[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)>

**Sent:** Friday, October 13, 2017 9:05 AM

**To:** Bethany McMartin

**Subject:** Re: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Hi Bethany,

I will be working on your application today and the beginning part of next week. As I begin to delve in deeper into your records, I will let you know if I have any other questions.

Thank you very much.

Have a great weekend,

Monica

On Thu, Oct 5, 2017 at 11:00 PM, Bethany McMartin (b) (6) wrote:

Hi Monica,

When you have a moment could you please provide the status of our permit?

Thank you,

Bethany

---

**From:** Bethany McMartin

**Sent:** Sunday, September 24, 2017 3:23 PM

**To:** 'Horton, Monica' <[monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)>

**Subject:** RE: Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Ms. Horton,

Thank you for your response. I have answered your questions in [Blue](#).

In your application you have provided a report showing the current status of each species. The report is titled "Summary Report November 20, 2016." It states at the top of the report that the table represents a summary of all activity for CB004 since inception by species type. However, the table you have provided shows data only up through 2012. There is also missing data for Ornate hawk eagle - presumably only one bird was imported, correct?

[I am waiting on a few more responses from Co-op Members and then I will send you a more up-to-date summary report.](#)

In the same table, there is also a note indicating that all European Sparrowhawks have been sent to a member of CBP 005. Are you planning to continue breeding this species as part of CB004? We note in previous documents associated with the CBP that only one member, Barry Ollette, has had interest in breeding this species.

[Yes, we would like to maintain the European Sparrowhawks on our permit.](#)

You also provide a 2nd table that shows import data for 28 Aplomado Falcons yet 41 have been imported. Following that table there is another showing Aplomado progeny but there is no indication how those offspring relate to the birds listed in the previous table. This table shows data beyond 2012 that is not represented on the first summary report discussed above (although we do note the summary table indicates under the F1 column to refer to a table).

[Please see attached for more clarification on the Aplomado Falcons. The spreadsheet will be condensed onto the Summary Report once I get the remaining responses.](#)

Finally, you've provided multiple Migratory Bird Permit Annual Reports for Goshawk, Gyrfalcon, Aplomado, and Peregrine but without indication of which birds are part of the Co-op.

This will be clarified in the Summary Report I send to you. Gyrfalcons and Peregrines on the reports I sent you are not part of the Co op

It would be very helpful for u if you can clarify the e p read heet , perhap by ummarizing the information relevant to the cooperative breeding program in a single report including information up through 2016.

Lastly, is Dan Pike still the lead Cooperator for the CBP?

Dan Pike sent a letter to the DMA stating I would be taking over the Cooperator position.

Thank you,

Bethany McMartin

**From:** Horton, Monica [[mailto:monica\\_horton@fws.gov](mailto:monica_horton@fws.gov)]

**Sent:** Friday, September 8, 2017 6:13 AM

**To:** (b) (6)

**Subject:** Follow-Up Questions Regarding CB004 (WFA cooperative breeding program)

Dear M McMartin Rondeau,

Thank you very much for re ponding to DMA' recent email concerning your application A the Divi ion of Scientific Authority continues to review your application we have some additional questions that will help us better understand the current standing of the WFA cooperative breeding program (CBP).

In your application you have provided a report showing the current status of each species. The report is titled "Summary Report November 20, 2016." It states at the top of the report that the table represents a summary of all activity for CB004 ince inception by pecie type However, the table you have provided how data only up through 2012. There is also missing data for Ornate hawk eagle - presumably only one bird was imported, correct?

In the same table, there is also a note indicating that all European Sparrowhawks have been sent to a member of CBP 005. Are you planning to continue breeding this species as part of CB004? We note in previous documents a sociated with the CBP that only one member, Barry Ollette, ha had intere t in breeding thi pecie

You also provide a 2nd table that shows import data for 28 Aplomado Falcons yet 41 have been imported. Following that table there is another showing Aplomado progeny but there is no indication how those offspring relate to the birds listed in the previous table. This table shows data beyond 2012 that is not represented on the first summary report discussed above (although we do note the summary table indicates under the F1 column to refer to a table).

Finally, you've provided multiple Migratory Bird Permit Annual Reports for Goshawk, Gyrfalcon, Aplomado, and Peregrine but without indication of which birds are part of the Co-op.

It would be very helpful for us if you can clarify these spreadsheets, perhaps by summarizing the information relevant to the cooperative breeding program in a single report including information up through 2016.

Lastly, is Dan Pike still the lead Cooperator for the CBP?

We will be able to quickly complete our evaluation of your application once we have received this additional information.

Thank you very much.

Best regards,

Monica Horton

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike, MS: IA](#)  
Falls Church, VA 22041  
Phone: (703) 358-2505

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Monica A. Horton  
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Falls Church, VA 22041

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Monica A. Horton

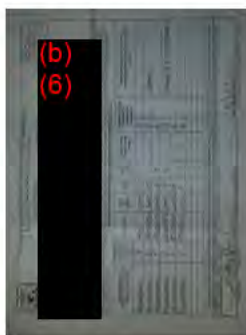
Biologist (CITES Specialist)

Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike, MS: IA](#)  
Falls Church, VA 22041  
Phone: (703) 358-2505

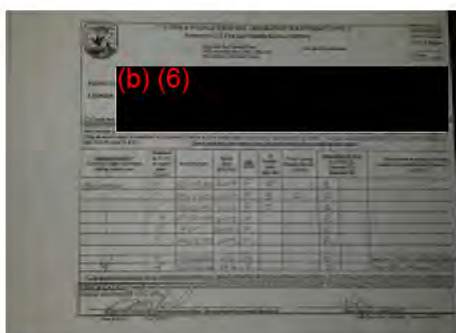
—  
Monica A. Horton  
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Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike, MS: IA](#)  
Falls Church, VA 22041  
Phone: (703) 358-2505

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**2 attachments**



**GaryMaulAnnualReport2017.jpg**  
87K



**GaryMaulAnnualReport2016.jpg**  
338K

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## **PRT# 14694C - CB004 Approval (WBCA)**

1 message

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**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Tue, Nov 14, 2017 at 12:10 PM

Hi Clif,

Attached you will find the completed DSA finding for PRT # 14694C, the WBCA Approval for CB004, as well as a Memorandum regarding an issue noted with information provided in the application. I have also uploaded this finding and memorandum into SPITS.

Please let me know if you have any questions.


Thanks,  
Monica

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

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### **2 attachments**

 **14694C\_DSA\_CB004\_11-14-17.pdf**  
414K

 **14694C\_DSA\_CB004\_Memorandum\_11-14-17.pdf**  
30K



## Re: Application Permit - MA96795B-0

1 message

Horton, Clifton <clifton\_horton@fws.gov>  
To: "Barry, Anna" <anna\_barry@fws.gov>

Thu, Dec 1, 2016 at 7:49 AM

Hello Anna, I don't believe this was ever assigned to me in SPITS. The application (RCVD. 5/16/2016) was incomplete. My suggestion is it be handled the way you normally handle an incomplete application.

Thanks,  
Clif

On Wed, Nov 30, 2016 at 3:15 PM, Barry, Anna <anna\_barry@fws.gov> wrote:

Hello Clif,

Based on the e-mail message below, should I have her send the information to U (BOP) for logging into SPITS then assigned to you? Or do you want to treat this as additional information and have her send the information directly to you?

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

*Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.*

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

***Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!***



----- Forwarded message -----

From: **Bethany McMartin** <b(b) (6)>  
Date: Thu, Nov 24, 2016 at 11:13 PM  
Subject: Application Permit - MA96795B-0  
To: "[Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)" <[Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)>

Hello Anna,

You recently e-mailed Jeff Rossey about the Cooperative Breeding Program CB004 renewal in regards to his application being denied. I was hoping that you could please let me know who is assigned to the permit number

MA96795B-0 ? I have additional paperwork I would like to send in regards to the CB004 renewal but I don't know who I need to send it to.

Kind Regards,

Bethany McMartin Rondeau

CB004 Co-op Administrator

(b) (6)

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

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**RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"**

1 message

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**Bethany McMartin** (b) (6)  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Mon, Dec 11, 2017 at 2:05 PM

Hi Clif,

Thank you for the update.

27,000 pages is quite a project, you guys must have a 5 gallon coffee pot in your break room!

Thanks,

Bethany

**From:** Horton, Clifton [mailto:clifton\_horton@fws.gov]  
**Sent:** Monday, December 11, 2017 10:14 AM  
**To:** Bethany McMartin (b) (6)  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings. I received several e-mails from you recently. My apologies for not responding sooner. I have been overwhelmed in preparing an Administrative Record for litigation (an avalanche of documents comprising over 27,000 pages) which has completely consumed all available time for other matters. I will be finished with that shortly (next day or two) and can proceed with this matter at that time. Everything is in order with this renewal.

Regards,

Clif

On Thu, Dec 7, 2017 at 2:55 PM, Bethany McMartin (b) (6) > wrote:

Hi Clifton,

Could you please provide me with an update on the co-op?

Kind Regards,

Bethany

---

**From:** Bethany McMartin  
**Sent:** Thursday, November 30, 2017 5:13 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I have received 'return to sender' for letters that I tried mailing to you.

Please provide me with an updated mailing address.

Here is what I used :

Clifton Horton

USFWS

DMA

[4401 N Fairfax Dr. Room 700](#)

[Arlington, VA 22203](#)

Thank you,

Bethany

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**From:** Bethany McMartin  
**Sent:** Monday, November 27, 2017 8:48 AM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

When you have a moment could you please provide an update on the Coop?

Thank you,

Bethany

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**From:** Bethany McMartin  
**Sent:** Friday, November 17, 2017 3:25 PM  
**To:** Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I sent an e-mail to Monica with the attached letter on 11/6/17.

We apologize for Dennis Grisco's action in the Co-op and for the improper transfer to Fred Seaman. From my understanding Dennis Grisco is currently in the hospital, and has been very ill since 2016. The falcons are too old to be of breeding age (15 years old) and the letter is requesting to remove them from the co-op.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Thursday, November 16, 2017 7:07 PM  
**To:** Bethany McMartin (b) (6) >  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings Bethany, what is the status of the birds improperly transferred to Fred Seaman? This is the last issue that needs to be resolved for this renewal application.

Regards,

Cli

On Thu, Oct 5, 2017 at 11:00 PM, Bethany McMartin (b) (6) > wrote:

Hi Clifton,

I have yet to get a response from Gary Maul. If this is holding up the renewal with the COOP please consider Gary Maul currently a non-member.

Thank you,

Bethany

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**From:** Bethany McMartin  
**Sent:** Sunday, September 24, 2017 3:07 PM  
**To:** 'Horton, Clifton' <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)>  
**Subject:** RE: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Hi Clifton,

I am waiting for the annual reports to be sent to me by Gary Maul and then I will be able to provide you everything you need.

Thank you,

Bethany

**From:** Horton, Clifton [[mailto:clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)]  
**Sent:** Wednesday, September 6, 2017 4:19 AM  
**To:** Bethany McMartin (b) (6)  
**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Bethany, thank you for your reply. I am unable to locate in our files the copy of the letter (unsigned) you provide here in your e-mail as well as the accompanying documentation referenced in the letter.

Please be aware that prospective members of your cooperative breeding program must be approved by the U.S. Fish and Wildlife Service prior to their inclusion into the cooperative, at which point you will be notified by us if the prospective member is included.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program.

It appears that in this case the information was submitted; however it evidently was lost and consequently was not acted upon by us. Please resubmit the necessary information for Gary Maul to include him into the cooperative.

Thank you,

Clif

On Fri, Sep 1, 2017 at 10:02 PM, Bethany McMartin (b) (6) > wrote:

Hi Clifton,

Please see attached for Gary Maul's letter that Dan Pike sent to DMA in December 2014.

The list of species you sent are what the WFA Co-op would like to add on the species list in the future, please disregard at this time.

Thank you,

Bethany McMartin

**From** Horton, Clifton [[mailto:clifton\\_horton@fw.gov](mailto:clifton_horton@fw.gov)]

**Sent:** Thursday, August 31, 2017 7:51 AM

**To:** Bethany McMartin (b) (6) >

**Subject:** Re: Dec 14 2016 2:00:00; Acknowledgement letter for Permit No. "14694C"

Greetings, do you have any documentation showing when Gary Maul was added to the Co-op?

Also it is not clear if you are asking to add these species as they are not listed under question 2(a) of your application but are in the table titled WFA Cooperative Breeding Program

*Falco peregrinus peregrinator*

*Falco peregrinus ernesti*

*Falco cherrug*

*Falco biarmicus*

--

Clifton A. Horton

U.S. Fish & Wildlife Service

[5275 Leesburg Pike](#)

MS: IA

Falls Church, VA 22041-3803

(703) 358-1908

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--

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--

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[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)



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**Re: Bethany McMartin Rondeau PRT #14694C - CB004**

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Barry, Anna" <anna\_barry@fws.gov>

Thu, Dec 21, 2017 at 6:59 AM

All is in order-it will be processed when I return the first week January 2018.

On Wed, Dec 20, 2017 at 5:34 PM, Barry, Anna <anna\_barry@fws.gov> wrote:  
Any update on above application?

Thanks,

Anna

----- Forwarded message -----

From: **Brian Sullivan** <(b) (6)>  
Date: Tue, Dec 19, 2017 at 2:34 PM  
Subject: Re: Brian Sullivan PRT #18696C (Germany)  
To: "Barry, Anna" <anna\_barry@fws.gov>

Dear Anna,

I was wondering if the WFA COOP have been reinstated and if the permit application (PRT #18696C) has commenced?

Thank you for your time.

Best wishes, Brian

On Mon, Nov 6, 2017 at 8:31 AM, Barry, Anna <anna\_barry@fws.gov> wrote:  
Dear Mr. Sullivan,

Since the WBCA Coop has not be approved, we have not moved forward with the processing of your applications. Once the WBCA Coop has been finalized I can begin the processing of your application. Please remember that without the approval of the WBCA Coop your application would not qualify for a permit, thus, it would be denied, which is why we are waiting for the Coop to be approved.

To find out the status of the WBCA Coop I recommend that you contact Bethany McMartin Rondeau and/or Clifton Horton with the Wildlife Trade and Conservation Branch at [Clifton\\_Horton@fws.gov](mailto:Clifton_Horton@fws.gov).

Sincerely,

Anna Barry  
Senior Biologist  
USFWS/Division of Management Authority  
Branch of Permits, MS: IA  
[5275 Leesburg Pike](#)  
Falls Church, VA 22041-3803  
1-800-358-2104, ext. 1976 or 703-358-1976  
Fax: 703-358-2281  
E-mail: [Anna\\_Barry@fws.gov](mailto:Anna_Barry@fws.gov)

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

**\*ALERT\*** You may have noticed that our **application forms expired 5/31/2017**. The forms are currently undergoing periodic review by the Office of Management and Budget. Once that process is complete, we will post updated application forms. In the interim, please continue to use the application forms available from our website with the expiration date 5/31/2017. We will continue to accept those forms until new ones become available. However, please check back each time you submit a new application to see whether our new forms have been posted. **We do not accept applications via fax or e-mail.**

**Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!**



On Sun, Nov 5, 2017 at 4:46 PM, Brian Sullivan (b) (6) wrote:

Dear Anna,

Could you please give me an update on my permit application status for importing the Goshawks from Germany.

In addition if the WFA COOP still has not been re-new-ed I would request who is currently handling the that and an update on why it has not been finalized, so I can contact the person in charge to help facilitate getting it active once again.

Best regards, Brian

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)



February 15, 2015

Chief, Branch of Operations  
Division of Management Authority  
Fish and Wildlife Service  
Washington, DC 20240

To Whom It May Concern:

I am currently the program administrator for the Washington Falconers Association Cooperative Breeding Program (File Number CB004). I am going to be transitioning out of the program administrator role, but remain as a breeder member on the permit. I am currently training 2 people to fill the role as program administrators. The new program administrators will be Justin and Bethany Rondeau, who are currently on the permit as breeder members. Their records are already on file with your office. They are successful as propagators, and have recently imported some goshawks under the permit, so are very aware of the paperwork and process requirements. I will be available at any time to assist them over the coming years to make sure this transition is smooth, and that they understand the history and internal processes for this permit. Their contact information and a brief statement regarding their qualifications is below:

Justin and Bethany Rondeau

(b) (6)

Port Angeles, WA (b) (6)

Propagation permit MB-111515-0

(b) (6)

Phone (b) (6)

Justin and Bethany have extensive falconry experience and flown kestrels, redtails, prairie falcons, North American and Finnish goshawks, gyrfalcons, and peregrines falcons. They produced 8 falcons last year. This year they expanded their project with several new gyrfalcons and peregrines. As mentioned above they recently imported three goshawks from Germany, which are currently in quarantine in New York. They realize the importance of adding genetics which is only possible with the WBCA permit.

This transition will be effective starting in March 2015.

Sincerely,

Dan L. Pike  
CB004 Permit Coordinator

Steve Seibert  
WFA Director at Large

WILD BIRD CONSERVATION ACT  
RECORD OF FINDINGS ON APPLICATION  
FOR APPROVAL OF COOPERATIVE BREEDING PROGRAM  
U. S. FISH & WILDLIFE SERVICE

Application number: CB004 (PRT# 14694C)

Applicant: Bethany McMartin Rondeau

Oversight Organization: Washington Falconers Association (WFA)

Previously Approved Species:

|   | <u>Specimens approved:</u> |
|---|----------------------------|
| European sparrowhawk ( <i>Accipiter nisus</i> )   | 4.4                        |
| Red-naped shaheen ( <i>Falco peregrinus babylonicus</i> )                                 | 6.6                        |
| Ornate Hawk-eagle ( <i>Spizaetus ornatus</i> )  | 10.10                      |
| European goshawk ( <i>Accipiter gentilis</i> )  | 15.15                      |
| Aplomado falcon ( <i>Falco femoralis femoralis</i> and <i>Falco femoralis pichincae</i> ) | 20.20 (combined)           |

Background:

The original request for establishing Cooperative Breeding Program (CBP) #004 was first approved on November 29, 1994, for European sparrowhawk (*Accipiter nisus*) and European goshawk (*Accipiter gentilis*). Subsequent amendments to the cooperative breeding program included the addition of three species: Red-naped shaheen (*Falco peregrinus babylonicus*), Aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincae*), and Ornate Hawk-eagle (*Spizaetus ornatus*). According to Wild Bird Conservation Act of 1992 implementing regulations (50 CFR Section 15.26, paragraph (e)), cooperative breeding programs are approved for two years, at which time applicants may apply to the Service for renewal of a program's approval. CB004 was renewed on December 11, 1995, December 8, 1998, February 13, 2002, August 3, 2004, December 21, 2006, May 1, 2009, August 1, 2011, and May 14, 2014. However, the CBP did not submit an application to renew the program's approval prior to the May 14, 2016, expiration date, at which point the previously issued approval expired. As a result, the applicant, Ms. Bethany McMartin Rondeau, was requested to submit an application to establish a new CBP, which she did on December 9, 2016. Ms. McMartin Rondeau is the Lead Cooperator for this cooperative breeding program (CBP).

According to the applicant, the purpose of the CBP is to establish and maintain a viable captive breeding population of native and non-native raptors. To accomplish this goal, the CBP expects cooperation among multiple breeders for each of the species being maintained. The Washington Falconers Association (WFA) has established three classes of CBP membership through their administrative policies (included with application):

- Active Breeding Members: consists of licensed and experienced raptor propagators who have been approved by six affirmative votes of the WFA Board admission. Active breeding members vote of the admission of new breeding members and on other CBP affairs.

- **Inactive Breeding Members:** consists of active breeding members who are no longer actively participating in the CBP. These members are not authorized to import raptors through the CBP, cannot request new species be added to the approved list, and cannot vote on CBP affairs including admission of new members.
- **Non-breeding Members:** consists of licensed falconers and others with legal authorization to possess Migratory Bird Treaty Act (MBTA) protected birds of prey who may receive CBP raptors on long term loan so they can be exercised through falconry or other means in preparation for their use in the CBP.

The WFA CBP policy guidelines state that individuals wishing to be added to the CBP must submit to the chair of the CBP all paperwork that is required by the Service for adding new CBP members. Once the WFA receives the required documents, the CBP permit co-coordinator and the president of the WFA draft and jointly sign a letter to the Service indicating that the applicant has been approved by the WFA. All required materials are then submitted to Service for final approval.

**Current Status of the Program:**

The CBP application currently includes a list of WFA CBP Approved Breeders and non-voting Falconry members. The following individuals were previously approved as members of the cooperative breeding program (CB004):

***Program Members:*** Lester Boyd, Brian Sullivan, Jim Nelson, Barry Ollette, Doug Alton, Dan Pike, Ron Tokar, Chuck Arnold, Brad Wood, Danny Ertsgaard, Matthew Mitchell, Wayne Lippert, Dave Knutson, Jeff Rossey, Geoff Hirschi, Bill Meeker, Brad Felger, Dave Baker, Gregg Zerbe, Dennis Grisco, Thomas Coulson, Jennifer Coulson, Bill Murphy, John Brennan, Jim Adamson, Troy Morris, Jim Ingram, Tim Hickok, Connie Stanger, Jeff Novak, Christopher Lynn, Jimmy Bathke, Jason Hausman, Raul Ramirez, Keith Hix, Darryl Perkins, Jeff Kisak, Patrick Rummings, Joe Terry, Justin Rondeau, Bethany Rondeau, and Justin Stovall.

***Falconry Members:*** Harry McElroy, Tom Gleason, Aaron Smith, Jeremy Roselle, Cliff Robinson, Randy Landis, Phil Smith, Simone Cook, and Marilyn Musser.

The applicant reported the following information regarding CBP imported birds, breeding success, mortalities, and disposition of birds:

| Species   | Total Quantity Approved for Import | Total Quantity Imported under the CBP (to date) | Offspring produced (F1)                                   | Mortalities   | Disposition of Birds   |
|---|------------------------------------|---|---|---|--|
| European sparrowhawk ( <i>Accipiter nisus</i> ) | 4.4                                | 5   | 2002-2004:<br>12 offspring<br><br>2005-2006:<br>eggs only | 3 imported birds died (1 in quarantine, 2 killed by mate)<br><br>2 F1 died (killed by mate) | 2 imported birds retained<br><br>Remaining birds transferred to CB005 in 2006; Currently no birds in CB004 |

| Species   | Total Quantity Approved for Import | Total Quantity Imported under the CBP (to date) | Offspring produced (F1)             | Mortalities   | Disposition of Birds   |
|---|------------------------------------|---|-------------------------------------|---|--|
| Red-naped shaheen ( <i>Falco peregrinus babylonicus</i> )                                 | 6.6                                | 7   | 2001-2017:<br>51 offspring          | 4 imported died (old age)<br><br>2 F1 died (1 from heatstroke, 1 from owl predation)<br><br>1 F1 lost   | 3 imported retained<br><br>24 F1 and F2 retained, 21 transferred outside CBP   |
| Ornate Hawk-eagle ( <i>Spizaetus ornatus</i> )  | 10.10                              | 1.0 (1)   | 2007-2017:<br>21 eggs; no offspring |   | 1 imported retained  |
| European goshawk ( <i>Accipiter gentilis</i> )  | 15.15                              | 17  | 2001-2017:<br>37 offspring          | 3 imported birds killed by mate, 1 lost, 1 killed by raccoon, 1 died of old age.<br><br>8 F1 died: 2 aspergillosis, 1 from carbon monoxide, 1 from window strike, 1 sour crop, 1 horned owl predation, 1 raccoon predation. | 8 imported retained, 2 non-breeding birds moved (approval was requested from DMA)<br><br>15 F1 retained, 12 moved outside program<br><br>1 imported and 1 F1 moved to CBP014   |
| Aplomado falcon ( <i>Falco femoralis femoralis</i> and <i>Falco femoralis pichincae</i> ) | 20.20                              | 20.21 (41)                                      | 2002-2017:<br>444 offspring         | 16 imported died, 1 lost<br><br>[see table included in applicant's additional response for F1 data]   | 21 imported retained, 3 removed from CBP due to inability to breed (all received approval from DMA)<br><br>[see table included in applicant's additional response for F1 data] |

### Species Information:

**European sparrowhawk (*Accipiter nisus*):** The Eurasian sparrowhawk has a very broad distribution throughout Eurasia and Africa. This species is not globally threatened and is listed as Least Concern on the IUCN Redlist (BirdLife International 2016a). It was listed in CITES

Appendix II in 1977 as part of a broader order listing for Falconiformes (UNEP-WCMC 2017). The European population is estimated at 403,000-582,000 pairs, which is roughly 805,000-1,160,000 mature individuals (BirdLife International 2016a). Europe forms approximately 36% of the global range and a preliminary estimate of the global population size is 2,240,000-3,220,000 mature individuals, although further validation of this estimate is needed. The population trend at present appears stable (BirdLife International 2016a). Historically, widespread persecution, especially from gamekeepers, in the 20th century caused large numbers of the birds to be killed (del Hoyo *et al.* 1994; Ferguson-Lees and Christie 2001). Sharp declines in Europe in the 1950s-1960s were driven by the use of harmful organochlorine pesticides, which causes direct mortality of adults as well as reduced breeding success. The species is still trapped by the thousands annually in Turkey, where it is used by falconers, but habitat alteration is thought to be the major contemporary threat (del Hoyo *et al.* 1994). It is also highly vulnerable to the impacts of potential wind energy developments (Strix 2012). Ingestion of prey containing lead shot is an additional threat (BirdLife International 2016a).

According to the CITES trade database, there were 35 live *Accipiter nisus* in trade between 2006 and 2016 as reported by importing countries (66 reported by exporting countries). Of those, 23 were sourced from the wild (27 as reported by exporters) (UNEP-WCMC 2017b).

**Red-naped shaheen (*Falco peregrinus babylonicus*):** The Red-naped shaheen, or Barbary falcon, is one of ~19 currently recognized subspecies of Peregrine falcon (White *et al.* 2017; White *et al.* 2013). *Falco peregrinus babylonicus* is distributed in central Asia from eastern Iran to Mongolia (White *et al.* 2017). The global population of the nominate species is estimated at c.140,000 individuals which equates to 93,300 mature individuals. The European population is estimated at 14,900-28,800 pairs, which equates to 29,700-57,600 mature individuals (BirdLife International 2016c). Europe forms approximately 13% of the global range, so a preliminary estimate of the global population size is 228,000-443,000 mature individuals, although further validation of this estimate is needed (BirdLife International 2016c). Over the last 100 years, the species has suffered severe population declines due to persecution (19<sup>th</sup> and 20<sup>th</sup> centuries), and drastically reduced reproduction and increased mortality of adults associated with pesticide contamination. The species is also used extensively in falconry although the impact of this activity on population numbers is unknown (Ferguson-lees and Christie 2001; BirdLife International 2016c). The global population is currently thought to be stable (BirdLife International 2016c).

*Falco peregrinus babylonicus* was listed in CITES Appendix I in 1975. On a species level, *Falco peregrinus* was listed in Appendix I in 1977 (UNEP-WCMC 2017a). *Falco peregrinus* is listed as Least Concern on the IUCN Redlist, while the subspecies *F. p. babylonicus* does not have a unique IUCN listing.

According to the CITES trade database, between 2006 and 2016 there were 26 live *F. p. babylonicus* registered in trade by importing countries (42 recorded by exporting countries). All individuals were reported as captive-bred (UNEP-WCMC 2017).

**Ornate Hawk-eagle (*Spizaetus ornatus*):** The Ornate hawk-eagle is a neotropical endemic distributed from Mexico to Paraguay and northern Argentina (BirdLife International 2016e). The species is also found on Trinidad and Tobago (Canuto 2008). There are two currently recognized subspecies: *S. o. ornatus*, which is restricted to South America (Northern Columbia to northern Argentina); and *S. o. vicarious*, from Central America to northern Columbia and Ecuador (Ferguson-Lees and Christie 2001).

The Ornate hawk-eagle is typically a bird of dense forest although observations have been made in dry forest as well (Cerqueira *et al.* 2015). In Brazil, the species has declined in areas of heavy deforestation and it is known to have also declined in Argentina (BirdLife 2016e). Recent surveys in Mexico suggest that the Ornate hawk-eagle may be increasingly rare due to tropical deforestation and poaching (de Labra *et al.* 2013), and the species appears to be very sensitive to habitat fragmentation, edges and human presence (Canuto 2008).

Although population numbers throughout the species entire range have not been quantified, population estimates exist throughout parts of its range. In one forest site in French Guiana, density was estimated at 13 individuals/10,000 hectare (ha) (del Hoyo *et al.* 1994). In Petén, Guatemala, density was estimated at ten nests per 100 square kilometers (km<sup>2</sup>) and a total of c. 50 pairs in Tikal National Park (Bierregaard *et al.* 2016b).

*Spizaetus ornatus* was listed in CITES Appendix II in 1979 as part of a broader order listing for Falconiformes (UNEP-WCMC 2017a). *S. ornatus* was recently uplisted to 'Near Threatened' on the IUCN Red List (BirdLife International 2016e). Based on a model of future forest loss in the Amazon basin (40% loss of the species' habitat), coupled with past habitat loss and ongoing persecution, it is suspected that the population of this species may decline by 25-30% over the next three generations (Bird *et al.* 2011; BirdLife International 2016e).

According to the CITES trade database, between 2006 and 2016 there were 9 live *S. ornatus* in international trade as reported by importing countries (23 recorded by exporting countries). All were reported as captive-bred (UNEP-WCMC 2016b).

**European goshawk (*Accipiter gentilis*):** The European goshawk is distributed widely throughout North America, Europe, northern Asia and parts of South and Southeast Asia (Orta & Marks 2017). This species is not globally threatened and is listed as Least Concern on the IUCN Redlist (BirdLife International 2016b). It was listed in CITES Appendix II in 1977 as part of a broader order listing for Falconiformes (UNEP-WCMC 2017a). The current population trend is unknown (BirdLife International 2016b). The European population is estimated at 166,000-220,000 pairs, which equates to 332,000-440,000 mature individuals. Europe forms approximately 26% of the global range and an estimate of global population size is 1,280,000-1,690,000 mature individuals, although further validation of this estimate is needed (BirdLife International 2016b). Significant declines in Europe in the 19th-20th centuries are thought to have resulted from persecution and deforestation, with later declines in the 1950s-1960s a result of poisoning from pesticides and heavy metals. Persecution continues to be a threat, as is nest robbing for falconry (Orta & Marks 2014). The species is also highly vulnerable to the impacts of potential wind farm developments (Strix 2012).



According to the CITES trade database, between 2006 and 2016 there were 1,136 live *Accipiter gentilis* reported in trade by importing countries (1266 reported by exporting countries). Of those, 320 were wild caught (382 as recorded by exporting countries) (UNEP-WCMC 2017b).

**Aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincae*):** There are three recognized subspecies of Aplomado falcon with the following distributions (Bierregaard *et al.* 2017):

- *F. f. septentrionalis*: Northern Mexico and south locally to Guatemala.
- *F. f. femoralis*: Southeast Honduras and northeast Nicaragua; Panama; Colombia east to the Guianas, and south through eastern Bolivia and Brazil to Argentina, extending south to Tierra del Fuego.
- *F. f. pichincae*: Temperate zones of southwest Colombia, Ecuador, Peru and western Bolivia south to central Chile and northwest Argentina (Tucumán).

As a whole the species is not globally threatened and is listed as Least Concern on the IUCN Redlist (BirdLife International 2016c). The species was listed in CITES Appendix II in 1975 as part of a broader family listing for Falconidae (UNEP-WCMC 2017a). Although the population globally is suspected to be in decline, populations in some regions may be increasing due to deforestation and the opening up of new grassland habitat. However, more data is needed (Bierregaard *et al.* 2017). For poorly understood reasons, the species was virtually eliminated in the southern United States and in northern Mexico with declines potentially starting at the turn of the 20<sup>th</sup> century (Bierregaard *et al.* 2017).

According to the CITES trade database, between 2006 and 2016, there were 172 live *F. femoralis* in trade as reported by importing countries (221 reported by exporting countries); all were reported as captive-bred (except for 2 reported by exporting countries) (UNEP-WCMC 2017b).

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Findings relevant to issuance criteria of 50 CFR 15.26:

CB004 has been an approved cooperative breeding program since 1994. The applicant was requested to submit an application to establish a new CBP because their previously issued approval had expired without the program submitting an application to renew their approval prior to the expiration date.

We previously found that this cooperative breeding program met all of the criteria necessary for approval. Based on the summary report included with the application, as well as additional information submitted by Bethany McMartin Rondeau, Lead Cooperator, on activities conducted under the Cooperative Breeding Program and since no major changes to the CBP have been requested by the applicant, we believe that the program continues to fulfill the purposes of the Wild Bird Conservation Act and meet the criteria for approval.

Overall, the program has been able to successfully produce progeny from four of the raptor species the CBP has previously imported, as well as achieve egg production with an imported male Ornate hawk-eagle (*Spizaetus ornatus*). In addition, according to the applicant, the program

has been working with other Service-approved cooperative breeding programs for European goshawk, European sparrowhawk, and Red-naped shaheens.

Therefore, we recommend approval of this application with the following conditions:

1. Birds imported under the cooperative breeding agreement must remain within a Service-approved cooperative breeding program and may not be loaned, sold, or transferred to individuals outside an approved breeding program for any reason including breeding purposes, unless otherwise authorized by the Division of Management Authority.
2. Imported birds may only be disposed of outside a Service-approved cooperative breeding program if the specimens are deemed to be genetic surplus or are unable to breed. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program. With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in the original approval application. As with imported birds, prior to disposing of a bird produced in a cooperative breeding program, the bird must be made available to other Service-approved programs for the species.
3. Individuals to whom specimens will be loaned for any purpose must become members of the cooperative breeding program. If the individuals do not plan to breed the specimens, the lead cooperator may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, the lead cooperator must provide the Division of Management Authority with their names, contact information, and qualifications. In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.
4. Individuals who will be engaged in breeding birds may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add an individual to the program, the lead cooperator must submit a written request to the Division of Management Authority and provide several years of breeding records for the proposed individual. In addition, the oversight organization specified in the cooperative breeding program application must submit written notification indicating that the individual has met the established criteria for acceptance into the cooperative breeding program, as specified in the application for approval.

5. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. Each program member must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny. Finally, the cooperative breeding program must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, the cooperative breeding program must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be submitted when the lead cooperator requests the renewal of the cooperative breeding program or upon request of the Division of Management Authority.
6. For cooperative breeding programs that include species listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of those species may only be imported for commercial purposes from a CITES-registered breeding operation. A directory of CITES-registered breeding operations, including U.S. operations, can be found at [http://www.cites.org/common/reg/e\\_cb.html](http://www.cites.org/common/reg/e_cb.html). For those species covered under the U.S. Migratory Bird Treaty Act (MBTA), MBTA authorization is required in order to import, possess, and sell specimens of those species. Additional information on the MBTA can be found at <http://www.fws.gov/migratorybirds/>.
7. Any application to import these species must include information from the exporter on the origin of the specimens, and copies of CITES export permits from the range country to show that the specimens were legally acquired. If the specimens to be imported are captive bred, documentation must be provided to demonstrate legal acquisition of the parental stock from which the specimens were derived.

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Monica A. Horton 11/14/2017

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority

Eleanora Babij 11/14/17

Eleanora Babij, Ph.D.  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority



# United States Department of the Interior



## FISH AND WILDLIFE SERVICE

International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

NOV 14 2017

### MEMORANDUM

To: Chief, Division of Management Authority, Branch of Wildlife Trade and Conservation

From: Chief, Division of Scientific Authority, Branch of Consultation and Monitoring.  
*Eleanor Babij*

Re: Wild Bird Conservation Act Approval of Cooperative Breeding Program, CB004 (PRT# 14694C)

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Attached please find the Division of Scientific Authority's finding for approval of Cooperative Breeding Program CB004 under the Wild Bird Conservation Act. The purpose of this memorandum is to notify you of an issue regarding the permit application PRT# 14694C.

According to the information provided by the applicant, two individuals, Gary Maul and Fred Siemen, are currently in possession of Aplomado falcons (*Falco femoralis*) imported under the cooperative breeding program. However, according to our records, neither of these individuals are approved members of the cooperative breeding program. Therefore, in order for these two individuals to continue to possess these imported birds, the Lead Cooperator will need to submit the appropriate paperwork to request their inclusion as approved members of the cooperative breeding program. If Mr. Maul and Mr. Siemen do not wish to continue to possess these imported birds, then the Aplomado falcons currently in their possession will need to be transferred to an approved member of the cooperative breeding program.

As a result of the information provided in the application, we feel that your office should be apprised of our concerns, noted above.



# United States Department of the Interior



FISH AND WILDLIFE SERVICE  
International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

IN REPLY REFER TO:  
FWS/DMA/CB004

JAN 23 2018

Ms. Bethany McMartin

(b) (6)

Port Angeles, Washington (b) (6)

Dear Ms. McMartin:

This letter is formal notification that your application for renewal of the Washington Falconers Association Cooperative Breeding Program (CB004) under the Wild Bird Conservation Act of 1992, dated December 8, 2016, has been approved under 50 CFR Section 15.26, as outlined below. This approval will be effective for two (2) years from the date of this letter. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program.

We are denying your request to include Gary Maul as a member in the request dated December 17, 2014, as sufficient documentation has not been provided. In your e-mail dated October 5, 2017, you have stated that Gary Maul is a non-member. According to information you provided, Gary Maul is in possession of birds in violation of the conditions for this cooperative and these birds need to be returned to approved members of the cooperative. **Please respond within sixty days of the date of this letter regarding the disposition of the program birds in his possession. Failure to comply with our request may jeopardize the continued approval for this cooperative.**

We are approving your request in your letter dated September 24, 2017, to transfer five Aplomado falcons (Peru Band #'s 209, 226, 238, 352, 469) to Robert Probst. We also are approving your request to transfer four Aplomado falcons (Peru Band #'s 071, 074, 085, 179) in your later dated November 26, 2017, to James Seaman. We find that your reasons for wishing to remove these nine birds from the cooperative breeding program (i.e., age) are justified, and therefore, we approve your request.

**Cooperative Breeding Program Name:** Washington Falconers Association Cooperative Breeding Program

**Oversight Organization:** Washington Falconers Association

**Cooperative breeding program application #:** CB004

**Species:** Eurasian sparrowhawk (*Accipiter nisus*), northern goshawk (*Accipiter gentilis*), aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincae*), Barbary falcon (*Falco pelegrinoides*) (synonymous with *Falco peregrinus babylonicus*), ornate hawk-eagle (*Spizaetus ornatus*).

**Program Members:** Lester Boyd, Brian Sullivan, Jim Nelson, Barry Ollette, Doug Alton, Dan Pike, Ron Tokar, Chuck Arnold, Brad Wood, Danny Ertsgaard, Matthew Mitchell, Wayne Lippert, Dave Knutson, Jeff Rossey, Geoff Hirschi, Bill Meeker, Brad Felger, Dave Baker, Gregg Zerbe, Dennis Grisco, Thomas Coulson, Jennifer Coulson, Bill Murphy, John Brennan, Jim Adamson, Troy Morris, Jim Ingram, Tim Hickok, Connie Stanger, Jeff Novak, Christopher Lynn, Jimmy Bathke, Jason Hausman, Raul Ramirez, Keith Hix, Darryl Perkins, Jeff Kisak, Patrick Rummins, Joe Terry, Justin Rondeau, Bethany Rondeau, and Justin Stoval.

**Falconry Members:** Harry McElroy, Tom Gleason, Aaron Smith, Jeremy Roselle, Cliff Robinson, Randy Landis, Phil Smith, Simone Cook, and Marilyn Musser.

This approval is subject to the general conditions below and the conditions in the enclosed Division of Scientific Authority finding. We have determined that all of the issuance criteria contained in 50 CFR Section 15.26 have been met, therefore amendment of this program has been granted.

Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15.

**Conditions:** Please be aware that, since *Falco pelegrinoides* is listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of that species may only be imported for commercial purposes from a CITES-registered breeding operation. Also, Migratory Bird Treaty Act (MBTA) authorization is required in order to import, possess, and sell specimens of *Falco femoralis femoralis*, *Falco femoralis pichincae*, *Accipiter gentilis*, and *Falco pelegrinoides*. Additional information on the MBTA can be found at <http://www.fws.gov/migratorybirds/>.

All birds imported for this cooperative breeding program must remain within a Service-approved cooperative breeding program for these species unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the



oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application of May 10, 1994. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals to whom specimens will be loaned for any purpose, including falconry, must become members of the cooperative breeding program. You may designate them as non-breeding members. To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., falconry permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for non-breeding members.

The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny. Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the U.S. Fish and Wildlife Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

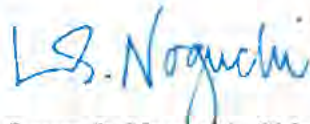
This notice entitles the members of this cooperative breeding program who are listed above as Program Members to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR 15.11. Enclosed you will find an application to import birds under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

Ms. Bethany McMartin

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If you have any questions regarding this renewal, please feel free to contact Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, Falls Church, VA 22041-3803; telephone 703-358-1908; email: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,



Laura S. Noguichi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

Enclosure

WILD BIRD CONSERVATION ACT  
RECORD OF FINDINGS ON APPLICATION  
FOR APPROVAL OF COOPERATIVE BREEDING PROGRAM  
U. S. FISH & WILDLIFE SERVICE

Application number: CB004 (PRT# 14694C)

Applicant: Bethany McMartin Rondeau

Oversight Organization: Washington Falconers Association (WFA)

Previously Approved Species:

European sparrowhawk (*Accipiter nisus*)

Red-naped shaheen (*Falco peregrinus babylonicus*)

Ornate Hawk-eagle (*Spizaetus ornatus*)

European goshawk (*Accipiter gentilis*)

Aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincae*)

Specimens approved:

4.4

6.6

10.10

15.15

20.20 (combined)

Background:

The original request for establishing Cooperative Breeding Program (CBP) #004 was first approved on November 29, 1994, for European sparrowhawk (*Accipiter nisus*) and European goshawk (*Accipiter gentilis*). Subsequent amendments to the cooperative breeding program included the addition of three species: Red-naped shaheen (*Falco peregrinus babylonicus*), Aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincae*), and Ornate Hawk-eagle (*Spizaetus ornatus*). According to Wild Bird Conservation Act of 1992 implementing regulations (50 CFR Section 15.26, paragraph (e)), cooperative breeding programs are approved for two years, at which time applicants may apply to the Service for renewal of a program's approval. CB004 was renewed on December 11, 1995, December 8, 1998, February 13, 2002, August 3, 2004, December 21, 2006, May 1, 2009, August 1, 2011, and May 14, 2014. However, the CBP did not submit an application to renew the program's approval prior to the May 14, 2016, expiration date, at which point the previously issued approval expired. As a result, the applicant, Ms. Bethany McMartin Rondeau, was requested to submit an application to establish a new CBP, which she did on December 9, 2016. Ms. McMartin Rondeau is the Lead Cooperator for this cooperative breeding program (CBP).

According to the applicant, the purpose of the CBP is to establish and maintain a viable captive breeding population of native and non-native raptors. To accomplish this goal, the CBP expects cooperation among multiple breeders for each of the species being maintained. The Washington Falconers Association (WFA) has established three classes of CBP membership through their administrative policies (included with application):

- Active Breeding Members: consists of licensed and experienced raptor propagators who have been approved by six affirmative votes of the WFA Board admission. Active breeding members vote of the admission of new breeding members and on other CBP affairs.

- **Inactive Breeding Members:** consists of active breeding members who are no longer actively participating in the CBP. These members are not authorized to import raptors through the CBP, cannot request new species be added to the approved list, and cannot vote on CBP affairs including admission of new members.
- **Non-breeding Members:** consists of licensed falconers and others with legal authorization to possess Migratory Bird Treaty Act (MBTA) protected birds of prey who may receive CBP raptors on long term loan so they can be exercised through falconry or other means in preparation for their use in the CBP.

The WFA CBP policy guidelines state that individuals wishing to be added to the CBP must submit to the chair of the CBP all paperwork that is required by the Service for adding new CBP members. Once the WFA receives the required documents, the CBP permit co-coordinator and the president of the WFA draft and jointly sign a letter to the Service indicating that the applicant has been approved by the WFA. All required materials are then submitted to Service for final approval.

**Current Status of the Program:**

The CBP application currently includes a list of WFA CBP Approved Breeders and non-voting Falconry members. The following individuals were previously approved as members of the cooperative breeding program (CB004):

***Program Members:*** Lester Boyd, Brian Sullivan, Jim Nelson, Barry Ollette, Doug Alton, Dan Pike, Ron Tokar, Chuck Arnold, Brad Wood, Danny Ertsgaard, Matthew Mitchell, Wayne Lippert, Dave Knutson, Jeff Rossey, Geoff Hirschi, Bill Meeker, Brad Felger, Dave Baker, Gregg Zerbe, Dennis Grisco, Thomas Coulson, Jennifer Coulson, Bill Murphy, John Brennan, Jim Adamson, Troy Morris, Jim Ingram, Tim Hickok, Connie Stanger, Jeff Novak, Christopher Lynn, Jimmy Bathke, Jason Hausman, Raul Ramirez, Keith Hix, Darryl Perkins, Jeff Kisak, Patrick Rummings, Joe Terry, Justin Rondeau, Bethany Rondeau, and Justin Stovall.

***Falconry Members:*** Harry McElroy, Tom Gleason, Aaron Smith, Jeremy Roselle, Cliff Robinson, Randy Landis, Phil Smith, Simone Cook, and Marilyn Musser.

The applicant reported the following information regarding CBP imported birds, breeding success, mortalities, and disposition of birds:

| Species   | Total Quantity Approved for Import | Total Quantity Imported under the CBP (to date) | Offspring produced (F1)                                   | Mortalities   | Disposition of Birds   |
|---|------------------------------------|---|---|---|--|
| European sparrowhawk ( <i>Accipiter nisus</i> ) | 4.4                                | 5   | 2002-2004:<br>12 offspring<br><br>2005-2006:<br>eggs only | 3 imported birds died (1 in quarantine, 2 killed by mate)<br><br>2 F1 died (killed by mate) | 2 imported birds retained<br><br>Remaining birds transferred to CB005 in 2006; Currently no birds in CB004 |

| Species   | Total Quantity Approved for Import | Total Quantity Imported under the CBP (to date) | Offspring produced (F1)             | Mortalities   | Disposition of Birds   |
|---|------------------------------------|---|-------------------------------------|---|--|
| Red-naped shaheen ( <i>Falco peregrinus babylonicus</i> )                                 | 6.6                                | 7   | 2001-2017:<br>51 offspring          | 4 imported died (old age)<br><br>2 F1 died (1 from heatstroke, 1 from owl predation)<br><br>1 F1 lost   | 3 imported retained<br><br>24 F1 and F2 retained, 21 transferred outside CBP   |
| Ornate Hawk-eagle ( <i>Spizaetus ornatus</i> )  | 10.10                              | 1.0 (1)   | 2007-2017:<br>21 eggs; no offspring |   | 1 imported retained  |
| European goshawk ( <i>Accipiter gentilis</i> )  | 15.15                              | 17  | 2001-2017:<br>37 offspring          | 3 imported birds killed by mate, 1 lost, 1 killed by raccoon, 1 died of old age.<br><br>8 F1 died: 2 aspergillosis, 1 from carbon monoxide, 1 from window strike, 1 sour crop, 1 horned owl predation, 1 raccoon predation. | 8 imported retained, 2 non-breeding birds moved (approval was requested from DMA)<br><br>15 F1 retained, 12 moved outside program<br><br>1 imported and 1 F1 moved to CBP014   |
| Aplomado falcon ( <i>Falco femoralis femoralis</i> and <i>Falco femoralis pichincae</i> ) | 20.20                              | 20.21 (41)                                      | 2002-2017:<br>444 offspring         | 16 imported died, 1 lost<br><br>[see table included in applicant's additional response for F1 data]   | 21 imported retained, 3 removed from CBP due to inability to breed (all received approval from DMA)<br><br>[see table included in applicant's additional response for F1 data] |

### Species Information:

**European sparrowhawk (*Accipiter nisus*):** The Eurasian sparrowhawk has a very broad distribution throughout Eurasia and Africa. This species is not globally threatened and is listed as Least Concern on the IUCN Redlist (BirdLife International 2016a). It was listed in CITES

Appendix II in 1977 as part of a broader order listing for Falconiformes (UNEP-WCMC 2017). The European population is estimated at 403,000-582,000 pairs, which is roughly 805,000-1,160,000 mature individuals (BirdLife International 2016a). Europe forms approximately 36% of the global range and a preliminary estimate of the global population size is 2,240,000-3,220,000 mature individuals, although further validation of this estimate is needed. The population trend at present appears stable (BirdLife International 2016a). Historically, widespread persecution, especially from gamekeepers, in the 20th century caused large numbers of the birds to be killed (del Hoyo *et al.* 1994; Ferguson-Lees and Christie 2001). Sharp declines in Europe in the 1950s-1960s were driven by the use of harmful organochlorine pesticides, which causes direct mortality of adults as well as reduced breeding success. The species is still trapped by the thousands annually in Turkey, where it is used by falconers, but habitat alteration is thought to be the major contemporary threat (del Hoyo *et al.* 1994). It is also highly vulnerable to the impacts of potential wind energy developments (Strix 2012). Ingestion of prey containing lead shot is an additional threat (BirdLife International 2016a).

According to the CITES trade database, there were 35 live *Accipiter nisus* in trade between 2006 and 2016 as reported by importing countries (66 reported by exporting countries). Of those, 23 were sourced from the wild (27 as reported by exporters) (UNEP-WCMC 2017b).

**Red-naped shaheen (*Falco peregrinus babylonicus*):** The Red-naped shaheen, or Barbary falcon, is one of ~19 currently recognized subspecies of Peregrine falcon (White *et al.* 2017; White *et al.* 2013). *Falco peregrinus babylonicus* is distributed in central Asia from eastern Iran to Mongolia (White *et al.* 2017). The global population of the nominate species is estimated at c.140,000 individuals which equates to 93,300 mature individuals. The European population is estimated at 14,900-28,800 pairs, which equates to 29,700-57,600 mature individuals (BirdLife International 2016c). Europe forms approximately 13% of the global range, so a preliminary estimate of the global population size is 228,000-443,000 mature individuals, although further validation of this estimate is needed (BirdLife International 2016c). Over the last 100 years, the species has suffered severe population declines due to persecution (19<sup>th</sup> and 20<sup>th</sup> centuries), and drastically reduced reproduction and increased mortality of adults associated with pesticide contamination. The species is also used extensively in falconry although the impact of this activity on population numbers is unknown (Ferguson-less and Christie 2001; BirdLife International 2016c). The global population is currently thought to be stable (BirdLife International 2016c).

*Falco peregrinus babylonicus* was listed in CITES Appendix I in 1975. On a species level, *Falco peregrinus* was listed in Appendix I in 1977 (UNEP-WCMC 2017a). *Falco peregrinus* is listed as Least Concern on the IUCN Redlist, while the subspecies *F. p. babylonicus* does not have a unique IUCN listing.

According to the CITES trade database, between 2006 and 2016 there were 26 live *F. p. babylonicus* registered in trade by importing countries (42 recorded by exporting countries). All individuals were reported as captive-bred (UNEP-WCMC 2017).

**Ornate Hawk-eagle (*Spizaetus ornatus*):** The Ornate hawk-eagle is a neotropical endemic distributed from Mexico to Paraguay and northern Argentina (BirdLife International 2016e). The species is also found on Trinidad and Tobago (Canuto 2008). There are two currently recognized subspecies: *S. o. ornatus*, which is restricted to South America (Northern Columbia to northern Argentina); and *S. o. vicarious*, from Central America to northern Columbia and Ecuador (Ferguson-Lees and Christie 2001).

The Ornate hawk-eagle is typically a bird of dense forest although observations have been made in dry forest as well (Cerqueira *et al.* 2015). In Brazil, the species has declined in areas of heavy deforestation and it is known to have also declined in Argentina (BirdLife 2016e). Recent surveys in Mexico suggest that the Ornate hawk-eagle may be increasingly rare due to tropical deforestation and poaching (de Labra *et al.* 2013), and the species appears to be very sensitive to habitat fragmentation, edges and human presence (Canuto 2008).

Although population numbers throughout the species entire range have not been quantified, population estimates exist throughout parts of its range. In one forest site in French Guiana, density was estimated at 13 individuals/10,000 hectare (ha) (del Hoyo *et al.* 1994). In Petén, Guatemala, density was estimated at ten nests per 100 square kilometers (km<sup>2</sup>) and a total of c. 50 pairs in Tikal National Park (Bierregaard *et al.* 2016b).

*Spizaetus ornatus* was listed in CITES Appendix II in 1979 as part of a broader order listing for Falconiformes (UNEP-WCMC 2017a). *S. ornatus* was recently uplisted to 'Near Threatened' on the IUCN Red List (BirdLife International 2016e). Based on a model of future forest loss in the Amazon basin (40% loss of the species' habitat), coupled with past habitat loss and ongoing persecution, it is suspected that the population of this species may decline by 25-30% over the next three generations (Bird *et al.* 2011; BirdLife International 2016e).

According to the CITES trade database, between 2006 and 2016 there were 9 live *S. ornatus* in international trade as reported by importing countries (23 recorded by exporting countries). All were reported as captive-bred (UNEP-WCMC 2016b).

**European goshawk (*Accipiter gentilis*):** The European goshawk is distributed widely throughout North America, Europe, northern Asia and parts of South and Southeast Asia (Orta & Marks 2017). This species is not globally threatened and is listed as Least Concern on the IUCN Redlist (BirdLife International 2016b). It was listed in CITES Appendix II in 1977 as part of a broader order listing for Falconiformes (UNEP-WCMC 2017a). The current population trend is unknown (BirdLife International 2016b). The European population is estimated at 166,000-220,000 pairs, which equates to 332,000-440,000 mature individuals. Europe forms approximately 26% of the global range and an estimate of global population size is 1,280,000-1,690,000 mature individuals, although further validation of this estimate is needed (BirdLife International 2016b). Significant declines in Europe in the 19th-20th centuries are thought to have resulted from persecution and deforestation, with later declines in the 1950s-1960s a result of poisoning from pesticides and heavy metals. Persecution continues to be a threat, as is nest robbing for falconry (Orta & Marks 2014). The species is also highly vulnerable to the impacts of potential wind farm developments (Strix 2012).

According to the CITES trade database, between 2006 and 2016 there were 1,136 live *Accipiter gentilis* reported in trade by importing countries (1266 reported by exporting countries). Of those, 320 were wild caught (382 as recorded by exporting countries) (UNEP-WCMC 2017b).

**Aplomado falcon (*Falco femoralis femoralis* and *Falco femoralis pichincae*):** There are three recognized subspecies of Aplomado falcon with the following distributions (Bierregaard *et al.* 2017):

- *F. f. septentrionalis*: Northern Mexico and south locally to Guatemala.
- *F. f. femoralis*: Southeast Honduras and northeast Nicaragua; Panama; Colombia east to the Guianas, and south through eastern Bolivia and Brazil to Argentina, extending south to Tierra del Fuego.
- *F. f. pichincae*: Temperate zones of southwest Colombia, Ecuador, Peru and western Bolivia south to central Chile and northwest Argentina (Tucumán).

As a whole the species is not globally threatened and is listed as Least Concern on the IUCN Redlist (BirdLife International 2016c). The species was listed in CITES Appendix II in 1975 as part of a broader family listing for Falconidae (UNEP-WCMC 2017a). Although the population globally is suspected to be in decline, populations in some regions may be increasing due to deforestation and the opening up of new grassland habitat. However, more data is needed (Bierregaard *et al.* 2017). For poorly understood reasons, the species was virtually eliminated in the southern United States and in northern Mexico with declines potentially starting at the turn of the 20<sup>th</sup> century (Bierregaard *et al.* 2017).

According to the CITES trade database, between 2006 and 2016, there were 172 live *F. femoralis* in trade as reported by importing countries (221 reported by exporting countries); all were reported as captive-bred (except for 2 reported by exporting countries) (UNEP-WCMC 2017b).

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Findings relevant to issuance criteria of 50 CFR 15.26:

CB004 has been an approved cooperative breeding program since 1994. The applicant was requested to submit an application to establish a new CBP because their previously issued approval had expired without the program submitting an application to renew their approval prior to the expiration date.

We previously found that this cooperative breeding program met all of the criteria necessary for approval. Based on the summary report included with the application, as well as additional information submitted by Bethany McMartin Rondeau, Lead Cooperator, on activities conducted under the Cooperative Breeding Program and since no major changes to the CBP have been requested by the applicant, we believe that the program continues to fulfill the purposes of the Wild Bird Conservation Act and meet the criteria for approval.

Overall, the program has been able to successfully produce progeny from four of the raptor species the CBP has previously imported, as well as achieve egg production with an imported male Ornate hawk-eagle (*Spizaetus ornatus*). In addition, according to the applicant, the program



has been working with other Service-approved cooperative breeding programs for European goshawk, European sparrowhawk, and Red-naped shaheens.

Therefore, we recommend approval of this application with the following conditions:

1. Birds imported under the cooperative breeding agreement must remain within a Service-approved cooperative breeding program and may not be loaned, sold, or transferred to individuals outside an approved breeding program for any reason including breeding purposes, unless otherwise authorized by the Division of Management Authority.
2. Imported birds may only be disposed of outside a Service-approved cooperative breeding program if the specimens are deemed to be genetic surplus or are unable to breed. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program. With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in the original approval application. As with imported birds, prior to disposing of a bird produced in a cooperative breeding program, the bird must be made available to other Service-approved programs for the species.
3. Individuals to whom specimens will be loaned for any purpose must become members of the cooperative breeding program. If the individuals do not plan to breed the specimens, the lead cooperator may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, the lead cooperator must provide the Division of Management Authority with their names, contact information, and qualifications. In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.
4. Individuals who will be engaged in breeding birds may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add an individual to the program, the lead cooperator must submit a written request to the Division of Management Authority and provide several years of breeding records for the proposed individual. In addition, the oversight organization specified in the cooperative breeding program application must submit written notification indicating that the individual has met the established criteria for acceptance into the cooperative breeding program, as specified in the application for approval.

5. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. Each program member must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny. Finally, the cooperative breeding program must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, the cooperative breeding program must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be submitted when the lead cooperator requests the renewal of the cooperative breeding program or upon request of the Division of Management Authority.
6. For cooperative breeding programs that include species listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of those species may only be imported for commercial purposes from a CITES-registered breeding operation. A directory of CITES-registered breeding operations, including U.S. operations, can be found at [http://www.cites.org/common/reg/e\\_cb.html](http://www.cites.org/common/reg/e_cb.html). For those species covered under the U.S. Migratory Bird Treaty Act (MBTA), MBTA authorization is required in order to import, possess, and sell specimens of those species. Additional information on the MBTA can be found at <http://www.fws.gov/migratorybirds/>.
7. Any application to import these species must include information from the exporter on the origin of the specimens, and copies of CITES export permits from the range country to show that the specimens were legally acquired. If the specimens to be imported are captive bred, documentation must be provided to demonstrate legal acquisition of the parental stock from which the specimens were derived.

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Monica A. Horton 11/14/2017

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority

Eleanora Babij 11/14/17

Eleanora Babij, Ph.D.  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority



# United States Department of the Interior

FISH AND WILDLIFE SERVICE  
Washington, D.C. 20240



FEB 17 2017

IN REPLY REFER TO:  
FWS/DMA/CB030

David Kanellis

(b) (6)

Las Vegas, Nevada (b) (6)

Dear Mr. Kanellis:

This letter is formal notification that your application for renewal of the Raptor Cooperative Breeding Program (CB030) under the Wild Bird Conservation Act of 1992 (WBCA), dated September 25, 2016, has been approved under 50 CFR Section 15.26, as outlined below. This approval will be effective for two (2) years from the date of this letter. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15. This letter also serves as official notification that Joel Knutson, Rebecca O'Connor, Nicole Perretta, and Charles Browning have been added as falconry members and Shane U. Phitides and Edward Elguezabal have been removed as falconry members of CB030.

**Cooperative Breeding Program Name:** Raptor Cooperative Breeding Program

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**Oversight Organization:** California Hawking Club

**Cooperative breeding program application #:** CB030

**Species:** black goshawk (*Accipiter melanoleucus*), Eurasian (European) sparrowhawk (*Accipiter nisus*), Lanner falcon (*Falco biarmicus*), saker falcon (*Falco cherrug*), red-necked falcon (*Falco chicquera*), African hawk-eagle (*Hieraaetus spilogaster*), African fish-eagle (*Haliaeetus vocifer*), African crowned eagle (*Stephanoaetus coronatus*), Northern goshawk (*Accipiter gentilis*), Steppe eagle (*Aquila nipalensis*), Verreaux's eagle (*Aquila verreauxii*), Martial eagle (*Polemeatus bellicosus*), ornate hawk-eagle (*Spizaetus ornatus*), Bonelli's eagle (*Hieraaetus fasciatus*), African goshawk (*Accipiter tachiro*), Eurasian eagle-owl (*Bubo bubo*), Ural owl (*Strix uralensis*), Peregrine falcon (*Falco peregrinus*)

**Program Members:** Stuart Rossell, Randy Landis, Sonny (Donald) Squiciarino, Mark Moglich, David Kanellis, Martin Stiasny, Bill Murphy, Robert F. Kennedy, Jr., William G. Meeker, Gary D. Boberg, Ryan Moglich, Lewis R. Souder, Los Angeles Zoo

**Falconry Members:** Ronald E. Brown, Lew Souder, Steve Layman, Jim Tigan, Vasken Kevorkian, Vince Piccioni, Cicon Gewelber, John Pallavicini, Ryan Moglich, Margaret D.

Cullen, Duane Zobrist, Duane Zobrist Jr., Thomas J. Cullen IV, Michael J. Clark, Vang Vang, Titus Plomaritis Jr., Chase Delles, Gary D. Boberg, Anthony C. Suffredini, Corey J. Dalton, David Myers, Joel Knutson, Rebecca O'Connor, Nicole Perretta, Charles Browning

**Expiration Date:** February 17, 2019

**Conditions:**

All birds imported for this cooperative breeding program must remain within a Service-approved cooperative breeding program for these species and may not be loaned, sold or transferred for any reason unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

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Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals, to whom specimens will be loaned for any purpose, must become members of the cooperative breeding program. You may designate individuals who will not be breeding birds as non-breeding members. To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., FWS migratory bird permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for non-breeding members.

All individuals of the cooperative breeding program must maintain records of all birds that come into their possession through the cooperative breeding program. These records must be made available to the U.S. Fish and Wildlife Service upon our request. All imported birds must meet Mr. David Kanellis

3

all United States import requirements. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny.

Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the U.S. Fish and Wildlife Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

This notice entitles members of this cooperative breeding program who are listed above as Program Members, to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR Section 15.11. Please be aware that 50 CFR Part 15.11(f) states: *It is unlawful for any person subject to the jurisdiction of the United States to engage in any activity with an exotic bird imported under a permit issued pursuant to this part that violates a condition of said permit.* Enclosed you will find an application to import birds under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

If you have any questions regarding this renewal, please feel free to contact Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, Falls Church, VA 22041-3803; telephone 703-358-1908; email: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,



Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

For

Enclosure



# United States Department of the Interior



FISH AND WILDLIFE SERVICE  
International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

IN REPLY REFER TO:  
FWS/DMA/CB030

OCT 19 2018

David Kanellis

(b) (6)  
Las Vegas, Nevada (b) (6)

Dear Mr. Kanellis:

This letter is formal notification that your application for renewal of the Raptor Cooperative Breeding Program (CB030) under the Wild Bird Conservation Act of 1992 (WBCA), dated February 24, 2018, has been approved under 50 CFR Section 15.26, as outlined below. This approval is subject to the general conditions and the Division of Scientific Authority conditions below. This approval will be effective for two (2) years from the date of this letter, and replaces the current approval letter dated February 17, 2017, which is no longer valid. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15. This letter also serves as official notification that Rebecca Searle, Justin Searle, Troy D. Taylor, Lauren McGough, Steve Hoddy, Adam Chavez, David Dixon, and Erland Renslo have been added as falconry members of CB030, and that your request to amend the quantities of previously approved species under the cooperative breeding program has been approved as follows:

|  |       |
|--|-------|
| Black goshawk ( <i>Accipiter melanoleucus</i> )            | 15.15 |
| Eurasian (European) sparrowhawk ( <i>Accipiter nisus</i> ) | 15.15 |
| Lanner falcon ( <i>Falco biarmicus</i> )                   | 11.11 |
| Saker falcon ( <i>Falco cherrug</i> )                      | 15.15 |
| Northern goshawk ( <i>Accipiter gentilis</i> )             | 15.15 |
| African crowned eagle ( <i>Stephanoaetus coronatus</i> )   | 10.10 |
| Eurasian eagle-owl ( <i>Bubo bubo</i> )                    | 10.10 |

Cooperative Breeding Program Name: Raptor Cooperative Breeding Program

Oversight Organization: California Hawking Club

Cooperative breeding program application #: CB030



Species: black goshawk (*Accipiter melanoleucus*), Eurasian (European) sparrowhawk (*Accipiter nisus*), Lanner falcon (*Falco biarmicus*), saker falcon (*Falco cherrug*), red-necked falcon (*Falco chicquera*), African hawk-eagle (*Hieraaetus spilogaster*), African fish-eagle (*Haliaeetus vocifer*), African crowned eagle (*Stephanoaetus coronatus*), Northern goshawk (*Accipiter gentilis*), Steppe eagle (*Aquila nipalensis*), Verreaux's eagle (*Aquila verreauxii*), Martial eagle (*Polemeatus bellicosus*), ornate hawk-eagle (*Spizaetus ornatus*), Bonelli's eagle (*Hieraaetus fasciatus*), African goshawk (*Accipiter tachiro*), Eurasian eagle-owl (*Bubo bubo*), Ural owl (*Strix uralensis*), Peregrine falcon (*Falco peregrinus*)

Program Members: Stuart Rossell, Randy Landis, Sonny (Donald) Squiciarino, Mark Moglich, David Kanellis, Martin Stiasny, Bill Murphy, Robert F. Kennedy, Jr., William G. Meeker, Gary D. Boberg, Ryan Moglich, Lewis R. Souder, Los Angeles Zoo

Falconry Members: Ronald E. Brown, Steve Layman, Jim Tigan, Vasken Kevorkian, Vince Piccioni, Cicon Gewelber, John Pallavicini, Margaret D. Cullen, Duane Zobrist, Duane Zobrist Jr., Thomas J. Cullen IV, Michael J. Clark, Vang Vang, Titus Plomaritis Jr., Chase Delles, Anthony C. Suffredini, Corey J. Dalton, David Myers, Joel Knutson, Rebecca O'Connor, Nicole Perretta, Charles Browning, Rebecca Searle, Justin Searle, Troy D. Taylor, Lauren McGough, Steve Hoddy, Adam Chavez, David Dixon, Erland Renslo

**General Conditions:** All birds imported for this cooperative breeding program must remain within a cooperative breeding program approved by the U.S. Fish and Wildlife Service (Service) for these species and may not be loaned, sold or transferred for any reason including breeding purposes unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your

program, you must submit a written request to this office and provide several years of breeding records for each individual proposed. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals to whom specimens will be loaned for any purpose must become members of the cooperative breeding program. You may designate them as "non-breeding members." To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., FWS migratory bird permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for "non-breeding members."

All individuals of the cooperative breeding program must maintain records of all birds that come into their possession through the cooperative breeding program. These records must be made available to the Service upon our request. All imported birds must meet all U.S. import requirements. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny.

Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

**Division of Scientific Authority Conditions:** Birds imported under the cooperative breeding agreement must remain within a Service-approved cooperative breeding program and may not be loaned, sold, or transferred to individuals outside an approved breeding program for any reason including breeding purposes, unless otherwise authorized by the Division of Management Authority.

Imported birds may only be disposed of outside a Service-approved cooperative breeding program if the specimens are deemed to be genetic surplus or are unable to breed. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program. With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in the original approval application. As with imported birds, prior to disposing of a

bird produced in a cooperative breeding program, the bird must be made available to other Service-approved programs for the species.

Individuals to whom specimens will be loaned for any purpose must become members of the cooperative breeding program. If the individuals do not plan to breed the specimens, the lead cooperator may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, the lead cooperator must provide the Division of Management Authority with their names, contact information, and qualifications. In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.

Individuals who will be engaged in breeding birds may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add an individual to the program, the lead cooperator must submit a written request to the Division of Management Authority and provide several years of breeding records for the proposed individual. In addition, the oversight organization specified in the cooperative breeding program application must submit written notification indicating that the individual has met the established criteria for acceptance into the cooperative breeding program, as specified in the application for approval.

The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. Each program member must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny. Finally, the cooperative breeding program must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, the cooperative breeding program must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be submitted when the lead cooperator requests the renewal of the cooperative breeding program or upon request of the Division of Management Authority.

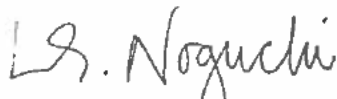
For cooperative breeding programs that include species listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of those species may only be imported for commercial purposes from a CITES-registered breeding operation. A directory of CITES-registered breeding operations, including U.S. operations, can be found at Register of Captive-Breeding Operations ([http://www.cites.org/common/reg/e\\_cb.html](http://www.cites.org/common/reg/e_cb.html)). For those species covered under the U.S. Migratory Bird Treaty Act (MBTA), MBTA authorization is required in order to import, possess, and sell specimens of those species. Additional information on the MBTA can be found at MBTA (<http://www.fws.gov/migratorybirds/>).

Any application to import these species must include information from the exporter on the origin of the specimens, and copies of CITES export permits from the range country to show that the specimens were legally acquired. If the specimens to be imported are captive bred, documentation must be provided to demonstrate legal acquisition of the parental stock from which the specimens were derived.

This notice entitles members of this cooperative breeding program who are listed above as Program Members, to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR Section 15.11. Please be aware that 50 CFR Part 15.11(f) states: *It is unlawful for any person subject to the jurisdiction of the United States to engage in any activity with an exotic bird imported under a permit issued pursuant to this part that violates a condition of said permit.* Enclosed you will find an application to import birds under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

Please feel free to direct any inquiries regarding this matter to Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, MS-1A, Falls Church, VA 22041; telephone 703-358-1908; email: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,



Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

Enclosure



# United States Department of the Interior



## FISH AND WILDLIFE SERVICE

International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

APR 18 2018

IN REPLY REFER TO:  
FWS/DMA/CB040

Mr. John Aynes

(b) (6)

Oklahoma City, Oklahoma (b) (6)

Dear Mr. Aynes:

This letter is formal notification that your application for renewal of the Cooperative Breeding Program (CBP) for Captive Breeding Program for African Grey Parrots (CB040) under the Wild Bird Conservation Act of 1992 (WBCA), dated January 4, 2018, has been approved under 50 CFR Section 15.26, as outlined below. This approval is subject to the general conditions below **and** the conditions in the enclosed Division of Scientific Authority finding. This approval will be effective for two (2) years from the date of this letter. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15.

**Cooperative Breeding Program Name:** Captive Breeding Program for African Grey Parrots

**Oversight Organization:** Zoological Association of America (ZAA)

**Cooperative Breeding Program #:** CB040

**Species:** African grey parrot (*Psittacus erithacus*) and Cape parrot (*Poicephalus robustus*)

**Program Members:** John Aynes, Susan Clubb, Walter Frey

**General Conditions:** All birds imported for this cooperative breeding program must remain within a cooperative breeding program approved by the U.S. Fish and Wildlife Service (Service) for these species and may not be loaned, sold or transferred for any reason including breeding purposes unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how

the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each individual proposed. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals to whom specimens will be loaned for any purpose must become members of the cooperative breeding program. You may designate them as "non-breeding members." To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., FWS migratory bird permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for "non-breeding members."

All individuals of the cooperative breeding program must maintain records of all birds that come into their possession through the cooperative breeding program. These records must be made available to the Service upon our request. All imported birds must meet all U.S. import requirements. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny.

Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

This notice entitles members of this cooperative breeding program who are listed above as Program Members, to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR Section 15.11. Please be aware that 50 CFR Part 15.11(f) states: *It is unlawful for any person subject to the jurisdiction of the United States to engage in any activity with an exotic bird imported under a permit issued pursuant to this part that violates a condition of said permit.* Enclosed you will find an application to import birds

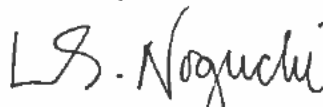
Mr. John Aynes

3

under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

Please feel free to direct any inquiries regarding this matter to Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, MS-1A, Falls Church, VA 22041; telephone 703-358-1908; e mail: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,



Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

Enclosures

WILD BIRD CONSERVATION ACT  
RECORD OF FINDINGS ON APPLICATION  
FOR APPROVAL OF COOPERATIVE BREEDING PROGRAM  
U. S. FISH & WILDLIFE SERVICE

**\*\*Renewal\*\***

Application number: CB040 (PRT# 76975B)

Applicant: John Aynes

Oversight organization: Zoological Association of America (ZAA)

Species:

African grey parrot (*Psittacus erithacus*)  
Cape parrot (*Poicephalus robustus*)

Specimens approved:

75.75  
30.30

Background:

On January 10, 2018, the USFWS Division of Management Authority (DMA) received an application from Mr. John Aynes requesting renewal of CB040, the Captive Breeding Program for African Grey Parrots. Mr. Aynes is the Lead Cooperator for this cooperative breeding program (CBP).

The original request for establishing the Captive Breeding Program for African Grey Parrots (CB040) was approved on March 16, 2016, for 75.75 African grey parrot (*Psittacus erithacus*). A subsequent amendment was issued on May 25, 2016, with the addition of 30.30 Cape parrot (*Poicephalus robustus*).

Current Status of the Program:

The current members approved under this cooperative breeding program (CB040) include: John Aynes, Susan Clubb, and Walter Frey.

Below is a summary of the total number of birds imported under the Cooperative Breeding Program (CB040), at the time of this renewal request, as reported by the Lead Cooperator:

| Species Approved                                   | Total Quantity Approved for Import | Total Quantity Imported under the CBP |
|--|------------------------------------|---------------------------------------|
| African grey parrot ( <i>Psittacus erithacus</i> ) | 75.75 (150)                        | 75.75 (150)                           |
| Cape parrot ( <i>Poicephalus robustus</i> )        | 30.30 (60)                         | 0.0 (0)                               |

**Mortality:** In the renewal application and prior information submitted to the Service on September 26, 2016, Mr. Aynes indicated that there have been some mortalities of birds imported under the cooperative breeding program. Details for the reported mortalities are as follows:



- African grey parrot (*Psittacus erithacus*) – 6 deaths. Two birds were deceased when they arrived into the United States from South Africa (the country of export), during the original import. Three birds died while in quarantine. One bird died, under the care of John Aynes, from flying into the wire in a large flight cage.

**Breeding:** According to the Lead Cooperator, no eggs/hatchlings have been produced from imported birds due to the young age of the imported birds. The program expects the imported birds to start breeding in the next couple of years. In the meantime, the imported birds remain in flight cages to socialize until they are ready to breed.

**Cooperation with existing breeding programs:** Although the applicant is not aware of an African grey parrot cooperative breeding program in the United States or internationally, he is aware of numerous individual breeders of the species. The applicant states that he collaborates with many people around the world when information or advice is requested of him, not only for African grey parrots but also various cockatoo and other species. Examples of recent communications with various individuals around the world regarding a range of topics including diet, husbandry, and facilities, were also provided by the applicant to demonstrate his willingness to assist whenever he can.

\*\*\*\*\*

We previously found that this cooperative breeding program met all of the criteria necessary for approval.

Based on the summary report included with the renewal, as well as additional information submitted by John Aynes, Lead Cooperator, on activities conducted under the Cooperative Breeding Program, we believe that the program continues to fulfill the purposes of the Wild Bird Conservation Act and meet the criteria for approval. Therefore, we recommend approval of this renewal application with the following conditions:

1. Birds imported under the cooperative breeding agreement must remain within a Service-approved cooperative breeding program and may not be loaned, sold, or transferred to individuals outside an approved breeding program for any reason including breeding purposes, unless otherwise authorized by the Division of Management Authority.
2. Imported birds may only be disposed of outside a Service-approved cooperative breeding program if the specimens are deemed to be genetic surplus or are unable to breed. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program. With regard to birds produced within the program, a sufficient number of offspring produced must be retained

within the program to fulfill the goals of the cooperative breeding program as outlined in the original approval application. As with imported birds, prior to disposing of a bird produced in a cooperative breeding program, the bird must be made available to other Service-approved programs for the species.

3. Individuals to whom specimens will be loaned for any purpose must become members of the cooperative breeding program. If the individuals do not plan to breed the specimens, the lead cooperator may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, the lead cooperator must provide the Division of Management Authority with their names, contact information, and qualifications. In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.
4. Individuals who will be engaged in breeding birds may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add an individual to the program, the lead cooperator must submit a written request to the Division of Management Authority and provide several years of breeding records for the proposed individual. In addition, the oversight organization specified in the cooperative breeding program application must submit written notification indicating that the individual has met the established criteria for acceptance into the cooperative breeding program, as specified in the application for approval.
5. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. Each program member must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny. Finally, the cooperative breeding program must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, the cooperative breeding program must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be submitted when the lead cooperator requests the renewal of the cooperative breeding program or upon request of the Division of Management Authority.
6. For cooperative breeding programs that include species listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of those species may only be imported for commercial purposes from a CITES-registered breeding operation. A directory of CITES-registered breeding operations, including U.S. operations, can be found at [Register of Captive-Breeding Operations \(http://www.cites.org/common/reg/e\\_cb.html\)](http://www.cites.org/common/reg/e_cb.html). For those species covered under the U.S. Migratory Bird Treaty Act (MBTA), MBTA authorization is required in order to import, possess, and sell specimens of those species. Additional information on the MBTA can be found at [MBTA \(http://www.fws.gov/migratorybirds/\)](http://www.fws.gov/migratorybirds/).

7. Any application to import these species must include information from the exporter on the origin of the specimens, and copies of CITES export permits from the range country to show that the specimens were legally acquired. If the specimens to be imported are captive bred, documentation must be provided to demonstrate legal acquisition of the parental stock from which the specimens were derived.
8. Members must demonstrate cooperation with the existing captive breeding programs that are developing sustainable populations of these species (e.g., sharing breeding stock, progeny, records, techniques) in order to more rapidly develop sustainable captive populations. Such communication should be documented in the renewal application materials that the applicant will submit to the Division of Management Authority.

\* \* \* \* \*

Monica A. Horton 4/12/2018  
Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority

Eleanora Babij 4/12/18  
Eleanora Babij, Ph.D.  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority



U.S. FISH & WILDLIFE SERVICE - MIGRATORY BIRD PERMIT OFFICE

Return to: U.S. Fish and Wildlife Service (USFWS)

Migratory Bird Permit Office
5600 American Blvd. West, Ste. 990
Bloomington, MN 55437-1458

Click here for addresses.

Office Use Only
Date Completed
rec'd in Region
Initials:

RAPTOR PROPAGATION - ANNUAL REPORT

PERMITTEE: LORRY MANI

PERMIT NUMBER: MB111515-0

ADDRESS: (b)(6)

REPORT FOR CALENDAR YEAR: 2016

City: CHANNATION, State: IL, Zip Code: (b)(6)

REPORT DUE DATE: (b)(6)

Check here if reporting a change of name, address, or contact information

PHONE: (b)(6) Email: (b)(6)

INSTRUCTIONS: Type or print the information requested below for all activities conducted under your Raptor Propagation permit during the report year, and return the completed report to above address by the due date. Use of this form is not mandatory, but the same information must be submitted, including a signed certification statement. A supplemental sheet is available if filing an annual report is a condition of your permit. Failure to file a timely report could result in suspension of your permit. You must submit a report even if you had no activity during the year. (Ref. 50 CFR parts 13 & 21) Please make sure you sign and date the certification before submitting your report

Table with 10 columns: Species Inventory\*, Possessed on 12/31 of report year?, Band Number, Hatch Date (M/D/Yr), Sex M/F/U, If female, total eggs laid, Total young Raised beyond 2 weeks, Disposition & Date Acquired (A), Disposed (D), Retained (R), From whom acquired or to who transferred (could be self) (name / s permit #). Rows include species like Aplomado and various band numbers and hatch dates.

\* List species by common name, including subspecies (e.g., anatum peregrine) & hybrid parentage if applicable. For hybrids, list male first, then female (e.g., peregrine x p...)

CERTIFICATION: I certify that the information in this report is true and correct to the best of my knowledge. I understand that any false statement herein may subject me to criminal penalties.

Signature (in blue ink) of permittee/principal officer. (No photocopied or stamped signatures)

12/15/16

Date of signature (mm/dd/yyyy)



**U.S. FISH & WILDLIFE SERVICE - MIGRATORY BIRD PERMIT OFFICE**  
 Return to: U.S. Fish and Wildlife Service (USFWS)

Migratory Bird Permit Office  
 5600 American Blvd. West, Ste. 990  
 Bloomington, MN 55437-1458

Click here for addresses.

Office Use Only  
 Date Completed Report  
 rec'd in Regional Office  
 Initials: \_\_\_\_\_

**RAPTOR PROPAGATION - ANNUAL REPORT**

PERMITTEE: Cathy Muel

PERMIT NUMBER: MB111515-D

ADDRESS: \_\_\_\_\_

REPORT FOR CALENDAR YEAR: 2017

Channahon

IL

City State

PHONE: \_\_\_\_\_

Check here if reporting a change of name, address, or contact information. **INSTRUCTIONS:** Type or print the information requested below for all activities conducted and above address by the due date. Use of this form is not mandatory, but the same information must be submitted, including a signed certification statement. A supplemental sheet is available if needed. Filing an annual report is a condition of your permit. Failure to file a timely report could result in suspension of your permit. You must submit a report even if you had no activity during the year. (Ref. 50 CFR parts 13 & 21) Please make sure you sign and date this certification before submitting your report.

| Species/Inventories*<br>List each raptor possessed during report year | Possessed on 12 / 31 of report year? (Y or N) | Band Number     | Hatch Date (M/D/Yr) | Sex (M/F/U) | If female, total eggs laid | Total young Raised beyond 2 weeks | Disposition & Date                             |  |  | From whom acquired or to whom transferred (should be self / name / state / permit #) |
|---|---|-----------------|---------------------|-------------|----------------------------|-----------------------------------|--|--|--|--|
|   |   |                 |                     |             |                            |                                   | Acquired (A),<br>Disposed (D),<br>Retained (R) | Acquired (A),<br>Disposed (D),<br>Retained (R) | Acquired (A),<br>Disposed (D),<br>Retained (R) |  |
| <u>Aplomado</u>   | <u>Y</u>                                      | <u>#24</u>      | <u>2000</u>         | <u>M</u>    |                            |                                   | <u>R</u>                                       |  |  |  |
| <u>Aplomado</u>   | <u>Y</u>                                      | <u>RU081703</u> | <u>2004</u>         | <u>M</u>    |                            |                                   | <u>D</u>                                       |  | <u>Death</u>                                   |  |
| <u>Aplomado</u>   | <u>Y</u>                                      | <u>RT081105</u> | <u>2004</u>         | <u>F</u>    | <u>0</u>                   |                                   | <u>R</u>                                       |  |  |  |
| <u>Aplomado</u>   | <u>Y</u>                                      | <u>RU081921</u> | <u>2004</u>         | <u>F</u>    | <u>0</u>                   |                                   | <u>D</u>                                       |  | <u>Nelson - WA - MB114008-0</u>                |  |
| <u>Aplomado</u>   | <u>Y</u>                                      | <u>RT091014</u> | <u>2013</u>         | <u>M</u>    |                            |                                   | <u>R</u>                                       |  |  |  |
| <u>Aplomado</u>   | <u>Y</u>                                      | <u>RT092153</u> | <u>2012</u>         | <u>F</u>    | <u>1</u>                   | <u>0</u>                          | <u>R</u>                                       |  |  |  |

\* I  
 CER  
 certifies

(b) (6) (c.e., minimum perrine) & hybrid parentage if applicable. For hybrids, list male first, then female (e.g., peregrine x prairie). I understand that any false statement herein may subject me to the

11/8/17

(No photocopied or stamped signatures)

Date of report (mm/dd/yyyy)



Dec 17, 2014

Chief, Branch of Operations  
Division of Management Authority  
Fish and Wildlife Service  
Washington, DC 20240

To Whom It May Concern:

This letter is formal notification that the below listed individual has met the requirements necessary for inclusion in the Washington Falconers Association Cooperative Breeding Program (File Number CB004). This inclusion is effective in Dec 2014.

Gary Maul-2014

(b) (6)

Channahon IL, (b) (6)

(b) (6)

MB-111515-0

Gary Maul will be working with Jim Nelson on aplomado falcons and has successfully bred Harris hawks, as well as over 20 years experience as a licensed falconer. All nominees are welcomed by the WFA Board of Directors and current cooperative breeders.

I have included documentation indicating his success in propagation efforts.

Sincerely,

(b) (6)

Dan L. Pike  
CB004 Permit Coordinator

(b) (6)

Lynden, WA (b) (6)

(b) (6)

(b) (6)

Steve Seibert  
Director at Large  
Washington Falconers Association

(b) (6)

Yakima, WA (b) (6)

(b) (6)

November 7, 2017

Mr. Clifton Horton  
United States Fish and Wildlife Service  
Division of Management Authority  
4401 North Fairfax Drive, Room 700  
Arlington, Virginia 22203

Dear Mr. Horton,

This letter is in regards to co-op member Troy Morris's request to remove the following list of captive bred Aplomado Falcons from CB004. The list of Aplomado Falcons are being requested to transfer to another falconer because of their old age. We have retained their genetics in the form of prior offspring within the program.

| <u>Sex</u> | <u>Peru Band #</u> | <u>Hatch Year</u> |
|------------|--------------------|-------------------|
| Female     | 183                | 2004              |
| Female     | 186                | 2004              |

Troy Morris has found a suitable home for them and I am requesting that they be removed from CB004 program. The licensed falconer that Troy Morris would like to transfer them to is listed below:

Sabrina Santos

(b) (6)

Madera, CA. (b) (6)

(b) (6)

FAL-10697

Please let me know if you have any additional questions.

Sincerely,

(b) (6)

Bethany McMartin  
WFA Cooperative Breeding Program Administrator

(b) (6)

Port Angeles, WA (b) (6)

(b) (6)

October 25, 2017

Mr. Clifton Horton  
United States Fish and Wildlife Service  
Division of Management Authority  
4401 North Fairfax Drive, Room 700  
Arlington, Virginia 22203

Dear Mr. Horton,

This letter is in regards to co-op member Jim Nelson's request to remove the following list of captive bred Aplomado Falcons from CB004. The list of Aplomado Falcons are being requested to transfer to another falconer because of their older age. We have retained their genetics in the form of prior offspring within the program.

| <u>Sex</u> | <u>Peru Band #</u> | <u>Hatch Year</u> |
|------------|--------------------|-------------------|
| Female     | (Peru) 573-08      | 2008              |
| Male       | (USFWS) RT081501   | 2005              |

Jim Nelson has found a suitable home for them and I am requesting that they be removed from CB004 program. The licensed falconer that Jim Nelson would like to transfer them to is listed below:

Marie Gasparie Crawford  
Raptor Propagation PRT# MB9865B-0

(b) (6)  
Reno, NV (b) (6)

Please let me know if you have any additional questions.

Sincerely,

(b) (6)

Bethany McMartin  
WFA Cooperative Breeding Program Administrator

(b) (6)  
Port Angeles, WA (b) (6)  
(b) (6)



November 7, 2017

Mr. Clifton Horton  
United States Fish and Wildlife Service  
Division of Management Authority  
4401 North Fairfax Drive, Room 700  
Arlington, Virginia 22203

Dear Mr. Horton,

This letter is in regards to co-op member Troy Morris's request to remove the following list of captive bred Aplomado Falcons from CB004. The list of Aplomado Falcons are being requested to transfer to another falconer because of their old age. We have retained their genetics in the form of prior offspring within the program.

| <u>Sex</u> | <u>Peru Band #</u> | <u>Hatch Year</u> |
|------------|--------------------|-------------------|
| Female     | 183                | 2004              |
| Female     | 186                | 2004              |

Troy Morris has found a suitable home for them and I am requesting that they be removed from CB004 program. The licensed falconer that Troy Morris would like to transfer them to is listed below:

Sabrina Santos

(b) (6)

Madera, CA. (b) (6)

(b) (6)

FAL-10697

Please let me know if you have any additional questions.

Sincerely,

(b) (6)

Bethany McMartin  
WFA Cooperative Breeding Program Administrator

(b) (6)

Port Angeles, WA (b) (6)

(b) (6)



# United States Department of the Interior



FISH AND WILDLIFE SERVICE  
International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

IN REPLY REFER TO:  
FWS/DMA/CB030

OCT 19 2018

David Kanellis

(b) (6)

Las Vegas, Nevada (b) (6)

Dear Mr. Kanellis:

This letter is formal notification that your application for renewal of the Raptor Cooperative Breeding Program (CB030) under the Wild Bird Conservation Act of 1992 (WBCA), dated February 24, 2018, has been approved under 50 CFR Section 15.26, as outlined below. This approval is subject to the general conditions and the Division of Scientific Authority conditions below. This approval will be effective for two (2) years from the date of this letter, and replaces the current approval letter dated February 17, 2017, which is no longer valid. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15. This letter also serves as official notification that Rebecca Searle, Justin Searle, Troy D. Taylor, Lauren McGough, Steve Hoddy, Adam Chavez, David Dixon, and Erland Renslo have been added as falconry members of CB030, and that your request to amend the quantities of previously approved species under the cooperative breeding program has been approved as follows:

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|--|-------|
| Black goshawk ( <i>Accipiter melanoleucus</i> )            | 15.15 |
| Eurasian (European) sparrowhawk ( <i>Accipiter nisus</i> ) | 15.15 |
| Lanner falcon ( <i>Falco biarmicus</i> )                   | 11.11 |
| Saker falcon ( <i>Falco cherrug</i> )                      | 15.15 |
| Northern goshawk ( <i>Accipiter gentilis</i> )             | 15.15 |
| African crowned eagle ( <i>Stephanoaetus coronatus</i> )   | 10.10 |
| Eurasian eagle-owl ( <i>Bubo bubo</i> )                    | 10.10 |

Cooperative Breeding Program Name: Raptor Cooperative Breeding Program

Oversight Organization: California Hawking Club

Cooperative breeding program application #: CB030

Species: black goshawk (*Accipiter melanoleucus*), Eurasian (European) sparrowhawk (*Accipiter nisus*), Lanner falcon (*Falco biarmicus*), saker falcon (*Falco cherrug*), red-necked falcon (*Falco chicquera*), African hawk-eagle (*Hieraaetus spilogaster*), African fish-eagle (*Haliaeetus vocifer*), African crowned eagle (*Stephanoaetus coronatus*), Northern goshawk (*Accipiter gentilis*), Steppe eagle (*Aquila nipalensis*), Verreaux's eagle (*Aquila verreauxii*), Martial eagle (*Polemeatus bellicosus*), ornate hawk-eagle (*Spizaetus ornatus*), Bonelli's eagle (*Hieraaetus fasciatus*), African goshawk (*Accipiter tachiro*), Eurasian eagle-owl (*Bubo bubo*), Ural owl (*Strix uralensis*), Peregrine falcon (*Falco peregrinus*)

Program Members: Stuart Rossell, Randy Landis, Sonny (Donald) Squiciarino, Mark Moglich, David Kanellis, Martin Stiasny, Bill Murphy, Robert F. Kennedy, Jr., William G. Meeker, Gary D. Boberg, Ryan Moglich, Lewis R. Souder, Los Angeles Zoo

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**General Conditions:** All birds imported for this cooperative breeding program must remain within a cooperative breeding program approved by the U.S. Fish and Wildlife Service (Service) for these species and may not be loaned, sold or transferred for any reason including breeding purposes unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your

program, you must submit a written request to this office and provide several years of breeding records for each individual proposed. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals to whom specimens will be loaned for any purpose must become members of the cooperative breeding program. You may designate them as "non-breeding members." To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., FWS migratory bird permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for "non-breeding members."

All individuals of the cooperative breeding program must maintain records of all birds that come into their possession through the cooperative breeding program. These records must be made available to the Service upon our request. All imported birds must meet all U.S. import requirements. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny.

Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

**Division of Scientific Authority Conditions:** Birds imported under the cooperative breeding agreement must remain within a Service-approved cooperative breeding program and may not be loaned, sold, or transferred to individuals outside an approved breeding program for any reason including breeding purposes, unless otherwise authorized by the Division of Management Authority.

Imported birds may only be disposed of outside a Service-approved cooperative breeding program if the specimens are deemed to be genetic surplus or are unable to breed. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program. With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in the original approval application. As with imported birds, prior to disposing of a

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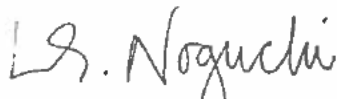
For cooperative breeding programs that include species listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), specimens of those species may only be imported for commercial purposes from a CITES-registered breeding operation. A directory of CITES-registered breeding operations, including U.S. operations, can be found at Register of Captive-Breeding Operations ([http://www.cites.org/common/reg/e\\_cb.html](http://www.cites.org/common/reg/e_cb.html)). For those species covered under the U.S. Migratory Bird Treaty Act (MBTA), MBTA authorization is required in order to import, possess, and sell specimens of those species. Additional information on the MBTA can be found at MBTA (<http://www.fws.gov/migratorybirds/>).

Any application to import these species must include information from the exporter on the origin of the specimens, and copies of CITES export permits from the range country to show that the specimens were legally acquired. If the specimens to be imported are captive bred, documentation must be provided to demonstrate legal acquisition of the parental stock from which the specimens were derived.

This notice entitles members of this cooperative breeding program who are listed above as Program Members, to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR Section 15.11. Please be aware that 50 CFR Part 15.11(f) states: *It is unlawful for any person subject to the jurisdiction of the United States to engage in any activity with an exotic bird imported under a permit issued pursuant to this part that violates a condition of said permit.* Enclosed you will find an application to import birds under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

Please feel free to direct any inquiries regarding this matter to Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, MS-1A, Falls Church, VA 22041; telephone 703-358-1908; email: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,



Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

Enclosure

SURNAME

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\_\_\_\_\_  
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\_\_\_\_\_  
\_\_\_\_\_

IN REPLY REFER TO:  
FWS/DMA//CB030

David Kanellis

(b) (6)

Las Vegas, Nevada (b) (6)

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Sincerely,

Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

Enclosure

## **AMENDMENT OF A COOPERATIVE BREEDING PROGRAM under the Wild Bird Conservation Act (WBCA) to include NEW SPECIES**

### **Questions**

1. The minimum number of participating facilities needed to achieve management objectives of new species to be covered under the program (provide an explanation of how this number was derived).
2. A statement justifying the need for this approval, which includes information on the current U.S. captive population of the requested species/subspecies and the need for importing additional specimens. Information should include, whether there is high trade of the species; if it is being captive-bred for re-introduction purposes; whether you are collaborating with other groups to promote in-situ conservation and the sustainability of the species in the long-term.
  - a. Provide a description of the cooperative breeding program that includes: whether managing genetic diversity as an important component to creating a long-term sustainable captive population. What scientifically grounded method(s) does the CBP intend to use to measure both projected and current levels of genetic diversity within the population? For projected genetic diversity, what is the time frame that will be considered (for example, projected genetic diversity at 100 years of 10 generations);
  - b. Description of the long-term plans for developing and maintaining a self-sustaining population in captivity of the exotic bird species; and
  - c. Explanation on the relationship of the cooperative breeding program to the conservation of the exotic bird species in the wild. Include information on whether you anticipate importing captive bred birds or wild caught birds and include identified sources to ensure that the number of birds you anticipate needing will be available.
3. Describe care and maintenance of the requested species/subspecies, and how each prospective member's facilities meet professionally recognized standards, including (if requesting to amend an established CBP by adding new members, please provide the following for each proposed new member):
  - a. Name and addresses of each facility where the birds, by species, will be maintained;
  - b. In the table below, please provide the requested information for each type of holding space that will be used to house CBP birds. Use one row for each holding space type (flight cages with different dimensions should be listed on separate rows as should indoor vs. outdoor aviaries).
  - c. For each type of aviary listed above, please provide a photo with a caption indicating the 'type' as listed in column 2;
  - d. Information on where any progeny will be housed;
  - e. Husbandry practices specific to each species as necessary;
  - f. A qualification statement for EACH individual who will be participating in the CBP. This statement should include records showing the participant's prior breeding experience with bird species that are the same or similar to the species in the CBP.

| 1. CBP member, facility name | 2. Aviary type (outdoor vs. indoor flight cage, etc.). Add a separate row for each type of aviary. | 3. Dimensions of specific aviary/holding space (W x L x H). Please specify units (feet, inches, etc.) | 4. How many aviaries fit these specifications? | 5. What species (scientific name) will be housed in this type of aviary? | 6. How many birds will be housed in each of the aviaries?   | 7. Will the birds be housed here permanently? If not, please provide additional information in the comments box below (i.e. why will the birds be moved and for how long).                     |
|------------------------------|--|---|--|--|---|--|
| EXAMPLE                      | Outdoor flight   | 10'x10'x8'  | 4  | <i>Amazona ochrocephala</i>  | Up to 4 birds in two of the flight cages. The two remaining flight cages will be vacant for future imports. | These cages will be used to socialize young birds or for surplus birds   |
| EXAMPLE                      | Outdoor flight   | 8'x5'x7'  | 2  | <i>Amazona ochrocephala</i>  | 2   | These will be permanent breeding cages and the birds will remain here for multiple breeding seasons. If these birds are transferred we will include that information in our CBP annual report. |
|                              |  |   |  |  |   |  |
|                              |  |   |  |  |   |  |

WILD BIRD CONSERVATION ACT  
RECORD OF FINDINGS ON APPLICATION  
FOR APPROVAL OF COOPERATIVE BREEDING PROGRAM  
U. S. FISH & WILDLIFE SERVICE

**\*\*Amendment\*\***

Application number: CB030

Applicant: David Kanellis

Oversight Organization:

California Hawking Club, Inc.

Species:

Specimens:

|  |       |
|--|-------|
| Northern goshawk ( <i>Accipiter gentilis</i> )       | 10.10 |
| African hawk-eagle ( <i>Hieraaetus spilogaster</i> ) | 10.10 |
| Black goshawk ( <i>Accipiter melanoleucus</i> )      | 7.5   |
| European sparrowhawk ( <i>Accipiter nisus</i> )      | 7.5   |
| Lanner falcon ( <i>Falco biarmicus</i> )             | 4.4   |
| Red-necked falcon ( <i>Falco chicquera</i> )         | 10.10 |
| Saker falcon ( <i>Falco cherrug</i> )                | 5.2   |
| Steppe eagle ( <i>Aquila nipalensis</i> )            | 8.8   |
| Verreaux's eagle ( <i>Aquila verreauxii</i> )        | 10.10 |

New species requested with 2017 amendment:

|   |     |
|---|-----|
| Martial eagle ( <i>Polemeatus bellicosus</i> )  | 6.6 |
| Ornate hawk-eagle ( <i>Spizaetus ornatus</i> )  | 6.6 |
| Bonelli's eagle ( <i>Hieraaetus fasciatus</i> ) | 6.6 |
| African goshawk ( <i>Accipiter tachiro</i> )    | 6.6 |
| Eurasian eagle owl ( <i>Bubo bubo</i> )         | 6.6 |
| Ural owl ( <i>Strix uralensis</i> )             | 6.6 |
| Peregrine falcon ( <i>Falco peregrinus</i> )    | 6.6 |

African hawk-eagle, increase to: 18.18

Background: The background on these species (except for the Steppe eagle) is provided in the original finding for approval of this program issued on February 5, 2002. The program was renewed in 2004, 2006, 2009, and 2014. An amendment to add the steppe eagle was approved on August 24, 2007, and included background on this species. Further amendments to add seven species and increase the number of African hawk-eagles were submitted with the 2017 amendment request.

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Findings relevant to issuance criteria of 50 CFR 15.26:

We previously found that this cooperative breeding program met all of the criteria necessary for

approval.

In a letter dated September 25, 2016, the applicant requested renewal of Cooperative Breeding Program CB030 with amendments as noted in the Background section. Previous to this submission, Mr. Kanellis sent an email on August 8, 2016 describing his new, expanded facilities for CB 030, which now has 30 large rooms. They include: 12 total 8'x20' rooms, 10 total 12'x20' rooms, and 8 total 16'x20' rooms. All rooms are 8'-6" low side to a 12' high ceiling with enclosed hallways to prevent escapement. There is a state-of-the-art video observation system with close to 50 cameras in the facilities.

**New species for addition to CB 030:**

Martial eagle: The martial eagle (*Polemeatus bellicosus*) is native to Angola; Benin; Botswana; Burkina Faso; Burundi; Cameroon; Central African Republic; Chad; Congo, The Democratic Republic of the; Côte d'Ivoire; Eritrea; Ethiopia; Gambia; Ghana; Guinea; Guinea-Bissau; Kenya; Malawi; Mali; Mauritania; Mozambique; Namibia; Niger; Nigeria; Rwanda; Senegal; Sierra Leone; Somalia; South Africa; Sudan; Swaziland; Tanzania, United Republic of; Togo; Uganda; Zambia and Zimbabwe (<http://www.iucnredlist.org/apps/redlist/details/144508/0>). The species is classified as Vulnerable by the IUCN (BirdLife International 2016a) and is considered by IUCN to be generally scarce to uncommon or rare, but is reasonably common in some areas. It is suspected to have undergone declines in much of its range, including Namibia and Nigeria. It is a terrestrial species which inhabits open woodland, woody savanna, bushy grassland, thorn-bush and, in southern Africa, more open country and has even been found in sub-desert. The main prey of the martial eagle is sizeable mammals, birds and reptiles (BirdLife International 2016a). The species suffers mainly from shooting and trapping by farmers and indirect poisoning which are the two major threats to the species. The martial eagle is also prone to drowning in sheer-walled reservoirs, electrocution on power poles, and habitat alteration and degradation. Poisoning is largely carried out by a few large-scale commercial farmers, but is also a problem in tribal small-stock farming communities. It is believed that the eagle is also taken for traditional medicine purposes (BirdLife International 2016a). The species was listed on Appendix II of CITES in 1979 (UNEP-WCMC 2017a).

Ornate hawk-eagle: The ornate hawk-eagle (*Spizaetus ornatus*) is native to Argentina; Belize; Bolivia, Plurinational States of; Brazil; Colombia; Costa Rica; Ecuador; El Salvador; French Guiana; Guatemala; Guyana; Honduras; Mexico; Nicaragua; Panama; Paraguay; Peru; Suriname; Trinidad and Tobago; and Venezuela (BirdLife International 2016b). The primary threat to this species is accelerating deforestation in the Amazon basin, through which it is projected to lose up to 40% of its habitat. It is also susceptible to hunting and persecution, but is comfortable traversing fragmented landscapes and has a huge range (BirdLife International 2016b).

Partners in Flight estimated the population to number fewer than 50,000 individuals (A. Panjabi in litt. 2008) with a decreasing population trend (BirdLife International 2016b). Previously classified as 'Least Concern', this species was re-assessed in 2012, and based on a model of future deforestation in the Amazon basin, and habitat loss and persecution elsewhere within its extremely large range, it is suspected that the population of this species will decline by 25-30%

over the next three generations, and it has therefore been classified as ‘Near Threatened’ (BirdLife International 2016b).

The ornate hawk-eagle was first listed with all other Falconiformes in CITES Appendix II on June 12, 2013 (UNEP-WCMC 2017b).

Bonelli’s eagle: This species (*Hieraaetus=Aquila fasciatus*) is native to Afghanistan, Albania, Algeria, Bhutan, Bosnia and Herzegovina, Bulgaria, China, Croatia, Cyprus, Djibouti, Egypt, France, Georgia, Gibraltar, Greece, Hong Kong, India, Indonesia, Islamic Republic of Iran, Iraq, Israel, Italy, Jordan, Kuwait, Lao People's Democratic Republic, Lebanon, Libya, Macedonia, Mauritania, Montenegro, Morocco, Myanmar, Nepal, Oman, Pakistan, Occupied Palestinian Territory, Portugal, Saudi Arabia, Serbia, Spain (Canary Is. - Vagrant), Syrian Arab Republic, Tajikistan, Thailand, Timor-Leste, Tunisia, Turkey, Turkmenistan, United Arab Emirates, Uzbekistan, Viet Nam, and Yemen (BirdLife International 2016c). This species has been classified as ‘Least Concern’ with a decreasing population trend by the IUCN, since 2006 (BirdLife International 2016c). Bonelli’s eagle was listed with all Falconiformes in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017c).

African goshawk: The African goshawk (*Accipiter tachiro*) has an extremely large range and can be found in Angola; Botswana; Burundi; Congo, The Democratic Republic of the; Ethiopia; Kenya; Malawi; Mozambique; Namibia; Rwanda; Somalia; South Africa; South Sudan; Swaziland; Tanzania, United Republic of; Uganda; Zambia; and Zimbabwe (BirdLife International 2016d). It does not approach the thresholds for Vulnerable under the range size criterion (Extent of Occurrence <20,000 km<sup>2</sup> combined with a declining or fluctuating range size, habitat extent/quality, or population size and a small number of locations or severe fragmentation). Despite the fact that the population trend appears to be decreasing, the decline is not believed to be sufficiently rapid to approach the thresholds for Vulnerable under the population trend criterion (>30% decline over ten years or three generations). The population size has not been quantified, but it is not believed to approach the thresholds for Vulnerable under the population size criterion (<10,000 mature individuals with a continuing decline estimated to be >10% in ten years or three generations, or with a specified population structure). For these reasons the species is evaluated as Least Concern (BirdLife International 2017a). The global population size has not been quantified, but the species (pre-split of *A. toussenelii*) is described as the most common African accipiter (Ferguson-Lees and Christie 2001 in BirdLife International 2017a). The species’ population is declining slowly owing to habitat destruction and spread of agriculture. However the species is able to adapt to degraded habitats (Ferguson-Lees and Christie 2001 in BirdLife International 2017a). The African goshawk was listed with all Falconiformes in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017d).

Eurasian eagle owl: The Eurasian eagle owl (*Bubo bubo*) is native to Afghanistan; Albania; Andorra; Armenia; Austria; Azerbaijan; Belarus; Belgium; Bosnia and Herzegovina; Bulgaria; China; Croatia; Czech Republic; Denmark; Estonia; Finland; France; Georgia; Germany; Greece; Hong Kong; Hungary; India; Iran, Islamic Republic of; Iraq; Israel; Italy; Japan; Kazakhstan; Korea, Democratic People's Republic of; Korea, Republic of; Kyrgyzstan; Latvia; Lebanon; Liechtenstein; Lithuania; Luxembourg; Macedonia, the former Yugoslav Republic of; Moldova;



Mongolia; Montenegro; Netherlands; Norway; Pakistan; Palestinian Territory, Occupied; Poland; Portugal; Romania; Russian Federation; Serbia; Slovakia; Slovenia; Spain; Sweden; Switzerland; Syrian Arab Republic; Tajikistan; Turkey; Ukraine; and Uzbekistan (BirdLife International 2016b). The species is classified as a species of Least Concern by the IUCN (BirdLife International 2016e).

In Europe, the breeding population is estimated to number 18,500-30,300 pairs, which equates to 36,900-60,600 mature individuals (BirdLife International 2016b). Europe forms c.20% of the global range, so a very preliminary estimate of the global population size is 180,000-300,000 mature individuals, although further validation of this estimate is needed. The population is therefore placed in the band 100,000-499,999 mature individuals.

The overall population trend is suspected to be declining. The European population is estimated to be increasing (BirdLife International 2016e). However, the Asian population has apparently undergone a marked decline since the 1970s (Holt et al. 2013 *in* BirdLife International 2016e). Most declines are due to human activity. The species is extremely sensitive and the slightest disturbance can cause abandonment of the nest. Unfortunately, cross-country skiing, mountaineering, alpinism and other leisure activities often take people unknowingly near nests (Tucker and Heath 1994 *in* BirdLife International 2016e). *Bubo bubo* suffers from human persecution and poisoning from mercury seed-dressings, and deaths through road traffic, capture (unwittingly) by barbed wire (Holt et al. 2013 *in* BirdLife International 2016e) and overhead wires (Tucker and Heath 1994 *in* BirdLife International 2016e) are not insignificant (Holt et al. 2013 *in* BirdLife International 2016b). These threats still persist despite its protected status in most countries (König 2008 *in* BirdLife International 2016e). A significant decline in populations that were located in Mediterranean countries in the 1960s is thought to be due to the effects of myxomatosis on rabbit populations (Holt et al. 2013 *in* BirdLife International 2016e). Egg-collectors continue to impose a relatively high level of nest-robbing on the species (Tucker and Heath 1994 *in* BirdLife International 2016e). All Strigiformes were listed in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017e).

Ural owl: The Ural owl (*Strix uralensis*) is native to Albania; Austria; Belarus; Bosnia and Herzegovina; Bulgaria; China; Croatia; Czech Republic; Estonia; Finland; Germany; Hungary; Italy; Japan; Kazakhstan; Korea, Democratic People's Republic of; Korea, Republic of; Latvia; Lithuania; Macedonia, the former Yugoslav Republic of; Moldova; Mongolia; Montenegro; Norway; Poland; Romania; Russian Federation; Serbia; Slovakia; Slovenia; Spain; Sweden; and Ukraine (BirdLife International 2016f). The Ural owl's European population is estimated at 50,000-143,000 pairs, which equates to 99,900-286,000 mature individuals (BirdLife International 2016f). Europe forms approximately 25% of the global range, so a very preliminary estimate of the global population size is 396,000-1,140,000 mature individuals, although further validation of this estimate is needed. The population is suspected to be stable in part due to the provision of thousands of nest boxes in some areas to replace dead-wood habitat lost during forestry operations. In Europe the population size is estimated to be increasing (BirdLife International 2016f). In areas dominated by open areas, such as fields and clearfells, the Eagle Owl (*Bubo bubo*) out-competes this species (BirdLife International 2016f). It also suffers from forestry management, which has resulted in the loss of hollow and broken trees which provide

nesting sites (König 2008 and Holt et al. 2015 in BirdLife International 2016f). All Strigiformes were listed in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017f).

Peregrine falcon: The peregrine falcon (*Falco peregrinus*) is an extremely widely-distributed falcon species with a stable population trend and extremely large population size (BirdLife International 2016g). The species is native to Afghanistan; Albania; Algeria; Andorra; Angola (Angola); Anguilla; Antigua and Barbuda; Argentina; Armenia (Armenia); Aruba; Australia; Austria; Azerbaijan; Bahamas; Bahrain; Bangladesh; Barbados; Belarus; Belgium; Belize; Benin; Bermuda; Bhutan; Bolivia, Plurinational States of; Bonaire, Sint Eustatius and Saba; Bosnia and Herzegovina; Botswana; Brazil; Brunei Darussalam; Bulgaria; Burkina Faso; Cambodia; Cameroon; Canada; Cape Verde; Cayman Islands; Central African Republic; Chad; Chile; China; Colombia; Comoros; Congo; Congo, The Democratic Republic of the; Costa Rica; Côte d'Ivoire; Croatia; Cuba; Curaçao; Cyprus; Czech Republic; Denmark; Djibouti; Dominica; Dominican Republic; Ecuador; Egypt; El Salvador; Equatorial Guinea; Eritrea; Estonia; Ethiopia; Falkland Islands (Malvinas); Fiji; Finland; France; French Guiana; Gabon; Gambia; Georgia; Germany; Ghana; Gibraltar; Greece; Greenland; Grenada; Guadeloupe; Guam; Guatemala; Guinea; Guinea-Bissau; Guyana; Haiti; Honduras; Hong Kong; Hungary; India; Indonesia; Iran, Islamic Republic of; Iraq; Ireland; Israel; Italy; Jamaica; Japan; Jordan; Kazakhstan; Kenya; Korea, Democratic People's Republic of; Korea, Republic of; Kuwait; Kyrgyzstan; Lao People's Democratic Republic; Latvia; Lebanon; Lesotho; Liberia; Libya; Liechtenstein; Lithuania; Luxembourg; Macao; Macedonia, the former Yugoslav Republic of; Madagascar; Malawi; Malaysia; Mali; Malta; Martinique; Mauritania; Mayotte; Mexico; Micronesia, Federated States of; Moldova; Mongolia; Montenegro; Montserrat; Morocco; Mozambique; Myanmar; Namibia; Nepal; Netherlands; New Caledonia; Nicaragua; Niger; Nigeria; Northern Mariana Islands; Norway; Oman; Pakistan; Palau; Palestinian Territory, Occupied; Panama; Papua New Guinea; Paraguay; Peru; Philippines; Poland; Portugal; Puerto Rico; Qatar; Romania; Russian Federation; Rwanda; Saint Barthélemy; Saint Kitts and Nevis; Saint Lucia; Saint Martin (French part); Saint Pierre and Miquelon; Saint Vincent and the Grenadines; Saudi Arabia; Senegal; Serbia (Serbia); Sierra Leone; Singapore; Sint Maarten (Dutch part); Slovakia; Slovenia; Solomon Islands; Somalia; South Africa; South Georgia and the South Sandwich Islands; South Sudan; Spain (Canary Is.); Sri Lanka; Sudan; Suriname; Swaziland; Sweden; Switzerland; Syrian Arab Republic; Taiwan, Province of China; Tajikistan; Tanzania, United Republic of; Thailand; Timor-Leste; Togo; Trinidad and Tobago; Tunisia; Turkey; Turkmenistan; Turks and Caicos Islands; Uganda; Ukraine; United Arab Emirates; United Kingdom; United States (Georgia); United States Minor Outlying Islands; Uruguay; Uzbekistan; Vanuatu; Venezuela, Bolivarian Republic of; Viet Nam; Virgin Islands, British; Virgin Islands, U.S.; Western Sahara; Yemen; Zambia; and Zimbabwe (BirdLife International 2016g). Renowned for its speed, peregrine falcons are traded internationally primarily as live birds for purposes of falconry or to supply breeding stock for captive breeding. WCMC trade data indicate that 552 live peregrine falcons, on average, are exported annually (UNEP-WCMC 2016g). The species is currently included in CITES Appendix I and therefore, trade for primarily commercial specimens in registered captive breeding facilities. Eighty-five percent of peregrine falcons in trade are captive-bred birds.

In 2015, the IUCN assessed the peregrine falcon as a species of 'Least Concern' (BirdLife International 2016g). The European regional assessment also identifies the species as Least

Concern. The population size for the peregrine falcon is extremely large, and numbers are not near to the threshold that would establish the species as one of global concern. BirdLife International (2016g) estimates the global population size at 228,800 to 443,000 mature individuals. The largest number of peregrines is found in Europe and North America. Across its European range (including Greenland) there are an estimated 14,900–28,800 breeding pairs. An estimate of 100-1500 breeding pairs in European Russia has been made but no comparable estimate is available for Asiatic Russia, although there are certainly more. Of key traders, Greenland, Spain and the United Kingdom reported 1,000-5,000 breeding pairs. Finland, Germany and the Netherlands reported 100-1,000 breeding pairs. Belgium, Czech Republic and Denmark reported between 10-100 breeding pairs. Based on survey data in the early to mid-2000s, an estimated 3,197 breeding pairs of peregrine falcon occur in North America. An estimated 170 breeding pairs occur in Mexico. Canada reported 100-1,000 breeding pairs of peregrine falcon (but likely 1,000-5,000 due to a vast un-surveyed northern landscape) and the United States reported 1,000-5,000 breeding pairs. At a global scale, peregrine falcon populations are considered secure. The peregrine falcon has an extremely large range, stable population trend, and extremely large population size (BirdLife International 2016g).

Threats to individuals and local populations still exist. Environmental toxins were, and probably still are, the main threat to peregrine falcon populations around the globe. Peregrine falcon populations in North America and Europe declined significantly following World War II, mainly due to the widespread use of organochlorine pesticides such as DDT, which caused eggshell thinning and breakage resulting in breeding failures and ultimately, population declines. In many countries, organochlorine use has been banned and these chemicals are decreasing in the environment and in peregrine falcon populations (BirdLife International 2016g).

According to the IUCN, current threats from falconry are uncertain (BirdLife International 2016g). The peregrine falcon has historically been used extensively for the practice of falconry. Falconry is still the main driver of trade and use of peregrine falcons today (Kenward 2009 *in* BirdLife International 2016g). Falconry is a highly-organized sport represented globally by the International Association for Falconry and Conservation of Birds of Prey (IAF). The IAF currently has 110 member associations from 80 countries worldwide, totaling 60,000 members. These associations work actively with governments to conserve the species and promote the sport.

The U.S. peregrine falcon was originally listed as endangered under the Endangered Species Conservation Act of 1969, in 1970, which was the precursor to the U.S. Endangered Species Act of 1973 ([http://ecos.fws.gov/docs/federal\\_register/fr21.pdf](http://ecos.fws.gov/docs/federal_register/fr21.pdf)). Critical Habitat was designated for the species in 1977 ([http://ecos.fws.gov/docs/federal\\_register/fr151.pdf](http://ecos.fws.gov/docs/federal_register/fr151.pdf)). This species was removed from the List of Threatened and Endangered Species in 1999, as it was considered to be recovered ([http://ecos.fws.gov/docs/federal\\_register/fr3444.pdf](http://ecos.fws.gov/docs/federal_register/fr3444.pdf)). A Monitoring Plan was developed for the species in 2003 ([http://ecos.fws.gov/docs/federal\\_register/fr4204.pdf](http://ecos.fws.gov/docs/federal_register/fr4204.pdf)).

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Based on the report of new construction and activities conducted under the Cooperative Breeding

Program since its last renewal, we believe that the program continues to fulfill the purposes of the Wild Bird Conservation Act and meet the criteria for approval. Therefore, we recommend approval of this renewal application with the following conditions:

1. Birds imported under the cooperative breeding agreement must remain within the breeding program and may not be loaned, sold, or transferred in any manner to individuals outside the breeding program for breeding or falconry purposes.
2. Imported birds and their progeny may only be sent to non-program members if the specimens are deemed to be genetic surplus. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program.
3. Individuals to whom specimens will be loaned for any purpose, including falconry, must become members of the cooperative breeding program. If the individuals do not plan to breed the specimens, the lead cooperator may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, the lead cooperator must provide the Division of Management Authority with their names, contact information, and qualifications (e.g., falconry permit number). In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.
4. If the individuals plan to breed the specimens, the lead cooperator must designate these individuals as breeding members. Individuals may be added to the cooperative breeding program provided that the requirements in Section 15.26(a)(3) of the Wild Bird Conservation Act are met and the information is submitted to the Division of Management Authority. In addition, the Division of Management Authority must receive formal written notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program, as specified in the application for approval.
5. Cooperative breeding program members must maintain import documentation and veterinary records of the imported specimens and make these records, the imported birds, and their facilities available for review by U.S. Fish and Wildlife Service staff upon request.

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Marie T. Maltese  
Biologist  
Division of Scientific Authority

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Eleanora Babij  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority

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**Re: [EXTERNAL] coop breeding CB030 question**

1 message

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**Dave (b) (6)**  
To: clifton\_horton@fws.gov

Fri, Oct 19, 2018 at 7:00 PM

Thank you so much

Sent from AOL Mobile Mail  
Get the new AOL app: [mail.mobile.aol.com](mailto:mail.mobile.aol.com)

On Friday, October 19, 2018, Horton, Clifton <clifton\_horton@fws.gov> wrote:

Good news, attached.

Regards,  
Clif

On Tue, Oct 16, 2018 at 10:31 AM Dave (b) (6) wrote:  
Thank you

Sent from AOL Mobile Mail  
Get the new AOL app: [mail.mobile.aol.com](mailto:mail.mobile.aol.com)

On Tuesday, October 16, 2018, Horton, Clifton <clifton\_horton@fws.gov> wrote:

Greetings, I'll see what I can do regarding your request.

Regards,  
Clif

On Mon, Oct 15, 2018 at 3:46 PM Dave (b) (6) > wrote:  
Clifton,

I was wondering what the status was for the renewal of the program ? I have been informed two falconry permits are expired and waiting for the current ones to be sent to me and I will email them to you as soon as I get them. In regards to adding Lauren McGough as a falconry member, I was wondering is there anything holding up her approval? She will be visiting me this weekend and it would be great if I can place a unpaired bird with her to handle while waiting on a mate at this time rather then setting up another trip in the future.

Regards,  
David Kanellis

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
[5275 Leesburg Pike](https://www.fws.gov)  
MS: IA  
Falls Church, VA 22041-3803

[\(703\) 358-1908](tel:7033581908)  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--  
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## Re: MH edits

1 message

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**Noguchi, Laura** <laura\_noguchi@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Fri, Oct 19, 2018 at 3:27 PM

Attached. Thank you, Clif!

L.

Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike  
Falls Church, VA 22041

703-358-2028 (tel)  
703-358-2298 (fax)

On Fri, Oct 19, 2018 at 3:22 PM, Noguchi, Laura <laura\_noguchi@fws.gov> wrote:

Right on. Scanning now....

Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike  
Falls Church, VA 22041

703-358-2028 (tel)  
703-358-2298 (fax)

On Fri, Oct 19, 2018 at 3:08 PM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

Both-Once I receive the signed copy, I will e-mail the applicant.

Thanks Laura.

On Fri, Oct 19, 2018 at 3:05 PM Noguchi, Laura <laura\_noguchi@fws.gov> wrote:

OK, got it. I'll leave it in. I'm about to print and sign and will email a copy to you, Monica, and Mike. Do you usually send these via snail mail, by email, or both?

thanks,

L.

Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041](#)

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703-358-2298 (fax)

On Fri, Oct 19, 2018 at 3:00 PM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

We enclose the permit application (second to last paragraph). Can take out if desired.

On Fri, Oct 19, 2018 at 2:48 PM Noguchi, Laura <laura\_noguchi@fws.gov> wrote:

Thank you.

The only change I'm making is to remove the "Enclosure" from the end...as we're no longer enclosing anything, correct?

L.

Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#)  
[Falls Church, VA 22041](#)

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703-358-2298 (fax)

On Fri, Oct 19, 2018 at 1:46 PM, Horton, Clifton <[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)> wrote:  
Attached.

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Clifton A. Horton  
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MS: IA  
[Falls Church, VA 22041-3803](#)

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## Fwd: WBCA Coop discussion.

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: Monica Horton <monica\_horton@fws.gov>

Thu, Nov 30, 2017 at 6:45 AM

----- Forwarded message -----

From: **Horton, Clifton** <clifton\_horton@fws.gov>  
Date: Thu, Nov 30, 2017 at 6:45 AM  
Subject: Re: WBCA Coop discussion.  
To: "Moore, Mike" <mike\_moore@fws.gov>, Tim Vannorman <tim\_vannorman@fws.gov>, "Babij, Eleanora" <eleanora\_babij@fws.gov>  
Cc: Laura Noguchi <Laura\_Noguchi@fws.gov>

Following up to our discussion , please find the attached:

Original application received 10/31/2001: *Oct 2001 Rossell application.pdf*

Original approval dated 2/11/2002: *Feb 2002 Rossell approval.pdf*

Request to add peregrine falcon received 10/14/2016: *10109c.pdf*

DSA finding adding peregrine falcon: *10109c 01.19.17.pdf*

DSA finding adding peregrine falcon signature page: *10109c signature page 01.23.17.pdf*

Peregrine falcon approved 2/17/2017: *2-17-2017.pdf*

Correction: *2-23-17\_department of the interior mail - re\_coop cb030.pdf*

On Tue, Nov 28, 2017 at 2:11 PM, Moore, Mike <mike\_moore@fws.gov> wrote:

Hi Cliff,

Can we call you for a discussion on a WBCA Coop issue dealing with Appendix I peregrines?

Michael M.

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803








(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--  
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clifton\_horton@fws.gov

---

**7 attachments**

-  **2-17-2017.pdf**  
113K
-  **2-23-17\_department of the interior mail - re\_ coop cb030.pdf**  
124K
-  **10109c 01.19.17.pdf**  
54K
-  **10109c signature page 01.23.17.pdf**  
22K
-  **10109c.pdf**  
239K
-  **Feb 2002 Rossell approval.pdf**  
63K
-  **Oct 2001 Rossell application.pdf**  
835K

---

## Re: WBCA Cooperative Breeding Program (CB030), The Raptor Cooperative Breeding Program.

1 message

---

**Moore, Mike** <mike\_moore@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Wed, May 9, 2018 at 12:19 PM

Thanks.

On Wed, May 9, 2018 at 12:01 PM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
They foster birds, fly them, etc.

On Wed, May 9, 2018 at 11:55 AM, Moore, Mike <mike\_moore@fws.gov> wrote:  
Cliff,

This is interesting. So what is the point of listing them as a falconry member under the coop? What is there function under the coop?

Michael M.

On Wed, May 9, 2018 at 11:51 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
A falconry member cannot import birds, only program members can import birds.

On Wed, May 9, 2018 at 10:05 AM, Moore, Mike <mike\_moore@fws.gov> wrote:  
Hey Cliff,

I am reviewing an application request from a Chase Delles for the import of one male martial eagle (*Polemaetus bellicosus*) from the United Kingdom. Mr. Delles applied for a zoological breeding and display permit, but made reference to this coop in his application. When I looked it up, your letter dated February 17, 2017, to Mr. David Kanellis identified Mr. Delles as a Falconry Member, not a Program Member.

Can you explain to me what the distinction is between a falconry member and program member under this coop? I assume that if Mr. Delles is requesting to import a bird as a falconry member of this coop, he needs to follow the same procedures as a program member would, filling out form 3-200-48 for imports under an approved program, correct?

Michael M.

--

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---

## Fwd: [EXTERNAL] Coop CB030

1 message

---

**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Wed, Jun 6, 2018 at 10:59 AM

FYI -

----- Forwarded message -----

From: **Horton, Monica** <monica\_horton@fws.gov>  
Date: Wed, Jun 6, 2018 at 10:53 AM  
Subject: Re: [EXTERNAL] Coop CB030  
To: Dave (b) (6)

Good Morning Dave,

Thank you for your email. I am currently reviewing your request for renewal and amendment to add additional species to your cooperative breeding program, however, I need some additional information before I can continue with my review.

Attached, please find a list of questions that I will need you to answer regarding the new species and submit to me, in order for me to continue to review and process your amendment request.

Please let me know if you have any questions. Thank you.

Sincerely,  
Monica Horton

On Mon, Jun 4, 2018 at 3:40 PM, Dave (b) (6) > wrote:

Hello Monica,  
I was just checking to see where things are at with the coop renewal? I worked really hard to format all the records into an easier to read spread sheets hoping that's the case. Anyways let me know if you have any questions  
Regards,  
Dave Kanellis  
CB030 Lead cooperater  
(b) (6)

Sent from AOL Mobile Mail  
Get the new AOL app: [mail.mobile.aol.com](mailto:mail.mobile.aol.com)

--  
Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
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---



**Additional Questions for Amending WBCA Coop by Adding New Species.pdf**

278K



## Re: Change of address for CB030

1 message

Horton, Clifton <clifton\_horton@fws.gov>  
To: Dave (b) (6) >

Thu, Feb 23, 2017 at 10:52 AM

Expiration date corrected.

On Thu, Feb 23, 2017 at 10:42 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
Attached.

On Mon, Aug 8, 2016 at 1:01 PM, Dave (b) (6) wrote:  
Clifton ,

I notified Marie and wanted to send notice to you as well that I have made the permant move to our new home now that the new facility is completed we still own my priviouse location but all birds have just been moved to our new location.

NEW ADDRESS-  
David Kanellis

(b) (6)

Las Vegas, NV (b) (6)

(b) (6)

The new facility for the coop records is 8000 square feet.

There are 30 rooms

12 total- 8'x20' rooms

10 total-12'x20' rooms

8 total-16'x20' rooms

All rooms are 8'-6" low side to 12' high ceiling  
enclosed hallway to prevent escape.

State of the art video observation system with close to 50 cameras.

attached are picture to give a idea of the buildings size.

Will this email be sufficient or do I need to send in some sort of written letter?

Sincerely,  
David Kanellis

6 Attached Images





--

Clifton A. Horton  
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Falls Church, VA 22041-3803

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---

 **2-17-2017.pdf**  
109K

**Re: Change of address for CB030**

1 message

Horton, Clifton <clifton\_horton@fws.gov>  
To: Dave (b) (6)

Thu, Feb 23, 2017 at 10:42 AM

Attached.

On Mon, Aug 8, 2016 at 1:01 PM, Dave (b) (6) > wrote:

Clifton ,

I notified Marie and wanted to send notice to you as well that I have made the permant move to our new home now that the new facility is completed we still own my priviouse location but all birds have just been moved to our new location.

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enclosed hallway to prevent escape.

State of the art video observation system with close to 50 cameras.  
attached are picture to give a idea of the buildings size.

Will this email be sufficient or do I need to send in some sort of written letter?

Sincerely,  
David Kanellis

6 Attached Images






—  
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---

 **2-17-2017.pdf**  
108K

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**Re: CB030: Request to Transfer 0.1 Ornate hawk-eagle Imported under Permit # MA84424B to CB030**

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Horton, Monica" <monica\_horton@fws.gov>

Fri, Feb 23, 2018 at 11:04 AM

Thanks.

On Fri, Feb 23, 2018 at 11:02 AM, Horton, Monica <monica\_horton@fws.gov> wrote:

Dear Clif,

Attached please find our approval (finding and letter) of the transfer of the 0.1 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, previously transferred from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mr. Mark Moglich, under WBCA Cooperative Breeding Program, CB030, back to Sia: Comanche Nation Raptor Program.

A copy of the letter has been sent to Sia, Mark Moglich and David Kanellis, for their records.

Thanks, Monica

On Tue, Dec 12, 2017 at 10:46 AM, Horton, Monica <monica\_horton@fws.gov> wrote:

Dear Clif,

Attached please find our approval (finding and letter) of the transfer of 0.1 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mr. Mark Moglich, under WBCA Cooperative Breeding Program, CB030.

Mr. David Kanellis, Lead Cooperator of the Raptor Cooperative Breeding Program (CB030), has agreed to the transfer of this specimen from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mark Moglich, under CB030. He is aware that this female specimen will count towards and reduce the total number of birds that the cooperative breeding program can further import, as this specimen will count towards the total number of specimens approved under the cooperative breeding program.

A copy of the letter has been sent to Sia, Mark Moglich and David Kanellis, for their records.

Thanks, Monica

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
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---

## Re: WBCA Coop for David Kanellis, PRT-10109C.

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Moore, Mike" <mike\_moore@fws.gov>

Fri, Apr 13, 2018 at 10:46 AM

Mike, this is the one that we are working on to rectify irregularities. OK for the import of 0.2 northern goshawks (*Accipiter gentilis*) with 2/17/17 letter. Also please send DSA finding attached here which is also applicable.

Thanks Mike.

On Fri, Apr 13, 2018 at 10:22 AM, Moore, Mike <mike\_moore@fws.gov> wrote:

Hey Cliff,

So the finding I have for Kanellis is for the import of 0.2 northern goshawks (*Accipiter gentilis*). Same questions (**correct coop**), are we good with sending him a copy of the February 17, 2017, renewal letter for this coop?

Michael M.

On Fri, Apr 13, 2018 at 10:07 AM, Moore, Mike <mike\_moore@fws.gov> wrote:

It is indeed. I'm doing to many things at once.

I'm going to call it a day!

Thanks,

Michael M.

On Fri, Apr 13, 2018 at 10:02 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

Mike, its Friday the 13th. The toucans are Padgett not Kanellis. Padgett is approved for those toucans (10/17/17 letter).

On Fri, Apr 13, 2018 at 9:48 AM, Moore, Mike <mike\_moore@fws.gov> wrote:

Hey Cliff,

Sorry about that. I missed the date of receipt of the application. However, I just got a finding from DSA approving the import of 5.5 channel-billed toucans (*Ramphastos vitellinus*) and 6.4 green-billed toucans (*Ramphastos dicolorus*). Are we good with issuing the permit and sending him a copy of the October 17, 2017, renewal letter for his Coop?

Michael M.

On Fri, Apr 13, 2018 at 9:24 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

There are some irregularities with this co-op that we are working through now. To that end, Mr. Kanellis has submitted a new application.

On Fri, Apr 13, 2018 at 8:55 AM, Moore, Mike <mike\_moore@fws.gov> wrote:

Hi Cliff,

It looks like WBCA Coop (**CB030**) was renewed on February 17, 2017. However, in SPITS under PRT-10109C, the status is still showing pending.

Can you go in and update this record to reflect the actual status and when the current renewal will expire.

Many thanks,

Michael M.

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
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MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
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--  
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---

**2 attachments**

 **10109C\_DSAFnd\_1-23-17.pdf**  
73K

 **2-17-2017.pdf**  
109K



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## CB030 - Kanellis

1 message

---

**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>


Fri, Apr 13, 2018 at 10:40 AM

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

---

### 2 attachments

 **2-17-2017.pdf**  
109K

 **10109C\_DSAFnd\_1-23-17.pdf**  
73K

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**Re: WBCA Coop for David Kanellis, PRT-10109C.**

1 message

---

**Moore, Mike** <mike\_moore@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Fri, Apr 13, 2018 at 10:22 AM

Hey Cliff,

So the finding I have for Kanellis is for the import of 0.2 northern goshawks (*Accipiter gentilis*). Same questions (**correct coop**), are we good with sending him a copy of the February 17, 2017, renewal letter for this coop?

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Many thanks,

Michael M.

--

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--

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---

## Re: CB030 - Raptors

1 message

---

**Kapetanakos, Yula** <yula\_kapetanakos@fws.gov>

Wed, May 3, 2017 at 10:29 AM

To: "Maltese, Marie" <marie\_maltese@fws.gov>

Cc: "Horton, Clifton" <clifton\_horton@fws.gov>, Eleanora Babij <eleanora\_babij@fws.gov>

Thanks Marie! And I now see that he was approved for renewal. Sorry for the confusion. I'm still wondering what he is doing with all the raptors? Is he affiliated with any AZA programs?

On Wed, May 3, 2017 at 10:23 AM, Maltese, Marie <marie\_maltese@fws.gov> wrote:

Yula,

I have an email from him from earlier this year (or late last year) indicating improvements to his facility that were quite extensive. I will forward it to you.

Marie

On Mon, May 1, 2017 at 2:27 PM, Kapetanakos, Yula <yula\_kapetanakos@fws.gov> wrote:

Hi Elena and Clif,

CBP030 for raptors was supposed to be renewed in February 2017. As far as I can tell it was not. Clif, would you mind confirming this for me? Mr. Kanellis received a permit to amend the CBP this year but not to renew. However, in that application (#10109C) he doesn't answer any of the questions - at least not on the application that is in SPITS. All I see in that application is the request to bump up the number of species. He is currently approved for 18 species. Have we ever visited his facility or requested a summary of how many birds have been imported and where they are all being held? He has received approval to import over 250 raptors. Marie, I know you've worked with him in the past. What are your thoughts?

I am working on another import request from him (#24287C). Can you please advise how to handle?

Thanks! yula

--

Yula Kapetanakos, PhD

AAAS Science & Technology Policy Fellow  
US Fish and Wildlife Service  
Division of Scientific Authority  
5275 Leesburg Pike  
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(o) 703-358-2369  
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[yula\\_kapetanakos@fws.gov](mailto:yula_kapetanakos@fws.gov)  
skype: yulakap

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
(Please note new address.)  
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Fax: (703) 358-2276

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--

Yula Kapetanacos, PhD

AAAS Science & Technology Policy Fellow  
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[yula\\_kapetanacos@fws.gov](mailto:yula_kapetanacos@fws.gov)  
skype: yulakap



**CB030 2017 renewal\_MC.doc**

66K

---

**Re: Coop CB030**

1 message

---

**Maltese, Marie** <marie\_maltese@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Tue, May 23, 2017 at 8:45 AM

Thanks Clif, we have directed it to Tim.  
Marie

On Mon, May 22, 2017 at 8:28 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
Hello Marie, as far as I know, no, however, that is a question better directed to BOP.

On Mon, May 15, 2017 at 9:56 AM, Maltese, Marie <marie\_maltese@fws.gov> wrote:

Hi Clif,  
Did you ever have a chance to answer this question for the co-op member? I can email him if you'd answer his question for me. Or, if you answer him, would you please copy me on your reply?  
Thanks so much.  
Marie

----- Forwarded message -----

From: **Dave Kanellis** (b) (6) >  
Date: Thu, Mar 9, 2017 at 4:58 PM  
Subject: Coop CB030  
To: Marie Maltese <Marie\_Maltese@fws.gov>

Hi Marie,

I have a question does a breeder from another country need to be a Cites registered to export captive bred peregrine falcons that I would like to import into the Coop? Just wanted to check.

Sincerely,  
Dave Kanellis

Sent from my iPhone

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
(Please note new address.)  
Phone: (703) 358-2486  
Fax: (703) 358-2276  
*[Sign up](#) for our e-newsletter to learn how we're working around the globe to protect species and their habitats!*

--

Clifton A. Horton  
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--

**Marie T. Maltese**  
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**5275 Leesburg Pike**  
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---

## Re: Pending F49 applications

1 message

---

**Tapia, Brenda** <brenda\_tapia@fws.gov>

Fri, Jun 15, 2018 at 11:59 AM

To: "Horton, Clifton" <clifton\_horton@fws.gov>

Cc: Monica Makins <monica\_makins@fws.gov>, Monica Horton <monica\_horton@fws.gov>

Perfect thank you!!

On Fri, Jun 15, 2018 at 10:47 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

These two applications are with DSA. It has been requested that the applicants provide additional information. If and when the requested information is received, further processing of the applications can occur.

On Fri, Jun 15, 2018 at 10:35 AM, Tapia, Brenda <brenda\_tapia@fws.gov> wrote:

Hello Cliff,

I did a report for Pam and noticed that there are two applications for cooperative breeding program that are pending under your name that came in for amendment:

14694C, Bethany McMartin Rondeau

10109C, David Glenn Kanellis

Just wanted to know if they are actually still pending or have you finalized the permits? Please let us know so that we can update the status of these two application if needed.

--

Thank you,

Brenda Tapia

Program Analyst/Data Management

U.S. Fish and Wildlife Service

Division of Management Authority (DMA)

Branch of Permits, MS: IA

[5275 Leesburg Pike](#)

[Falls Church, VA 22041](#)

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Fax: 703-358-2280

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Clifton A. Horton

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(703) 358-1908

[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

Thank you,

Brenda Tapia



Program Analyst/Data Management  
U.S. Fish and Wildlife Service  
Division of Management Authority (DMA)  
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5275 Leesburg Pike  
Falls Church, VA 22041  
Ph: 800-358-2104 x.1989 or 703-358-2104 x.1989  
Fax: 703-358-2280

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---

**Re: change of address for coop CB030**

1 message

---

**Maltese, Marie** <marie\_maltese@fws.gov>

Mon, Aug 8, 2016 at 1:52 PM

To: Dave (b) (6), Clifton Horton <clifton\_horton@fws.gov>

Dear Dave,

First, let me express my get well wishes for your shoulder surgery. I know it can be a long recovery and I wish you all the best.

Your email is sufficient to change the address; I will pass it on to Clif Horton so he has it for his files as well. I will put a copy in the file, so we are sure to have the record of the change. Thanks, too, for sending the photos and the written description of the new facility, they are very helpful.

Again, best of luck with the shoulder, it was good to hear from you.

Kind regards,  
Marie

On Mon, Aug 8, 2016 at 12:51 PM, Dave (b) (6) > wrote:

Good morning Marie,

I wanted to make a official notice of change of address for David Kanellis CB030 lead cooperater. It took several months of hard work and cost me the need for shoulder surgery as I'm apparently not as young as I thought I was LOL. But the new facility is complete and I have made the permeant move from my old house that we still own to our new location.

NEW ADDRESS-

David Kanellis

(b) (6)

Las Vegas, NV (b) (6)

(b) (6)

The new facility is very high end was extremely costly to build and for the coop records is 8000 square feet.

There are 30 rooms

12 total- 8'x20' rooms

10 total-12'x20' rooms

8 total-16'x20' rooms

All rooms are 8'-6" low side to 12' high ceiling  
enclosed hallway to prevent escape.

State of the art video observation system with close to 50 cameras.

attached are picture to give a idea of the buildings size.

Will this email be sufficient or do I need to send in some sort of written letter?

I plan to make some species adds to the coop very shortly and can attach this email at that time just for good measure.

thanks for all your help and hope all is going well for you.

Sincerely,  
David Kanellis

--

**Marie T. Maltese**  
**Division of Scientific Authority- International Affairs**  
**U. S. Fish and Wildlife Service**  
**MS: IA, Room 2W080C**  
**5275 Leesburg Pike**  
**Falls Church, VA 22041-3803**

*(Please note new address.)*

**Phone: (703) 358-2486**

**Fax: (703) 358-2276**

**[Sign up](#) for our e-newsletter to learn how we're working around the globe to protect species and their habitats!**

---

## Re: David Kanellis import applications

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: Mary Cogliano <mary\_cogliano@fws.gov>

Wed, Oct 3, 2018 at 6:02 PM

Great, thank you Mary!

On Wed, Oct 3, 2018 at 5:08 PM Cogliano, Mary <mary\_cogliano@fws.gov> wrote:

Hi Clif,

No problem, I won't contact him. We have an automated procedure whereby creating a permit generates an automatic email to the applicant confirming that we received the application and providing the PRT number. He should have received an email for each of these applications.

Best,

Mary

On Wed, Oct 3, 2018 at 4:54 PM Horton, Clifton <clifton\_horton@fws.gov> wrote:

Hi Mary, thank you for your quick response to my request. Yes, that is his current e-mail (from FWS recent communications), but please do not contact him regarding these permits. I will be drafting a response (which I will run by you) before DMA responds to the e-mail he sent to Craig, forwarded to Pam.

*It concerns me that Mr. Kanellis didn't receive an acknowledgement letter.*

Typically, what should have occurred?

Thanks so much,  
Clif

On Wed, Oct 3, 2018 at 4:38 PM Cogliano, Mary <mary\_cogliano@fws.gov> wrote:

Hi Clif,

I wanted to circle back with you to let you know that we did receive the 3 import applications referenced below:

03943D received 8/20/18

04994D received 8/22/18

04992D received 8/22/18

All three are in review by Mike Moore. It concerns me that Mr. Kanellis didn't receive an acknowledgement letter. The email address he included on his applications is (b) (6). Please ask Mr. Kanellis to confirm that this is the correct email address.

Thank you,

Mary

On Tue, Oct 2, 2018 at 9:20 AM Horton, Clifton <clifton\_horton@fws.gov> wrote:

Thanks Mary.

On Tue, Oct 2, 2018 at 9:10 AM Cogliano, Mary <mary\_cogliano@fws.gov> wrote:

Hi Clif, sure I'll look into this and get back to you.

Mary

On Mon, Oct 1, 2018 at 10:27 PM Horton, Clifton <clifton\_horton@fws.gov> wrote:

Hello Mary, below is text from an email to Craig and forwarded to Pam. Could you please review the portions (**which I bolded here**) and draft a response for me to what is stated here (**in bold**)? Thanks Mary!

*David Kanellis, cooperative breeding program CB030 lead cooperater here and sorry to bother you. I have made attempts to get information via an email reply from Monica Horton who has been good about responding however the past few emails I have sent have gone non responded to. Could I trouble you to find out a couple important items.*

***I mailed 3 cites import application early August 2018  
One for four eagle owls and two Ural owls I never received a confirmation it was received and issued PRT#  
was this application in fact received? mailed 08/15/2018 check #1245***

***The second for six eagle owls: PRT#MA0492D-0 issued 08/22/2018  
The third for black eagle and martial eagle:PRT#MA0494D-0 issued 08/22/2018***

*I have been trying to get Lauran McGough added as a falconry member to our program since last September 2017 however Monica told me rules changed permit needed to be fully amended to add a falconry member and a simple letter with current falconry permit was no longer sufficient despite that was all we did going back to the start of the program well over a decade ago. I submitted program renewal request february2018 mid permit as program permit is valid till 2019 just with needing to do a amendment just seemed more time efficient with changes I was requesting to just do full renewal. I responded and addressed all of Monica's questions timely and was told she submitted her findings to DMA for signature 1st week of August. I realize staff is busy and 30-60 days is understandable even 90 days is acceptable but several months starts to get excessive and feel at this point I'm well within my rights to start asking what's going on. Thank you for your time.*

*Sincerely,  
David Kanellis  
CB030 lead cooperater*

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

Mary Cogliano, Ph.D.  
Chief, Branch of Permits  
Division of Management Authority  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803  
Phone: (703) 358-1991

--

Clifton A. Horton  
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[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

**Re: CB030**

1 message

---

**Cogliano, Mary** <mary\_cogliano@fws.gov>  
To: Clifton Horton <clifton\_horton@fws.gov>  
Cc: "Babij, Eleanora" <eleanora\_babij@fws.gov>

Fri, Oct 5, 2018 at 1:24 PM

Hi Clif,

Very good. I especially like your last paragraph.

Best regards,

Mary

On Fri, Oct 5, 2018 at 1:13 PM Horton, Clifton <clifton\_horton@fws.gov> wrote:

Elena (if you choose DSA respond to the references to Monica Horton) and Mary, please review and provide any comments to the draft text below (Mary: yellow highlight, Elena, green highlight) to reply to the e-mail message from Dave Kanellis, also below. As his message includes Monica Horton, it is not appropriate for me to respond to him, but rather I will provide the pertinent details to Laura and Pam for either of them to respond:

Mr. Kanellis, thank for your September 27, 2018, e-mail to Craig Hoover, formally of the Division of Management Authority. The issues you raise overlap three U.S. Fish and Wildlife programs, all of which have a role in the administration (permits and procedures) of the Cooperative Breeding Program (CoOp) of the Wild Bird Conservation Act (WBCA).

In your e-mail, you note WBCA CoOp rules changes as compared to your involvement dating back over 10 years. The WBCA CoOp program is designed to promote the conservation and maintenance of certain exotic birds (subject to international trade) in the wild. In furtherance of this goal, by necessity, our procedures evolve as conditions warrant.

To this end, our regulations permit the Service to require CoOp applicants to provide such information as is necessary to make our determinations to approve an applicant.

We have reviewed the chronology you provide in your e-mail and have compared it with our records.

You state in your e-mail that you have been trying to get Lauren Mcgough as a CoOp falconry member since September 2017 and suggest that rules changes have hindered that request. As discussed above, our procedures are not static and our procedures change as appropriate.

You state that you submitted a Co-Op renewal request in February 2018. Your application was received in our office on March 12, 2018. On June 6, 2018, we requested additional information regarding your application, which you provided on June 21, 2018.

The three import applications you referenced in your e-mail were received in our office on August 20, 2018 and August 22, 2018. We have an automated procedure whereby creating a permit generates an automatic email to the applicant confirming that we received the application. You should have received an email for each of these applications. Perhaps, our automated response went to a spam folder to your e-mail account. In any event, your import permit applications were received and assigned for processing. Typically, it can take up to sixty days for processing import permit applications.

You state that lately, e-mails to Monica Horton were un-responded to. Our records indicate that on September 18, 2018, Monica Horton informed you the Division of Scientific Authority review of your application was finished and that it had been moved to the Division of Management Authority. Consequently, your repeated multiple attempts requesting updates from the Division of Scientific Authority were not responded to by that office as your application was no longer under their purview, as you were so advised on September 18, 2018.

As stated above, the Division of Management Authority has your application for final processing and will complete it very shortly. However, we note that two of the prospective falconry members in your application have expired state falconry licenses. David Dixon's license was expired at the time of application and Adam Chavez's license has expired since your application. If you wish to still add these two individuals as falconry members, please advise Clifton Horton of my staff ([clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov); 703-358-1908) upon your receipt of this message. Of course, we will need current state falconry license documentation.



In closing, while we make every effort to process our WBCA applications as quickly and as efficiently as possible, Division of Management priorities and legal obligations may impact the time required to process WBCA applications. Ultimately, as stated above, the WBCA CoOp program is designed to promote the conservation and maintenance of certain exotic birds (subject to international trade) in the wild, which is the focus of our administration of the WBCA CoOp program.

I hope this information has been useful to you. Please let me you have any questions or concerns.

\*\*\*\*\*

From: Hoover, Craig <craig\_hoover@fws.gov>  
Date: Thu, Sep 27, 2018 at 12:48 PM  
Subject: Re: [EXTERNAL] coop breeding CB030 question  
To: (b) (6)

David,

*I am no longer the Chief of the Division of Management Authority and do not oversee our permits program. But I will share your message with the Acting Chief and the Chief of the Division of Scientific Authority and one of them or one of their staff will get back to you.*

Best,

craig

On Thu, Sep 27, 2018 at 11:37 AM Dave (b) (6) > wrote:  
Hello Mr. Hoover,

*David Kanellis, cooperative breeding program CB030 lead cooperater here and sorry to bother you. I have made attempts to get information via an email reply from Monica Horton who has been good about responding however the past few emails I have sent have gone non responded to. Could I trouble you to find out a couple important items.*

*I mailed 3 cites import application early August 2018  
One for four eagle owls and two Ural owls I never received a confirmation it was received and issued PRT#  
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*The second for six eagle owls: PRT#MA04992D-0 issued 08/22/2018  
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Sincerely,  
David Kanellis  
CB030 lead cooperater

--

Clifton A. Horton  
U.S. Fish & Wildlife Service

5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--  
Mary Cogliano, Ph.D.  
Chief, Branch of Permits  
Division of Management Authority  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803  
Phone: (703) 358-1991

---

**Re: CB030**

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Babij, Eleanora" <eleanora\_babij@fws.gov>  
Cc: Mary Cogliano <Mary\_Cogliano@fws.gov>

Tue, Oct 9, 2018 at 10:27 AM

Thanks Elena.

On Tue, Oct 9, 2018 at 10:23 AM Babij, Eleanora <eleanora\_babij@fws.gov> wrote:  
Hi Clif-

Reading David Kinellis' email, I didn't see where he is saying that Monica was not responsive to his emails...but states she was.. He indicates that he responded to Monica's questions and that she told him "*she submitted her findings to DMA for signature the 1st week of August*". He is more focused on the other issues you address.

Elena

On Fri, Oct 5, 2018 at 1:13 PM Horton, Clifton <clifton\_horton@fws.gov> wrote:

Elena (if you choose DSA respond to the references to Monica Horton) and Mary, please review and provide any comments to the draft text below (Mary: yellow highlight, Elena, green highlight) to reply to the e-mail message from Dave Kanellis, also below. As his message includes Monica Horton, it is not appropriate for me to respond to him, but rather I will provide the pertinent details to Laura and Pam for either of them to respond:

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expired since your application. If you wish to still add these two individuals as falconry members, please advise Clifton Horton of my staff ([clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov); 703-358-1908) upon your receipt of this message. Of course, we will need current state falconry license documentation.

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I hope this information has been useful to you. Please let me you have any questions or concerns.

\*\*\*\*\*

*From:* Hoover, Craig <[craig\\_hoover@fws.gov](mailto:craig_hoover@fws.gov)>  
*Date:* Thu, Sep 27, 2018 at 12:48 PM  
*Subject:* Re: [EXTERNAL] coop breeding CB030 question  
*To:* (b) (6)

David,

*I am no longer the Chief of the Division of Management Authority and do not oversee our permits program. But I will share your message with the Acting Chief and the Chief of the Division of Scientific Authority and one of them or one of their staff will get back to you.*

Best,

craig

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The third for black eagle and martial eagle:PRT#MA04994D-0 issued 08/22/2018*

*I have been trying to get Luran McGough added as a falconry member to our program since last September 2017 however Monica told me rules changed permit needed to be fully amended to add a falconry member and a simple letter with current falconry permit was no longer sufficient despite that was all we did going back to the start of the program well over a decade ago. I submitted program renewal request february2018 mid permit as program permit is valid till 2019 just with needing to do a amendment just seemed more time efficient with changes I was requesting to just do full renewal. I responded and addressed all of Monica's questions timely and was told she submitted her findings to DMA for signature 1st week of August. I realize staff is busy and 30-60 days is understandable even 90 days is acceptable but several months starts to get excessive and feel at this point I'm well within my rights to start asking what's going on. Thank you for your time.*

Sincerely,  
David Kanellis  
CB030 lead cooperater

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
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MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

Eleanora Babij, Ph.D.  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority - International Affairs  
U.S. Fish and Wildlife Service  
Mail Stop: IA  
5275 Leesburg Pike  
Falls Church, Virginia 22041-3803 USA  
703-358-2488

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

## WBCA to Elena

1 message

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**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Thu, Oct 12, 2017 at 10:09 AM

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505



**43817C\_CB030\_Hieraaetus spilogaster\_DRAFT.docx**  
33K

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**Fwd: coop CB030**

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: Monica Horton <monica\_horton@fws.gov>

Mon, Oct 16, 2017 at 12:26 PM

----- Forwarded message -----

From: **Maltese, Marie** <marie\_maltese@fws.gov>  
Date: Mon, Oct 16, 2017 at 11:14 AM  
Subject: Fwd: coop CB030  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Good morning Clif,  
Please see the attached email from Dave Kanellis regarding adding a new falconer to CB 030. I believe you approve this? Please let me know so I can reply to Dave.  
Thanks so much,  
Marie

----- Forwarded message -----

From: **Dave** <(b) (6)>  
Date: Tue, Oct 3, 2017 at 12:32 PM  
Subject: coop CB030  
To: marie\_maltese@fws.gov

Good morning Marie,

Hope all is well with you, things here in Las Vegas are not so well I can see Mandalay Bay casino from my house. All my immediate family and friends are safe but pretty much anyone I talk to including myself know some one affected with my office manager's Uncle in ICU with a bullet in his brain he is not expected to live and may bring the death count from 59 to 60.

I had planed to send this email yesterday but spent all day answer phone calls from family and friends checking on us.

I wanted to ask a favor to add a falconry member to the coop via email not sure if you can but I was thinking that Stuart had done it in the past. I have a unpaired young Crown eagle that would benefit from being handled by a skilled falconer. With captive breeding birds that have been handled, flown and adjusted to captivity do settle into a breeding situation much better. Lauren is a internationally know eagle falconer as well as a friend. She just returned from South Africa where she spent 6 months living and getting a rehabbed Crown Eagle back into physical shape and hunting again for release into the wild she just returned home top the USA. Prior to that years ago she lived in Mongolia and studied ancient eagle falconry. With winter approaching I would very much like to place this eagle with her as soon as legally possible.

Lauren McGough  
(b) (6)  
Oklahoma City, OK (b) (6)

Attached is a copy of her state falconry permit, again that's for your help its always appreciated.

Sincerely,  
David Kanellis  
Lead Cooperator CB030

--

**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike

Falls Church, VA 22041-3803

*(Please note new address.)*

Phone: (703) 358-2486

Fax: (703) 358-2276

**Sign up** for our e-newsletter to learn how we're working around the globe to protect species and their habitats!

--

Clifton A. Horton

U.S. Fish & Wildlife Service

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(703) 358-1908

[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)



**mcgoughpermit.pdf**

48K



---

**Re: [EXTERNAL] coop breeding CB030 question**

1 message

---

**Dave (b) (6)**  
To: clifton\_horton@fws.gov

Tue, Oct 16, 2018 at 10:31 AM

Thank you

Sent from AOL Mobile Mail  
Get the new AOL app: [mail.mobile.aol.com](mailto:mail.mobile.aol.com)

On Tuesday, October 16, 2018, Horton, Clifton <clifton\_horton@fws.gov> wrote:

Greetings, I'll see what I can do regarding your request.

Regards,  
Clif

On Mon, Oct 15, 2018 at 3:46 PM Dave (b) (6) > wrote:  
Clifton,

I was wondering what the status was for the renewal of the program ? I have been informed two falconry permits are expired and waiting for the current ones to be sent to me and I will email them to you as soon as I get them. In regards to adding Lauren McGough as a falconry member, I was wondering is there anything holding up her approval? She will be visiting me this weekend and it would be great if I can place a unpaired bird with her to handle while waiting on a mate at this time rather then setting up another trip in the future.

Regards,  
David Kanellis

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
[5275 Leesburg Pike](#)  
MS: IA  
Falls Church, VA 22041-3803

[\(703\) 358-1908](tel:(703)358-1908)  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

**Re: coop CB030**

1 message

---

**Maltese, Marie** <marie\_maltese@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Tue, Oct 17, 2017 at 5:01 AM

Thanks Clif! You start work early,  
Take care,  
Marie

On Tue, Oct 17, 2017 at 4:39 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:  
This has been addressed.

On Mon, Oct 16, 2017 at 11:14 AM, Maltese, Marie <marie\_maltese@fws.gov> wrote:

Good morning Clif,  
Please see the attached email from Dave Kanellis regarding adding a new falconer to CB 030. I believe you approve this? Please let me know so I can reply to Dave.  
Thanks so much,  
Marie

----- Forwarded message -----

From: **Dave** (b) (6)  
Date: Tue, Oct 3, 2017 at 12:32 PM  
Subject: coop CB030  
To: [marie\\_maltese@fws.gov](mailto:marie_maltese@fws.gov)

Good morning Marie,

Hope all is well with you, things here in Las Vegas are not so well I can see Mandalay Bay casino from my house. All my immediate family and friends are safe but pretty much anyone I talk to including myself know some one affected with my office manager's Uncle in ICU with a bullet in his brain he is not expected to live and may bring the death count from 59 to 60.

I had planed to send this email yesterday but spent all day answer phone calls from family and friends checking on us.

I wanted to ask a favor to add a falconry member to the coop via email not sure if you can but I was thinking that Stuart had done it in the past. I have a unpaired young Crown eagle that would benefit from being handled by a skilled falconer. With captive breeding birds that have been handled, flown and adjusted to captivity do settle into a breeding situation much better. Lauren is a internationally know eagle falconer as well as a friend. She just returned from South Africa where she spent 6 months living and getting a rehabbed Crown Eagle back into physical shape and hunting again for release into the wild she just returned home top the USA. Prior to that years ago she lived in Mongolia and studied ancient eagle falconry. With winter approaching I would very much like to place this eagle with her as soon as legally possible.

Lauren McGough  
(b) (6)  
Oklahoma City, OK (b) (6)

Attached is a copy of her state falconry permit, again that's for your help its always appreciated.

Sincerely,  
David Kanellis  
Lead Cooperator CB030

--  
**Marie T. Maltese**  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C

**5275 Leesburg Pike**  
**Falls Church, VA 22041-3803**

*(Please note new address.)*

**Phone: (703) 358-2486**

**Fax: (703) 358-2276**

***Sign up*** for our e-newsletter to learn how we're working around the globe to protect species and their habitats!

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
**5275 Leesburg Pike**  
MS: IA  
**Falls Church, VA 22041-3803**

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--

Marie T. Maltese  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C

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---

**Re: [EXTERNAL] coop breeding CB030 question**

1 message

---

**Dave (b) (6)** >  
To: clifton\_horton@fws.gov

Thu, Oct 18, 2018 at 10:54 AM

Clifton,

Attached is the two updated falconry licenses for coop CB030 falconry program members needed to complete the renewal. Also additionally I would like to add another falconry member **Erland Renslo** seeing how its being completed now I'm trying to not go through a complete program amendment taking several months to process so hoping it can be added now as your finishing up the findings already.

Regards,  
Dave Kanellis

-----Original Message-----

From: Horton, Clifton <clifton\_horton@fws.gov>  
To: David Kanellis (b) (6) >  
Sent: Tue, Oct 16, 2018 1:23 am  
Subject: Re: [EXTERNAL] coop breeding CB030 question

Greetings, I'll see what I can do regarding your request.

Regards,  
Clif

On Mon, Oct 15, 2018 at 3:46 PM Dave (b) (6) wrote:  
Clifton,

I was wondering what the status was for the renewal of the program ? I have been informed two falconry permits are expired and waiting for the current ones to be sent to me and I will email them to you as soon as I get them. In regards to adding Lauren McGough as a falconry member, I was wondering is there anything holding up her approval? She will be visiting me this weekend and it would be great if I can place a unpaired bird with her to handle while waiting on a mate at this time rather then setting up another trip in the future.

Regards,  
David Kanellis

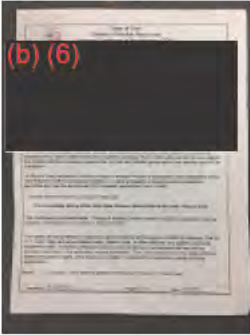
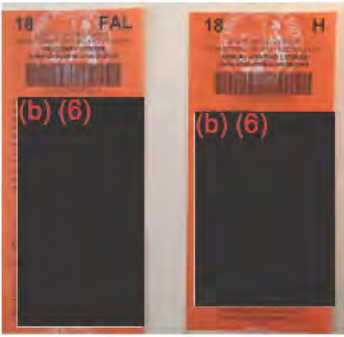
--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

**3 attachments**

**adam coop falconry.jpg**  
160K



**dixon coop falconry.JPG**  
119K

 **erland coop 2018 Falconry License.pdf**  
1369K

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## CB030 Renewal letter

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: Laura Noguchi <Laura\_Noguchi@fws.gov>

Fri, Oct 19, 2018 at 6:25 AM

Hi Laura, I have updated the letter to include two falconry members (who previously submitted expired documentation), as well as a third falconry member. I have received the updated information post sur-name.

The letter and falconry docs have been left for your review and signature. Please let me know when this is signed so that I can inform the applicant.

Thanks,  
Clif

--


Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

### 2 attachments

 **reexternalcoopbreedingcb030question.zip**  
1634K

 **10-19-18\_CB030.docx**  
226K

## MH edits

1 message

---

**Horton, Clifton** <clifton\_horton@fws.gov>  
To: Laura Noguchi <Laura\_Noguchi@fws.gov>

Fri, Oct 19, 2018 at 1:46 PM

Attached.

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

---

 **10-19-18\_Surname\_CB030 (MH).doc**  
43K

---

## Re: CB030 letter

1 message

---

**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Fri, Oct 19, 2018 at 12:21 PM

Here is the electronic version of the revised letter that is now on surname.

On Fri, Oct 19, 2018 at 10:48 AM, Horton, Monica <monica\_horton@fws.gov> wrote:

Thank you Clif. I will work on it right now.

On Fri, Oct 19, 2018 at 10:44 AM, Horton, Clifton <clifton\_horton@fws.gov> wrote:

Attached is the surnamed version of the letter (10-17-18) and the letterhead version of the letter (10-19-2018). The letterhead version has the expiration date for the co-op and adds three falconry members.

I also suggest that the new letter state that it replaces his current letter (current letter no longer valid).

--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--  
Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

--  
Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

---

 **10-19-18\_Surname\_CB030.doc**  
43K



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## Re: [EXTERNAL] coop breeding CB030 question

1 message

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Scruggs, Pamela <pamela\_scruggs@fws.gov>

Mon, Oct 22, 2018 at 9:12 AM

To: Clifton Horton <clifton\_horton@fws.gov>

Cc: Laura Noguchi <laura\_noguchi@fws.gov>, Mary Cogliano <mary\_cogliano@fws.gov>, Mike Moore <mike\_moore@fws.gov>

Hi Clif,

This is excellent. Thank you! Mike Moore has also been in touch with Mr. Kanellis about the permits that are pending. So, I think things are moving along well for him now. Appreciate everyone's attention to the matter.

Pam

On Fri, Oct 19, 2018 at 3:57 PM Horton, Clifton <clifton\_horton@fws.gov> wrote:

Pam, all outstanding issues regarding this application to **renew and amend** the WBCA co-op CB030 have been resolved.

Laura and Monica Horton scrambled to prepare and revise his authorization letter today (which I just emailed to him) so that he can transfer a bird to Lauren McGough who will be visiting him this weekend from out of state.

Please let me know if you have any questions,  
Clif

On Fri, Oct 12, 2018 at 11:22 AM Scruggs, Pamela <pamela\_scruggs@fws.gov> wrote:

Dear Mr. Kanellis,

Thank for your September 27, 2018. Craig Hoover, formerly of the Division of Management Authority, forwarded it to me for response. The Division is starting to settle following a period of transition with key folks, including Craig, having left their positions in the Management Authority over the course of a few months. The issues you raise overlap three of our branches, all of which have a role in the administration (permits and procedures) of the Cooperative Breeding Program (CoOp) of the Wild Bird Conservation Act (WBCA) and we've been coordinating to get you a single response.

We acknowledge that WBCA CoOp implementation and processes have evolved since your original involvement with them, dating back over 10 years. The WBCA CoOp program is designed to promote the conservation and maintenance of certain exotic birds (subject to international trade) in the wild and in accordance with our regulations, we require CoOp applicants to provide information so that we are to make our determinations about approval of applicants.

We appreciate your providing the information we requested about Lauren MCGough. As we are finalizing the processing of your application, we note that two of the prospective falconry members in your application have expired state falconry licenses. David Dixon's license was expired at the time of application and Adam Chavez's license has expired since your application. If you wish to still include these two individuals as falconry members, please advise Clifton Horton of my staff ([clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov); 703-358-1908) and provide their current state falconry licenses upon your receipt of this message.

In addition, the three import applications you referenced were received on August 20, 2018 and August 22, 2018. We have an automated procedure whereby an email should have been generated and sent confirming that we received the application. As such, you should have received an email for each of these applications. It is possible that our automated response went to a spam folder to your e-mail account. If that is not the case, it would helpful for me to hear back from you so that I may follow up with the Branch of Permits. I can confirm that your import permit applications were received and assigned for processing. As you know, it can take up to sixty days for processing import permit applications; but per your and my conversations with the Scientific Authority, I have reason to believe they are well under way. Unfortunately, I cannot confirm their current status because I have a key staff member out this week. I should be able to give you further info on the status of those applications on Monday.

Until then, I hope that this information has been helpful.

Pam

----- Forwarded message -----

From: **Hoover, Craig** <craig\_hoover@fws.gov>

Date: Thu, Sep 27, 2018 at 12:49 PM

Subject: Fwd: [EXTERNAL] coop breeding CB030 question

To: Pamela Scruggs <pamela\_scruggs@fws.gov>, Rosemarie Gnam <rosemarie\_gnam@fws.gov>

----- Forwarded message -----

From: **Hoover, Craig** <craig\_hoover@fws.gov>

Date: Thu, Sep 27, 2018 at 12:48 PM

Subject: Re: [EXTERNAL] coop breeding CB030 question

To: <(b) (6)>

David,

I am no longer the Chief of the Division of Management Authority and do not oversee our permits program. But I will share your message with the Acting Chief and the Chief of the Division of Scientific Authority and one of them or one of their staff will get back to you.

Best,

craig

On Thu, Sep 27, 2018 at 11:37 AM Dave (b) (6) > wrote:

Hello Mr. Hoover,

David Kanellis, *cooperative breeding program CB030 lead cooperater* here and sorry to bother you. I have made attempts to get information via an email reply from Monica Horton who has been good about responding however the past few emails I have sent have gone non responded to. Could I trouble you to find out a couple important items.

I mailed **3 cites import application** early August 2018

One for four eagle owls and two Ural owls I **never** received a confirmation it was received and issued PRT# was this application in fact received? mailed 08/15/2018 check #1245

The second for six eagle owls: PRT#MA04992D-0 issued 08/22/2018

The third for black eagle and martial eagle:PRT#MA04994D-0 issued 08/22/2018

I have been trying to get Lauran McGough added as a falconry member to our program since last September 2017 however Monica told me rules changed permit needed to be fully amended to add a falconry member and a simple letter with current falconry permit was no longer sufficient despite that was all we did going back to the start of the program well over a decade ago. I submitted program renewal request february2018 mid permit as program permit is valid till 2019 just with needing to do a amendment just seemed more time efficient with changes I was requesting to just do full renewal. I responded and addressed all of Monica's questions timely and was told she submitted her findings to DMA for signature 1st week of August. I realize staff is busy and 30-60 days is understandable even 90 days is acceptable but several months starts to get excessive and feel at this point I'm well within my rights to start asking what's going on. Thank you for your time.

Sincerely,  
David Kanellis  
CB030 lead cooperater

--

Craig Hoover  
Chief, Division of International Conservation  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
ph: 703-358-2162  
[www.fws.gov/international](http://www.fws.gov/international)

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--  
Craig Hoover  
Chief, Division of International Conservation  
International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#)  
Falls Church, VA 22041-3803  
ph: 703-358-2162  
[www.fws.gov/international](http://www.fws.gov/international)

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--  
Pamela Hall Scruggs  
Acting Chief  
Division of Management Authority  
U.S. Fish and Wildlife Service Headquarters - International Affairs  
[5275 Leesburg Pike](#) MS: IA  
Falls Church, VA 22041-3803  
703-358-2493 phone  
703-358-2276 fax  
[pamela\\_scruggs@fws.gov](mailto:pamela_scruggs@fws.gov)

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--  
Clifton A. Horton  
U.S. Fish & Wildlife Service  
[5275 Leesburg Pike](#)  
MS: IA  
Falls Church, VA 22041-3803  
  
(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

--  
Pamela Hall Scruggs  
Acting Chief  
Division of Management Authority  
U.S. Fish and Wildlife Service Headquarters - International Affairs  
[5275 Leesburg Pike](#) MS: IA  
Falls Church, VA 22041-3803  
703-358-2493 phone  
703-358-2276 fax  
[pamela\\_scruggs@fws.gov](mailto:pamela_scruggs@fws.gov)

---

## CB030: Request to Transfer 0.1 Ornate hawk-eagle Imported under Permit # MA84424B to CB030

1 message

---

**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Tue, Dec 12, 2017 at 10:46 AM

Dear Clif,

Attached please find our approval (finding and letter) of the transfer of 0.1 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mr. Mark Moglich, under WBCA Cooperative Breeding Program, CB030.

Mr. David Kanellis, Lead Cooperator of the Raptor Cooperative Breeding Program (CB030), has agreed to the transfer of this specimen from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mark Moglich, under CB030. He is aware that this female specimen will count towards and reduce the total number of birds that the cooperative breeding program can further import, as this specimen will count towards the total number of specimens approved under the cooperative breeding program.

A copy of the letter has been sent to Sia, Mark Moglich and David Kanellis, for their records.

Thanks, Monica

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

---

### 2 attachments

 **84424B\_CB030\_Transfer Authorization\_Letter\_12-5-17.pdf**  
46K

 **84424B\_CB030\_Transfer Authorization\_11-21-17.pdf**  
56K

WILDLIFE CONSERVATION COMMISSION

|                                 |                               |
|---------------------------------|-------------------------------|
| M. David Riggs<br>CHAIRMAN      | Mike Bloodworth<br>MEMBER     |
| John P. Zelbst<br>VICE CHAIRMAN | Bruce Mabrey<br>MEMBER        |
| John D. Groendyke<br>SECRETARY  | Dan Robbins<br>MEMBER         |
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MARY FALLIN, GOVERNOR  
 RICHARD T. HATCHER, DIRECTOR  
 wildlifedepartment.com

**DEPARTMENT OF WILDLIFE CONSERVATION**

P.O. Box 53465 Oklahoma City, OK 73152 PH. (405) 521-3851

December 6, 2012

Lauren McGough

(b) (6)

Oklahoma City OK (b) (6)

Dear Ms. McGough,

We have received the information you sent us regarding your request to possess an eagle. This information has been reviewed and we find that you meet the requirements that are required in the Code of Federal Regulations and Title 800. This letter will serve as permission for you to legally possess an eagle. Please remember that all licenses required for you to possess and hunt with the eagle must be kept current.

Sincerely,

(b) (6)

Rod Smith  
Falconry Coordinator

RS/br

**RESIDENT FALCONRY**

Permit 765 Fee \$46.00  
 Issued 09/01/2016 Expires 09/01/2019

**MCGOUGH LAUREN M**

(b) (6) Oklahoma Hunting License

OKLAHOMA CITY, OK (b) (6)  
 Date of Birth: (b) (6) DL# (b) (6)

Licensee is permitted to possess, train and use legal raptors for the purposes of hunting. Number and species shall be applicable to the class of license held.

**MASTER**

Issued by KS (b) (6)  
 Signature



# United States Department of the Interior

FISH AND WILDLIFE SERVICE  
Washington, D.C. 20240



FEB 17 2017

IN REPLY REFER TO:  
FWS/DMA/CB030

David Kanellis

(b) (6)

Las Vegas, Nevada (b) (6)

Dear Mr. Kanellis:

This letter is formal notification that your application for renewal of the Raptor Cooperative Breeding Program (CB030) under the Wild Bird Conservation Act of 1992 (WBCA), dated September 25, 2016, has been approved under 50 CFR Section 15.26, as outlined below. This approval will be effective for two (2) years from the date of this letter. Please ensure that all current and prospective members of your cooperative breeding program are fully informed of their obligations under this program, including compliance with 50 CFR Parts 13 and 15. This letter also serves as official notification that Joel Knutson, Rebecca O'Connor, Nicole Perretta, and Charles Browning have been added as falconry members and Shane U. Phitides and Edward Elguezabal have been removed as falconry members of CB030.

**Cooperative Breeding Program Name:** Raptor Cooperative Breeding Program

---

**Oversight Organization:** California Hawking Club

**Cooperative breeding program application #:** CB030

**Species:** black goshawk (*Accipiter melanoleucus*), Eurasian (European) sparrowhawk (*Accipiter nisus*), Lanner falcon (*Falco biarmicus*), saker falcon (*Falco cherrug*), red-necked falcon (*Falco chicquera*), African hawk-eagle (*Hieraaetus spilogaster*), African fish-eagle (*Haliaeetus vocifer*), African crowned eagle (*Stephanoaetus coronatus*), Northern goshawk (*Accipiter gentilis*), Steppe eagle (*Aquila nipalensis*), Verreaux's eagle (*Aquila verreauxii*), Martial eagle (*Polemeatus bellicosus*), ornate hawk-eagle (*Spizaetus ornatus*), Bonelli's eagle (*Hieraaetus fasciatus*), African goshawk (*Accipiter tachiro*), Eurasian eagle-owl (*Bubo bubo*), Ural owl (*Strix uralensis*), Peregrine falcon (*Falco peregrinus*)

**Program Members:** Stuart Rossell, Randy Landis, Sonny (Donald) Squiciarino, Mark Moglich, David Kanellis, Martin Stiasny, Bill Murphy, Robert F. Kennedy, Jr., William G. Meeker, Gary D. Boberg, Ryan Moglich, Lewis R. Souder, Los Angeles Zoo

**Falconry Members:** Ronald E. Brown, Lew Souder, Steve Layman, Jim Tigan, Vasken Kevorkian, Vince Piccioni, Cicon Gewelber, John Pallavicini, Ryan Moglich, Margaret D.

Cullen, Duane Zobrist, Duane Zobrist Jr., Thomas J. Cullen IV, Michael J. Clark, Vang Vang, Titus Plomaritis Jr., Chase Delles, Gary D. Boberg, Anthony C. Suffredini, Corey J. Dalton, David Myers, Joel Knutson, Rebecca O'Connor, Nicole Perretta, Charles Browning

**Expiration Date:** February 17, 2019

**Conditions:**

All birds imported for this cooperative breeding program must remain within a Service-approved cooperative breeding program for these species and may not be loaned, sold or transferred for any reason unless otherwise authorized by this office. An imported bird may only be disposed of outside a Service-approved program for reasons such as the genetic line is well represented by offspring retained in the program or the bird is unable to breed. Prior to disposing of a bird outside the program, you must make it available to other Service-approved programs for the species. To dispose of an imported bird outside an approved program, you must submit a written request to this office that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program. Additionally, the oversight organization for the program must send a letter indicating its agreement with the decision to remove the imported bird from the program.

With regard to birds produced within the program, a sufficient number of offspring produced must be retained within the program to fulfill the goals of the cooperative breeding program as outlined in your original approval application. As with imported birds, prior to disposing of a bird produced in your cooperative breeding program, you must make it available to other Service-approved programs for the species.

---

Additional individuals may be added to the cooperative breeding program provided that they have demonstrated breeding success with the same or similar species. To add individuals to your program, you must submit a written request to this office and provide several years of breeding records for each proposed individual. In addition, we must receive official notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program. Individuals, to whom specimens will be loaned for any purpose, must become members of the cooperative breeding program. You may designate individuals who will not be breeding birds as non-breeding members. To include these individuals in the cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., FWS migratory bird permit number). In contrast to program members who will be undertaking breeding, we do not require a letter of endorsement from the oversight organization for non-breeding members.

All individuals of the cooperative breeding program must maintain records of all birds that come into their possession through the cooperative breeding program. These records must be made available to the U.S. Fish and Wildlife Service upon our request. All imported birds must meet Mr. David Kanellis

3

all United States import requirements. The cooperative breeding program must maintain records containing the number, species, and sex of all birds imported for the program, as well as dates of import, countries of origin, and disposition of the imported birds. For each program participant, you must also maintain a record of breeding activity, including the number of eggs and progeny produced, and the disposition of any progeny.

Finally, you must maintain a record of mortality experienced within the program that includes the location of the bird when it died and the cause, if known. For birds that died in quarantine, you must obtain documentation indicating such from the appropriate USDA/APHIS/Veterinary Services office. These records must be made available to the U.S. Fish and Wildlife Service upon our request, and when you submit a request for the renewal or amendment of the cooperative breeding program.

This notice entitles members of this cooperative breeding program who are listed above as Program Members, to apply for permits to import the exotic bird species listed above that are otherwise prohibited from import under 50 CFR Section 15.11. Please be aware that 50 CFR Part 15.11(f) states: *It is unlawful for any person subject to the jurisdiction of the United States to engage in any activity with an exotic bird imported under a permit issued pursuant to this part that violates a condition of said permit.* Enclosed you will find an application to import birds under an approved cooperative breeding program. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

If you have any questions regarding this renewal, please feel free to contact Biologist Clifton A. Horton of this office at: 5275 Leesburg Pike, Falls Church, VA 22041-3803; telephone 703-358-1908; email: [clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov).

Sincerely,



Laura S. Noguchi, Chief  
Wildlife Trade and Conservation Branch  
Division of Management Authority

For

Enclosure





Horton, Clifton &lt;clifton\_horton@fws.gov&gt;

---

**Re: coop CB030**

1 message

---

Maltese, Marie <marie\_maltese@fws.gov>  
To: Dave (b) (6) >  
Cc: "Horton, Clifton" <clifton\_horton@fws.gov>

Thu, Feb 23, 2017 at 2:04 PM

Hi Dave,

My finding for PRT #10109C did increase the number of pairs of the African crown (hawk) eagles. so if you come in for an import, I will be able to run it against the finding and all shall be well. With this email, I will notify Clif Horton of the change from 10.10 pairs to 18.18 pairs to be sure DMA is aware of the change.

Best wishes,

Marie

On Thu, Feb 23, 2017 at 1:53 PM, Dave (b) (6) wrote:

Hello Marie,

I received the coop renewal with the species adds requested. Thank you so much for your help.

I just needed one favor to ask I requested to increase the African Crown Eagle pairs and wanted to be sure that was updated as this time the permit under approved species list doesn't show their totals.

Sincerely,  
Dave Kanellis

--  
Marie T. Maltese  
Division of Scientific Authority- International Affairs  
U. S. Fish and Wildlife Service  
MS: IA, Room 2W080C  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
(Please note new address.)  
Phone: (703) 358-2486  
Fax: (703) 358-2276

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WILD BIRD CONSERVATION ACT  
RECORD OF FINDINGS ON APPLICATION  
FOR APPROVAL OF COOPERATIVE BREEDING PROGRAM  
U. S. FISH & WILDLIFE SERVICE

**\*\*Amendment\*\***

Application number: CB030

Applicant: David Kanellis

Oversight Organization:

California Hawking Club, Inc.

Species:

Specimens:

|  |       |
|--|-------|
| Northern goshawk ( <i>Accipiter gentilis</i> )       | 10.10 |
| African hawk-eagle ( <i>Hieraaetus spilogaster</i> ) | 10.10 |
| Black goshawk ( <i>Accipiter melanoleucus</i> )      | 7.5   |
| European sparrowhawk ( <i>Accipiter nisus</i> )      | 7.5   |
| Lanner falcon ( <i>Falco biarmicus</i> )             | 4.4   |
| Red-necked falcon ( <i>Falco chicquera</i> )         | 10.10 |
| Saker falcon ( <i>Falco cherrug</i> )                | 5.2   |
| Steppe eagle ( <i>Aquila nipalensis</i> )            | 8.8   |
| Verreaux's eagle ( <i>Aquila verreauxii</i> )        | 10.10 |

New species requested with 2017 amendment:

|   |     |
|---|-----|
| Martial eagle ( <i>Polemeatus bellicosus</i> )  | 6.6 |
| Ornate hawk-eagle ( <i>Spizaetus ornatus</i> )  | 6.6 |
| Bonelli's eagle ( <i>Hieraaetus fasciatus</i> ) | 6.6 |
| African goshawk ( <i>Accipiter tachiro</i> )    | 6.6 |
| Eurasian eagle owl ( <i>Bubo bubo</i> )         | 6.6 |
| Ural owl ( <i>Strix uralensis</i> )             | 6.6 |
| Peregrine falcon ( <i>Falco peregrinus</i> )    | 6.6 |

African hawk-eagle, increase to: 18.18

Background: The background on these species (except for the Steppe eagle) is provided in the original finding for approval of this program issued on February 5, 2002. The program was renewed in 2004, 2006, 2009, and 2014. An amendment to add the steppe eagle was approved on August 24, 2007, and included background on this species. Further amendments to add seven species and increase the number of African hawk-eagles were submitted with the 2017 amendment request.

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Findings relevant to issuance criteria of 50 CFR 15.26:

We previously found that this cooperative breeding program met all of the criteria necessary for

approval.

In a letter dated September 25, 2016, the applicant requested renewal of Cooperative Breeding Program CB030 with amendments as noted in the Background section. Previous to this submission, Mr. Kanellis sent an email on August 8, 2016 describing his new, expanded facilities for CB 030, which now has 30 large rooms. They include: 12 total 8'x20' rooms, 10 total 12'x20' rooms, and 8 total 16'x20' rooms. All rooms are 8'-6" low side to a 12' high ceiling with enclosed hallways to prevent escapement. There is a state-of-the-art video observation system with close to 50 cameras in the facilities.

**New species for addition to CB 030:**

Martial eagle: The martial eagle (*Polemeatus bellicosus*) is native to Angola; Benin; Botswana; Burkina Faso; Burundi; Cameroon; Central African Republic; Chad; Congo, The Democratic Republic of the; Côte d'Ivoire; Eritrea; Ethiopia; Gambia; Ghana; Guinea; Guinea-Bissau; Kenya; Malawi; Mali; Mauritania; Mozambique; Namibia; Niger; Nigeria; Rwanda; Senegal; Sierra Leone; Somalia; South Africa; Sudan; Swaziland; Tanzania, United Republic of; Togo; Uganda; Zambia and Zimbabwe (<http://www.iucnredlist.org/apps/redlist/details/144508/0>). The species is classified as Vulnerable by the IUCN (BirdLife International 2016a) and is considered by IUCN to be generally scarce to uncommon or rare, but is reasonably common in some areas. It is suspected to have undergone declines in much of its range, including Namibia and Nigeria. It is a terrestrial species which inhabits open woodland, woody savanna, bushy grassland, thorn-bush and, in southern Africa, more open country and has even been found in sub-desert. The main prey of the martial eagle is sizeable mammals, birds and reptiles (BirdLife International 2016a). The species suffers mainly from shooting and trapping by farmers and indirect poisoning which are the two major threats to the species. The martial eagle is also prone to drowning in sheer-walled reservoirs, electrocution on power poles, and habitat alteration and degradation. Poisoning is largely carried out by a few large-scale commercial farmers, but is also a problem in tribal small-stock farming communities. It is believed that the eagle is also taken for traditional medicine purposes (BirdLife International 2016a). The species was listed on Appendix II of CITES in 1979 (UNEP-WCMC 2017a).

Ornate hawk-eagle: The ornate hawk-eagle (*Spizaetus ornatus*) is native to Argentina; Belize; Bolivia, Plurinational States of; Brazil; Colombia; Costa Rica; Ecuador; El Salvador; French Guiana; Guatemala; Guyana; Honduras; Mexico; Nicaragua; Panama; Paraguay; Peru; Suriname; Trinidad and Tobago; and Venezuela (BirdLife International 2016b). The primary threat to this species is accelerating deforestation in the Amazon basin, through which it is projected to lose up to 40% of its habitat. It is also susceptible to hunting and persecution, but is comfortable traversing fragmented landscapes and has a huge range (BirdLife International 2016b).

Partners in Flight estimated the population to number fewer than 50,000 individuals (A. Panjabi in litt. 2008) with a decreasing population trend (BirdLife International 2016b). Previously classified as 'Least Concern', this species was re-assessed in 2012, and based on a model of future deforestation in the Amazon basin, and habitat loss and persecution elsewhere within its extremely large range, it is suspected that the population of this species will decline by 25-30%

over the next three generations, and it has therefore been classified as ‘Near Threatened’ (BirdLife International 2016b).

The ornate hawk-eagle was first listed with all other Falconiformes in CITES Appendix II on June 12, 2013 (UNEP-WCMC 2017b).

Bonelli’s eagle: This species (*Hieraaetus=Aquila fasciatus*) is native to Afghanistan, Albania, Algeria, Bhutan, Bosnia and Herzegovina, Bulgaria, China, Croatia, Cyprus, Djibouti, Egypt, France, Georgia, Gibraltar, Greece, Hong Kong, India, Indonesia, Islamic Republic of Iran, Iraq, Israel, Italy, Jordan, Kuwait, Lao People's Democratic Republic, Lebanon, Libya, Macedonia, Mauritania, Montenegro, Morocco, Myanmar, Nepal, Oman, Pakistan, Occupied Palestinian Territory, Portugal, Saudi Arabia, Serbia, Spain (Canary Is. - Vagrant), Syrian Arab Republic, Tajikistan, Thailand, Timor-Leste, Tunisia, Turkey, Turkmenistan, United Arab Emirates, Uzbekistan, Viet Nam, and Yemen (BirdLife International 2016c). This species has been classified as ‘Least Concern’ with a decreasing population trend by the IUCN, since 2006 (BirdLife International 2016c). Bonelli’s eagle was listed with all Falconiformes in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017c).

African goshawk: The African goshawk (*Accipiter tachiro*) has an extremely large range and can be found in Angola; Botswana; Burundi; Congo, The Democratic Republic of the; Ethiopia; Kenya; Malawi; Mozambique; Namibia; Rwanda; Somalia; South Africa; South Sudan; Swaziland; Tanzania, United Republic of; Uganda; Zambia; and Zimbabwe (BirdLife International 2016d). It does not approach the thresholds for Vulnerable under the range size criterion (Extent of Occurrence <20,000 km<sup>2</sup> combined with a declining or fluctuating range size, habitat extent/quality, or population size and a small number of locations or severe fragmentation). Despite the fact that the population trend appears to be decreasing, the decline is not believed to be sufficiently rapid to approach the thresholds for Vulnerable under the population trend criterion (>30% decline over ten years or three generations). The population size has not been quantified, but it is not believed to approach the thresholds for Vulnerable under the population size criterion (<10,000 mature individuals with a continuing decline estimated to be >10% in ten years or three generations, or with a specified population structure). For these reasons the species is evaluated as Least Concern (BirdLife International 2017a). The global population size has not been quantified, but the species (pre-split of *A. toussenelii*) is described as the most common African accipiter (Ferguson-Lees and Christie 2001 in BirdLife International 2017a). The species’ population is declining slowly owing to habitat destruction and spread of agriculture. However the species is able to adapt to degraded habitats (Ferguson-Lees and Christie 2001 in BirdLife International 2017a). The African goshawk was listed with all Falconiformes in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017d).

Eurasian eagle owl: The Eurasian eagle owl (*Bubo bubo*) is native to Afghanistan; Albania; Andorra; Armenia; Austria; Azerbaijan; Belarus; Belgium; Bosnia and Herzegovina; Bulgaria; China; Croatia; Czech Republic; Denmark; Estonia; Finland; France; Georgia; Germany; Greece; Hong Kong; Hungary; India; Iran, Islamic Republic of; Iraq; Israel; Italy; Japan; Kazakhstan; Korea, Democratic People's Republic of; Korea, Republic of; Kyrgyzstan; Latvia; Lebanon; Liechtenstein; Lithuania; Luxembourg; Macedonia, the former Yugoslav Republic of; Moldova;

Mongolia; Montenegro; Netherlands; Norway; Pakistan; Palestinian Territory, Occupied; Poland; Portugal; Romania; Russian Federation; Serbia; Slovakia; Slovenia; Spain; Sweden; Switzerland; Syrian Arab Republic; Tajikistan; Turkey; Ukraine; and Uzbekistan (BirdLife International 2016b). The species is classified as a species of Least Concern by the IUCN (BirdLife International 2016e).

In Europe, the breeding population is estimated to number 18,500-30,300 pairs, which equates to 36,900-60,600 mature individuals (BirdLife International 2016b). Europe forms c.20% of the global range, so a very preliminary estimate of the global population size is 180,000-300,000 mature individuals, although further validation of this estimate is needed. The population is therefore placed in the band 100,000-499,999 mature individuals.

The overall population trend is suspected to be declining. The European population is estimated to be increasing (BirdLife International 2016e). However, the Asian population has apparently undergone a marked decline since the 1970s (Holt et al. 2013 *in* BirdLife International 2016e). Most declines are due to human activity. The species is extremely sensitive and the slightest disturbance can cause abandonment of the nest. Unfortunately, cross-country skiing, mountaineering, alpinism and other leisure activities often take people unknowingly near nests (Tucker and Heath 1994 *in* BirdLife International 2016e). *Bubo bubo* suffers from human persecution and poisoning from mercury seed-dressings, and deaths through road traffic, capture (unwittingly) by barbed wire (Holt et al. 2013 *in* BirdLife International 2016e) and overhead wires (Tucker and Heath 1994 *in* BirdLife International 2016e) are not insignificant (Holt et al. 2013 *in* BirdLife International 2016b). These threats still persist despite its protected status in most countries (König 2008 *in* BirdLife International 2016e). A significant decline in populations that were located in Mediterranean countries in the 1960s is thought to be due to the effects of myxomatosis on rabbit populations (Holt et al. 2013 *in* BirdLife International 2016e). Egg-collectors continue to impose a relatively high level of nest-robbing on the species (Tucker and Heath 1994 *in* BirdLife International 2016e). All Strigiformes were listed in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017e).

Ural owl: The Ural owl (*Strix uralensis*) is native to Albania; Austria; Belarus; Bosnia and Herzegovina; Bulgaria; China; Croatia; Czech Republic; Estonia; Finland; Germany; Hungary; Italy; Japan; Kazakhstan; Korea, Democratic People's Republic of; Korea, Republic of; Latvia; Lithuania; Macedonia, the former Yugoslav Republic of; Moldova; Mongolia; Montenegro; Norway; Poland; Romania; Russian Federation; Serbia; Slovakia; Slovenia; Spain; Sweden; and Ukraine (BirdLife International 2016f). The Ural owl's European population is estimated at 50,000-143,000 pairs, which equates to 99,900-286,000 mature individuals (BirdLife International 2016f). Europe forms approximately 25% of the global range, so a very preliminary estimate of the global population size is 396,000-1,140,000 mature individuals, although further validation of this estimate is needed. The population is suspected to be stable in part due to the provision of thousands of nest boxes in some areas to replace dead-wood habitat lost during forestry operations. In Europe the population size is estimated to be increasing (BirdLife International 2016f). In areas dominated by open areas, such as fields and clearfells, the Eagle Owl (*Bubo bubo*) out-competes this species (BirdLife International 2016f). It also suffers from forestry management, which has resulted in the loss of hollow and broken trees which provide

nesting sites (König 2008 and Holt et al. 2015 in BirdLife International 2016f). All Strigiformes were listed in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017f).

Peregrine falcon: The peregrine falcon (*Falco peregrinus*) is an extremely widely-distributed falcon species with a stable population trend and extremely large population size (BirdLife International 2016g). The species is native to Afghanistan; Albania; Algeria; Andorra; Angola (Angola); Anguilla; Antigua and Barbuda; Argentina; Armenia (Armenia); Aruba; Australia; Austria; Azerbaijan; Bahamas; Bahrain; Bangladesh; Barbados; Belarus; Belgium; Belize; Benin; Bermuda; Bhutan; Bolivia, Plurinational States of; Bonaire, Sint Eustatius and Saba; Bosnia and Herzegovina; Botswana; Brazil; Brunei Darussalam; Bulgaria; Burkina Faso; Cambodia; Cameroon; Canada; Cape Verde; Cayman Islands; Central African Republic; Chad; Chile; China; Colombia; Comoros; Congo; Congo, The Democratic Republic of the; Costa Rica; Côte d'Ivoire; Croatia; Cuba; Curaçao; Cyprus; Czech Republic; Denmark; Djibouti; Dominica; Dominican Republic; Ecuador; Egypt; El Salvador; Equatorial Guinea; Eritrea; Estonia; Ethiopia; Falkland Islands (Malvinas); Fiji; Finland; France; French Guiana; Gabon; Gambia; Georgia; Germany; Ghana; Gibraltar; Greece; Greenland; Grenada; Guadeloupe; Guam; Guatemala; Guinea; Guinea-Bissau; Guyana; Haiti; Honduras; Hong Kong; Hungary; India; Indonesia; Iran, Islamic Republic of; Iraq; Ireland; Israel; Italy; Jamaica; Japan; Jordan; Kazakhstan; Kenya; Korea, Democratic People's Republic of; Korea, Republic of; Kuwait; Kyrgyzstan; Lao People's Democratic Republic; Latvia; Lebanon; Lesotho; Liberia; Libya; Liechtenstein; Lithuania; Luxembourg; Macao; Macedonia, the former Yugoslav Republic of; Madagascar; Malawi; Malaysia; Mali; Malta; Martinique; Mauritania; Mayotte; Mexico; Micronesia, Federated States of; Moldova; Mongolia; Montenegro; Montserrat; Morocco; Mozambique; Myanmar; Namibia; Nepal; Netherlands; New Caledonia; Nicaragua; Niger; Nigeria; Northern Mariana Islands; Norway; Oman; Pakistan; Palau; Palestinian Territory, Occupied; Panama; Papua New Guinea; Paraguay; Peru; Philippines; Poland; Portugal; Puerto Rico; Qatar; Romania; Russian Federation; Rwanda; Saint Barthélemy; Saint Kitts and Nevis; Saint Lucia; Saint Martin (French part); Saint Pierre and Miquelon; Saint Vincent and the Grenadines; Saudi Arabia; Senegal; Serbia (Serbia); Sierra Leone; Singapore; Sint Maarten (Dutch part); Slovakia; Slovenia; Solomon Islands; Somalia; South Africa; South Georgia and the South Sandwich Islands; South Sudan; Spain (Canary Is.); Sri Lanka; Sudan; Suriname; Swaziland; Sweden; Switzerland; Syrian Arab Republic; Taiwan, Province of China; Tajikistan; Tanzania, United Republic of; Thailand; Timor-Leste; Togo; Trinidad and Tobago; Tunisia; Turkey; Turkmenistan; Turks and Caicos Islands; Uganda; Ukraine; United Arab Emirates; United Kingdom; United States (Georgia); United States Minor Outlying Islands; Uruguay; Uzbekistan; Vanuatu; Venezuela, Bolivarian Republic of; Viet Nam; Virgin Islands, British; Virgin Islands, U.S.; Western Sahara; Yemen; Zambia; and Zimbabwe (BirdLife International 2016g). Renowned for its speed, peregrine falcons are traded internationally primarily as live birds for purposes of falconry or to supply breeding stock for captive breeding. WCMC trade data indicate that 552 live peregrine falcons, on average, are exported annually (UNEP-WCMC 2016g). The species is currently included in CITES Appendix I and therefore, trade for primarily commercial specimens in registered captive breeding facilities. Eighty-five percent of peregrine falcons in trade are captive-bred birds.

In 2015, the IUCN assessed the peregrine falcon as a species of 'Least Concern' (BirdLife International 2016g). The European regional assessment also identifies the species as Least

Concern. The population size for the peregrine falcon is extremely large, and numbers are not near to the threshold that would establish the species as one of global concern. BirdLife International (2016g) estimates the global population size at 228,800 to 443,000 mature individuals. The largest number of peregrines is found in Europe and North America. Across its European range (including Greenland) there are an estimated 14,900–28,800 breeding pairs. An estimate of 100-1500 breeding pairs in European Russia has been made but no comparable estimate is available for Asiatic Russia, although there are certainly more. Of key traders, Greenland, Spain and the United Kingdom reported 1,000-5,000 breeding pairs. Finland, Germany and the Netherlands reported 100-1,000 breeding pairs. Belgium, Czech Republic and Denmark reported between 10-100 breeding pairs. Based on survey data in the early to mid-2000s, an estimated 3,197 breeding pairs of peregrine falcon occur in North America. An estimated 170 breeding pairs occur in Mexico. Canada reported 100-1,000 breeding pairs of peregrine falcon (but likely 1,000-5,000 due to a vast un-surveyed northern landscape) and the United States reported 1,000-5,000 breeding pairs. At a global scale, peregrine falcon populations are considered secure. The peregrine falcon has an extremely large range, stable population trend, and extremely large population size (BirdLife International 2016g).

Threats to individuals and local populations still exist. Environmental toxins were, and probably still are, the main threat to peregrine falcon populations around the globe. Peregrine falcon populations in North America and Europe declined significantly following World War II, mainly due to the widespread use of organochlorine pesticides such as DDT, which caused eggshell thinning and breakage resulting in breeding failures and ultimately, population declines. In many countries, organochlorine use has been banned and these chemicals are decreasing in the environment and in peregrine falcon populations (BirdLife International 2016g).

According to the IUCN, current threats from falconry are uncertain (BirdLife International 2016g). The peregrine falcon has historically been used extensively for the practice of falconry. Falconry is still the main driver of trade and use of peregrine falcons today (Kenward 2009 *in* BirdLife International 2016g). Falconry is a highly-organized sport represented globally by the International Association for Falconry and Conservation of Birds of Prey (IAF). The IAF currently has 110 member associations from 80 countries worldwide, totaling 60,000 members. These associations work actively with governments to conserve the species and promote the sport.

The U.S. peregrine falcon was originally listed as endangered under the Endangered Species Conservation Act of 1969, in 1970, which was the precursor to the U.S. Endangered Species Act of 1973 ([http://ecos.fws.gov/docs/federal\\_register/fr21.pdf](http://ecos.fws.gov/docs/federal_register/fr21.pdf)). Critical Habitat was designated for the species in 1977 ([http://ecos.fws.gov/docs/federal\\_register/fr151.pdf](http://ecos.fws.gov/docs/federal_register/fr151.pdf)). This species was removed from the List of Threatened and Endangered Species in 1999, as it was considered to be recovered ([http://ecos.fws.gov/docs/federal\\_register/fr3444.pdf](http://ecos.fws.gov/docs/federal_register/fr3444.pdf)). A Monitoring Plan was developed for the species in 2003 ([http://ecos.fws.gov/docs/federal\\_register/fr4204.pdf](http://ecos.fws.gov/docs/federal_register/fr4204.pdf)).

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Based on the report of new construction and activities conducted under the Cooperative Breeding

Program since its last renewal, we believe that the program continues to fulfill the purposes of the Wild Bird Conservation Act and meet the criteria for approval. Therefore, we recommend approval of this renewal application with the following conditions:

1. Birds imported under the cooperative breeding agreement must remain within the breeding program and may not be loaned, sold, or transferred in any manner to individuals outside the breeding program for breeding or falconry purposes.
2. Imported birds and their progeny may only be sent to non-program members if the specimens are deemed to be genetic surplus. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program.
3. Individuals to whom specimens will be loaned for any purpose, including falconry, must become members of the cooperative breeding program. If the individuals do not plan to breed the specimens, the lead cooperator may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, the lead cooperator must provide the Division of Management Authority with their names, contact information, and qualifications (e.g., falconry permit number). In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.
4. If the individuals plan to breed the specimens, the lead cooperator must designate these individuals as breeding members. Individuals may be added to the cooperative breeding program provided that the requirements in Section 15.26(a)(3) of the Wild Bird Conservation Act are met and the information is submitted to the Division of Management Authority. In addition, the Division of Management Authority must receive formal written notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program, as specified in the application for approval.
5. Cooperative breeding program members must maintain import documentation and veterinary records of the imported specimens and make these records, the imported birds, and their facilities available for review by U.S. Fish and Wildlife Service staff upon request.

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Marie T. Maltese  
Biologist  
Division of Scientific Authority


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Eleanora Babij  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority

Retrieved from <http://www.cites.org/eng/resources/species.html> on January 18, 2017.

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Marie T. Maltese  
Biologist  
Division of Scientific Authority

  
Eleanora Babij  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority

09/25/2016

I, *David Kanellis* lead co-operator for CBP030 request to add the following species to our coop.

| Species common name   | Scientific name                   | Male | Female |
|---|-----------------------------------|------|--------|
| 1) Martial eagle  | <i>(Polemaetus bellicosus)</i>    | 6    | 6      |
| 2) Ornate hawk-eagle  | <i>(Spizaetus ornatus)</i>        | 6    | 6      |
| 4) Bonelli's eagle  | <i>(Aquila fasciata)</i>          | 6    | 6      |
| 5) African goshawk  | <i>(Accipiter tachiro)</i>        | 6    | 6      |
| 6) Siberian eagle owl   | <i>(Bubo bubo- yenisseeensis)</i> | 6    | 6      |
| 7) Ural owl   | <i>(Strix uralensis)</i>          | 6    | 6      |
| 8) Peregrine falcon   | <i>(Falco peregrinus cassini)</i> | 6    | 6      |
| I would also like to increase the number of pairs for:<br>African crowned eagle |                                   | 8    | 8      |
|   | <i>(Stephanoaetus coronatus)</i>  |      |        |

Sincerely,

(b) (6)

David Kanellis

(b) (6)

Las Vegas, NV (b) (6)



Department of the Interior  
U.S. Fish and Wildlife Service

OMB No. 1018-0093  
Expires 05/31/2017

**Federal Fish and Wildlife Permit Application Form**

**Return to:** U.S. Fish and Wildlife Service  
Division of Management Authority (DMA)  
Branch of Permits, MS: 1A  
5275 Leesburg Pike  
Falls Church, VA 22041-3803  
1-800-358-2104 or 703-358-2104

**Type of Activity:**  
**Approval, Amendment or Renewal of  
a Cooperative Breeding Program  
(Wild Bird Conservation Act)**

RCVD OCT 14 2016

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.  
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

| A. Complete if applying as an individual             |  |                                      |                                |
|--|--|--------------------------------------|--------------------------------|
| 1.a. Last name<br>Kanellis                           | 1.b. First name<br>David                   | 1.c. Middle name or initial<br>Glenn | 1.d. Suffix                    |
| 2. Date of birth (mm/dd/yyyy)<br>(b) (6)             | 3. Social Security No.<br>(b) (6)          | 4. Occupation<br>(b) (6)             |                                |
| 5. Affiliation/ Doing business as (see instructions) |  |                                      |                                |
| 6.a. Telephone number<br>(b) (6)                     | 6.b. Alternate telephone number<br>(b) (6) | 6.c. Fax number<br>(b) (6)           | 6.d. E-mail address<br>(b) (6) |

| B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution |                                   |   |                              |
|--|-----------------------------------|---|------------------------------|
| 1.a. Name of business, agency, Tribe, or institution   |                                   | 1.b. Doing business as (dba)                              |                              |
| 2. Tax identification no.  |                                   | 3. Description of business, agency, Tribe, or institution |                              |
| 4.a. Principal officer Last name   | 4.b. Principal officer First name | 4.c. Principal officer Middle name/ initial               | 4.d. Suffix                  |
| 5. Principal officer title   |                                   | 6. Primary contact name                                   |                              |
| 7.a. Business telephone number   | 7.b. Alternate telephone number   | 7.c. Business fax number                                  | 7.d. Business e-mail address |

| C. All applicants complete address information  |                  |                                      |                      |                     |  |
|---|------------------|--------------------------------------|----------------------|---------------------|--|
| 1.a. Physical address (Street address, Apartment #, Suite #, or Room #, no P.O. Boxes)<br>(b) (6)               |                  |                                      |                      |                     |  |
| 1.b. City<br>Las Vegas  | 1.c. State<br>NV | 1.d. Zip code/Postal code<br>(b) (6) | 1.e. County/Province | 1.f. Country<br>USA |  |
| 2.a. Mailing Address (include if different than physical address, include name of contact person if applicable) |                  |                                      |                      |                     |  |
| 2.b. City   | 2.c. State       | 2.d. Zip code/Postal code            | 2.e. County/Province | 2.f. Country        |  |

| D. All applicants MUST complete  |   |
|--|---|
| 1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on page 2. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))  |   |
| 2. Do you currently have or have you ever had any Federal Fish and Wildlife permits?<br>Yes <input checked="" type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: CB030   | No <input type="checkbox"/>                 |
| 3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter 1 of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.<br>(b) (6) |   |
| Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures)  | Date of signature (mm/dd/yyyy)<br>9-25-2016 |

Please continue to next page

**E. Approval, Amendment or Renewal of a Cooperative Breeding Program (Wild Bird Conservation Act)**

**NOTE 1:** This application must be approved before import permits can be issued for the purpose of cooperative breeding. A Cooperative Breeding Program (CBP) must have at least two members. Zoological institutions may be members of a CBP. Once a program is approved, you must submit application form 3-200-48 to request import authorization for specific birds.

**NOTE 2:** The lead cooperator (as identified on page 1) for the breeding program **must submit this application.**

**NOTE 3:** Complete all relevant questions on the application. Mark questions that are not applicable with "N/A". Due to the length of some questions, please use separate sheets of paper to answer the application questions. On all attachments or separate sheets you are submitting; please indicate the number of the application question you are addressing. If requesting authorization for more than one species or subspecies, please answer questions 2 – 5 for each species/subspecies.

1. Are you requesting to:

Establish a new cooperative breeding program (Please answer all questions)  
PROCESSING FEE = \$200

Amend an existing cooperative breeding program; CBP# 030  
(If requesting new species, answers questions 1 and 2; If requesting to add a member, answer question 4; otherwise please answer only questions 6 through 10)  
PROCESSING FEE = \$100

Renew an existing cooperative breeding program; CBP# \_\_\_\_\_  
(If there are no changes to the membership or species in the CBP, please answer questions 6 through 10)  
PROCESSING FEE = \$50

2. A description of the species/subspecies to be covered (imported) under the program, including:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name;

b. Number of birds required to meet the goals of the program (**Note:** indicate the total number of birds to be imported by all the members of the CBP for the life of the program); and

c. Desired sex ratio.

3. A statement justifying the need for this approval, which includes information on the current U.S. captive population of the requested species/subspecies and the need for import of additional specimens. Also, provide a description of the cooperative breeding program that includes:

a. Breeding protocol, including a genetic management plan and breeding methods, that justifies the total number of birds to be imported by the CBP;

b. Description of the long-term plans for developing and maintaining a self-sustaining population in captivity of the exotic bird species;

c. Details on the system of record keeping and tracking of birds and their offspring, including how individual specimens will be marked or otherwise identified;

d. Explanation on the relationship of the cooperative breeding program to the conservation of the exotic bird species in the wild;

e. Information on the long-term funding of the cooperative breeding program to ensure its existence until the CPB's breeding goals are met; and

- f. Detailed plans for the placement of the program birds and any offspring, **recalling that birds imported as part of a cooperative breeding program cannot be loaned, sold or otherwise transferred to a non-member without prior authorization of this office.** Also, provide a description of how program birds will be dealt with if a member(s) leaves the program or if the program is voluntary terminated (members decide to disband and the program is not renewed) or involuntary terminated (the Service does not renew the CBP).
4. Describe care and maintenance of the requested species/subspecies, and how each prospective member's facilities meet professionally recognized standards, including (if requesting to amend an established CBP by adding new members, please provide the following for each proposed new member):
  - a. Name and addresses of each facility where the birds will be maintained;
  - b. Photos and detailed description of each facility, including dimensions of enclosures for the birds to be imported and offspring and the number of birds to be housed in each;
  - c. Husbandry practices; and
  - d. A qualification statement for EACH individual who will be participating in CBP. This statement should include records showing the participant's prior breeding experience with bird species that are the same or similar to the species in the CBP.
5. Each CBP must identify an appropriate avicultural, zoological, or conservation organization that has agreed to oversee its activities. Please provide a statement from the designated oversight organization, on the organization's letterhead, that includes at a minimum:
  - a. Description of the expertise of the organization to serve as an oversight organization;
  - b. Criteria for acceptance of individuals into the proposed breeding program;
  - c. How participation in the program will be monitored; and
  - d. Relationship of the oversight organization to the CBP in establishing and maintaining a self-sustaining captive population of the exotic bird species or enhancing the conservation of the species in the wild.
6. If you are amending or renewing a program, you must also provide the following information in a table format:
  - a. The number, species, and sex of all birds that have been imported to date for your CBP, including dates of import, countries of origin, and disposition of the imported birds for each importer;
  - b. For each program participant, a summary of any breeding activity that has been achieved with the imported birds, including the number of eggs and progeny produced, and the disposition of any progeny;
  - c. For each program participant, a summary of any mortality, including mortality during transit or quarantine (for birds that died in quarantine, you must provide appropriate documentation from USDA/Veterinary Services) of birds imported as part of the approved cooperative breeding program, as well as details of any post-fledging mortality of their progeny;
  - d. A summary of progress made towards achieving a self-sustaining captive population of each species;
  - e. An explanation of any substantive changes that have or will occur regarding any aspect of the approved cooperative breeding program; and
  - f. An official letterhead statement from the designated oversight organization approving the amendment or renewal.

7. Provide a signed and dated statement from each CBP member. The statements must contain the following information:
- a. Member name;
  - b. Scientific name of all species being requested for the program;
  - c. Statement that the member is aware of the purposes of the cooperative breeding program and the Wild Bird Conservation Act and will abide by the regulations and conditions placed on the program, if it is approved.
8. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):
9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please **DO NOT** include credit card number or other information; you will be contacted for this information.
- If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.
10. Who should we contact if we have questions about the application? (Include name, phone number, and email):
11. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?
- Yes  No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.



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WILD BIRD CONSERVATION ACT  
RECORD OF FINDINGS ON APPLICATION  
FOR APPROVAL OF COOPERATIVE BREEDING PROGRAM  
U. S. FISH & WILDLIFE SERVICE

**\*\*Amendment\*\***

Application number: CB030

Applicant: David Kanellis

Oversight Organization:

California Hawking Club, Inc.

Species:

Specimens:

|  |       |
|--|-------|
| Northern goshawk ( <i>Accipiter gentilis</i> )       | 10.10 |
| African hawk-eagle ( <i>Hieraaetus spilogaster</i> ) | 10.10 |
| Black goshawk ( <i>Accipiter melanoleucus</i> )      | 7.5   |
| European sparrowhawk ( <i>Accipiter nisus</i> )      | 7.5   |
| Lanner falcon ( <i>Falco biarmicus</i> )             | 4.4   |
| Red-necked falcon ( <i>Falco chicquera</i> )         | 10.10 |
| Saker falcon ( <i>Falco cherrug</i> )                | 5.2   |
| Steppe eagle ( <i>Aquila nipalensis</i> )            | 8.8   |
| Verreaux's eagle ( <i>Aquila verreauxii</i> )        | 10.10 |

New species requested with 2017 amendment:

|   |     |
|---|-----|
| Martial eagle ( <i>Polemeatus bellicosus</i> )  | 6.6 |
| Ornate hawk-eagle ( <i>Spizaetus ornatus</i> )  | 6.6 |
| Bonelli's eagle ( <i>Hieraaetus fasciatus</i> ) | 6.6 |
| African goshawk ( <i>Accipiter tachiro</i> )    | 6.6 |
| Eurasian eagle owl ( <i>Bubo bubo</i> )         | 6.6 |
| Ural owl ( <i>Strix uralensis</i> )             | 6.6 |
| Peregrine falcon ( <i>Falco peregrinus</i> )    | 6.6 |

African hawk-eagle, increase to: 18.18

Background: The background on these species (except for the Steppe eagle) is provided in the original finding for approval of this program issued on February 5, 2002. The program was renewed in 2004, 2006, 2009, and 2014. An amendment to add the steppe eagle was approved on August 24, 2007, and included background on this species. Further amendments to add seven species and increase the number of African hawk-eagles were submitted with the 2017 amendment request.

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Findings relevant to issuance criteria of 50 CFR 15.26:

We previously found that this cooperative breeding program met all of the criteria necessary for

approval.

In a letter dated September 25, 2016, the applicant requested renewal of Cooperative Breeding Program CB030 with amendments as noted in the Background section. Previous to this submission, Mr. Kanellis sent an email on August 8, 2016 describing his new, expanded facilities for CB 030, which now has 30 large rooms. They include: 12 total 8'x20' rooms, 10 total 12'x20' rooms, and 8 total 16'x20' rooms. All rooms are 8'-6" low side to a 12' high ceiling with enclosed hallways to prevent escapement. There is a state-of-the-art video observation system with close to 50 cameras in the facilities.

**New species for addition to CB 030:**

Martial eagle: The martial eagle (*Polemeatus bellicosus*) is native to Angola; Benin; Botswana; Burkina Faso; Burundi; Cameroon; Central African Republic; Chad; Congo, The Democratic Republic of the; Côte d'Ivoire; Eritrea; Ethiopia; Gambia; Ghana; Guinea; Guinea-Bissau; Kenya; Malawi; Mali; Mauritania; Mozambique; Namibia; Niger; Nigeria; Rwanda; Senegal; Sierra Leone; Somalia; South Africa; Sudan; Swaziland; Tanzania, United Republic of; Togo; Uganda; Zambia and Zimbabwe (<http://www.iucnredlist.org/apps/redlist/details/144508/0>). The species is classified as Vulnerable by the IUCN (BirdLife International 2016a) and is considered by IUCN to be generally scarce to uncommon or rare, but is reasonably common in some areas. It is suspected to have undergone declines in much of its range, including Namibia and Nigeria. It is a terrestrial species which inhabits open woodland, woody savanna, bushy grassland, thorn-bush and, in southern Africa, more open country and has even been found in sub-desert. The main prey of the martial eagle is sizeable mammals, birds and reptiles (BirdLife International 2016a). The species suffers mainly from shooting and trapping by farmers and indirect poisoning which are the two major threats to the species. The martial eagle is also prone to drowning in sheer-walled reservoirs, electrocution on power poles, and habitat alteration and degradation. Poisoning is largely carried out by a few large-scale commercial farmers, but is also a problem in tribal small-stock farming communities. It is believed that the eagle is also taken for traditional medicine purposes (BirdLife International 2016a). The species was listed on Appendix II of CITES in 1979 (UNEP-WCMC 2017a).

Ornate hawk-eagle: The ornate hawk-eagle (*Spizaetus ornatus*) is native to Argentina; Belize; Bolivia, Plurinational States of; Brazil; Colombia; Costa Rica; Ecuador; El Salvador; French Guiana; Guatemala; Guyana; Honduras; Mexico; Nicaragua; Panama; Paraguay; Peru; Suriname; Trinidad and Tobago; and Venezuela (BirdLife International 2016b). The primary threat to this species is accelerating deforestation in the Amazon basin, through which it is projected to lose up to 40% of its habitat. It is also susceptible to hunting and persecution, but is comfortable traversing fragmented landscapes and has a huge range (BirdLife International 2016b).

Partners in Flight estimated the population to number fewer than 50,000 individuals (A. Panjabi in litt. 2008) with a decreasing population trend (BirdLife International 2016b). Previously classified as 'Least Concern', this species was re-assessed in 2012, and based on a model of future deforestation in the Amazon basin, and habitat loss and persecution elsewhere within its extremely large range, it is suspected that the population of this species will decline by 25-30%

over the next three generations, and it has therefore been classified as ‘Near Threatened’ (BirdLife International 2016b).

The ornate hawk-eagle was first listed with all other Falconiformes in CITES Appendix II on June 12, 2013 (UNEP-WCMC 2017b).

Bonelli’s eagle: This species (*Hieraaetus=Aquila fasciatus*) is native to Afghanistan, Albania, Algeria, Bhutan, Bosnia and Herzegovina, Bulgaria, China, Croatia, Cyprus, Djibouti, Egypt, France, Georgia, Gibraltar, Greece, Hong Kong, India, Indonesia, Islamic Republic of Iran, Iraq, Israel, Italy, Jordan, Kuwait, Lao People's Democratic Republic, Lebanon, Libya, Macedonia, Mauritania, Montenegro, Morocco, Myanmar, Nepal, Oman, Pakistan, Occupied Palestinian Territory, Portugal, Saudi Arabia, Serbia, Spain (Canary Is. - Vagrant), Syrian Arab Republic, Tajikistan, Thailand, Timor-Leste, Tunisia, Turkey, Turkmenistan, United Arab Emirates, Uzbekistan, Viet Nam, and Yemen (BirdLife International 2016c). This species has been classified as ‘Least Concern’ with a decreasing population trend by the IUCN, since 2006 (BirdLife International 2016c). Bonelli’s eagle was listed with all Falconiformes in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017c).

African goshawk: The African goshawk (*Accipiter tachiro*) has an extremely large range and can be found in Angola; Botswana; Burundi; Congo, The Democratic Republic of the; Ethiopia; Kenya; Malawi; Mozambique; Namibia; Rwanda; Somalia; South Africa; South Sudan; Swaziland; Tanzania, United Republic of; Uganda; Zambia; and Zimbabwe (BirdLife International 2016d). It does not approach the thresholds for Vulnerable under the range size criterion (Extent of Occurrence <20,000 km<sup>2</sup> combined with a declining or fluctuating range size, habitat extent/quality, or population size and a small number of locations or severe fragmentation). Despite the fact that the population trend appears to be decreasing, the decline is not believed to be sufficiently rapid to approach the thresholds for Vulnerable under the population trend criterion (>30% decline over ten years or three generations). The population size has not been quantified, but it is not believed to approach the thresholds for Vulnerable under the population size criterion (<10,000 mature individuals with a continuing decline estimated to be >10% in ten years or three generations, or with a specified population structure). For these reasons the species is evaluated as Least Concern (BirdLife International 2017a). The global population size has not been quantified, but the species (pre-split of *A. toussenelii*) is described as the most common African accipiter (Ferguson-Lees and Christie 2001 in BirdLife International 2017a). The species’ population is declining slowly owing to habitat destruction and spread of agriculture. However the species is able to adapt to degraded habitats (Ferguson-Lees and Christie 2001 in BirdLife International 2017a). The African goshawk was listed with all Falconiformes in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017d).

Eurasian eagle owl: The Eurasian eagle owl (*Bubo bubo*) is native to Afghanistan; Albania; Andorra; Armenia; Austria; Azerbaijan; Belarus; Belgium; Bosnia and Herzegovina; Bulgaria; China; Croatia; Czech Republic; Denmark; Estonia; Finland; France; Georgia; Germany; Greece; Hong Kong; Hungary; India; Iran, Islamic Republic of; Iraq; Israel; Italy; Japan; Kazakhstan; Korea, Democratic People's Republic of; Korea, Republic of; Kyrgyzstan; Latvia; Lebanon; Liechtenstein; Lithuania; Luxembourg; Macedonia, the former Yugoslav Republic of; Moldova;

Mongolia; Montenegro; Netherlands; Norway; Pakistan; Palestinian Territory, Occupied; Poland; Portugal; Romania; Russian Federation; Serbia; Slovakia; Slovenia; Spain; Sweden; Switzerland; Syrian Arab Republic; Tajikistan; Turkey; Ukraine; and Uzbekistan (BirdLife International 2016b). The species is classified as a species of Least Concern by the IUCN (BirdLife International 2016e).

In Europe, the breeding population is estimated to number 18,500-30,300 pairs, which equates to 36,900-60,600 mature individuals (BirdLife International 2016b). Europe forms c.20% of the global range, so a very preliminary estimate of the global population size is 180,000-300,000 mature individuals, although further validation of this estimate is needed. The population is therefore placed in the band 100,000-499,999 mature individuals.

The overall population trend is suspected to be declining. The European population is estimated to be increasing (BirdLife International 2016e). However, the Asian population has apparently undergone a marked decline since the 1970s (Holt et al. 2013 *in* BirdLife International 2016e). Most declines are due to human activity. The species is extremely sensitive and the slightest disturbance can cause abandonment of the nest. Unfortunately, cross-country skiing, mountaineering, alpinism and other leisure activities often take people unknowingly near nests (Tucker and Heath 1994 *in* BirdLife International 2016e). *Bubo bubo* suffers from human persecution and poisoning from mercury seed-dressings, and deaths through road traffic, capture (unwittingly) by barbed wire (Holt et al. 2013 *in* BirdLife International 2016e) and overhead wires (Tucker and Heath 1994 *in* BirdLife International 2016e) are not insignificant (Holt et al. 2013 *in* BirdLife International 2016b). These threats still persist despite its protected status in most countries (König 2008 *in* BirdLife International 2016e). A significant decline in populations that were located in Mediterranean countries in the 1960s is thought to be due to the effects of myxomatosis on rabbit populations (Holt et al. 2013 *in* BirdLife International 2016e). Egg-collectors continue to impose a relatively high level of nest-robbing on the species (Tucker and Heath 1994 *in* BirdLife International 2016e). All Strigiformes were listed in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017e).

Ural owl: The Ural owl (*Strix uralensis*) is native to Albania; Austria; Belarus; Bosnia and Herzegovina; Bulgaria; China; Croatia; Czech Republic; Estonia; Finland; Germany; Hungary; Italy; Japan; Kazakhstan; Korea, Democratic People's Republic of; Korea, Republic of; Latvia; Lithuania; Macedonia, the former Yugoslav Republic of; Moldova; Mongolia; Montenegro; Norway; Poland; Romania; Russian Federation; Serbia; Slovakia; Slovenia; Spain; Sweden; and Ukraine (BirdLife International 2016f). The Ural owl's European population is estimated at 50,000-143,000 pairs, which equates to 99,900-286,000 mature individuals (BirdLife International 2016f). Europe forms approximately 25% of the global range, so a very preliminary estimate of the global population size is 396,000-1,140,000 mature individuals, although further validation of this estimate is needed. The population is suspected to be stable in part due to the provision of thousands of nest boxes in some areas to replace dead-wood habitat lost during forestry operations. In Europe the population size is estimated to be increasing (BirdLife International 2016f). In areas dominated by open areas, such as fields and clearfells, the Eagle Owl (*Bubo bubo*) out-competes this species (BirdLife International 2016f). It also suffers from forestry management, which has resulted in the loss of hollow and broken trees which provide

nesting sites (König 2008 and Holt et al. 2015 in BirdLife International 2016f). All Strigiformes were listed in CITES Appendix II on June 28, 1979 (UNEP-WCMC 2017f).

Peregrine falcon: The peregrine falcon (*Falco peregrinus*) is an extremely widely-distributed falcon species with a stable population trend and extremely large population size (BirdLife International 2016g). The species is native to Afghanistan; Albania; Algeria; Andorra; Angola (Angola); Anguilla; Antigua and Barbuda; Argentina; Armenia (Armenia); Aruba; Australia; Austria; Azerbaijan; Bahamas; Bahrain; Bangladesh; Barbados; Belarus; Belgium; Belize; Benin; Bermuda; Bhutan; Bolivia, Plurinational States of; Bonaire, Sint Eustatius and Saba; Bosnia and Herzegovina; Botswana; Brazil; Brunei Darussalam; Bulgaria; Burkina Faso; Cambodia; Cameroon; Canada; Cape Verde; Cayman Islands; Central African Republic; Chad; Chile; China; Colombia; Comoros; Congo; Congo, The Democratic Republic of the; Costa Rica; Côte d'Ivoire; Croatia; Cuba; Curaçao; Cyprus; Czech Republic; Denmark; Djibouti; Dominica; Dominican Republic; Ecuador; Egypt; El Salvador; Equatorial Guinea; Eritrea; Estonia; Ethiopia; Falkland Islands (Malvinas); Fiji; Finland; France; French Guiana; Gabon; Gambia; Georgia; Germany; Ghana; Gibraltar; Greece; Greenland; Grenada; Guadeloupe; Guam; Guatemala; Guinea; Guinea-Bissau; Guyana; Haiti; Honduras; Hong Kong; Hungary; India; Indonesia; Iran, Islamic Republic of; Iraq; Ireland; Israel; Italy; Jamaica; Japan; Jordan; Kazakhstan; Kenya; Korea, Democratic People's Republic of; Korea, Republic of; Kuwait; Kyrgyzstan; Lao People's Democratic Republic; Latvia; Lebanon; Lesotho; Liberia; Libya; Liechtenstein; Lithuania; Luxembourg; Macao; Macedonia, the former Yugoslav Republic of; Madagascar; Malawi; Malaysia; Mali; Malta; Martinique; Mauritania; Mayotte; Mexico; Micronesia, Federated States of; Moldova; Mongolia; Montenegro; Montserrat; Morocco; Mozambique; Myanmar; Namibia; Nepal; Netherlands; New Caledonia; Nicaragua; Niger; Nigeria; Northern Mariana Islands; Norway; Oman; Pakistan; Palau; Palestinian Territory, Occupied; Panama; Papua New Guinea; Paraguay; Peru; Philippines; Poland; Portugal; Puerto Rico; Qatar; Romania; Russian Federation; Rwanda; Saint Barthélemy; Saint Kitts and Nevis; Saint Lucia; Saint Martin (French part); Saint Pierre and Miquelon; Saint Vincent and the Grenadines; Saudi Arabia; Senegal; Serbia (Serbia); Sierra Leone; Singapore; Sint Maarten (Dutch part); Slovakia; Slovenia; Solomon Islands; Somalia; South Africa; South Georgia and the South Sandwich Islands; South Sudan; Spain (Canary Is.); Sri Lanka; Sudan; Suriname; Swaziland; Sweden; Switzerland; Syrian Arab Republic; Taiwan, Province of China; Tajikistan; Tanzania, United Republic of; Thailand; Timor-Leste; Togo; Trinidad and Tobago; Tunisia; Turkey; Turkmenistan; Turks and Caicos Islands; Uganda; Ukraine; United Arab Emirates; United Kingdom; United States (Georgia); United States Minor Outlying Islands; Uruguay; Uzbekistan; Vanuatu; Venezuela, Bolivarian Republic of; Viet Nam; Virgin Islands, British; Virgin Islands, U.S.; Western Sahara; Yemen; Zambia; and Zimbabwe (BirdLife International 2016g). Renowned for its speed, peregrine falcons are traded internationally primarily as live birds for purposes of falconry or to supply breeding stock for captive breeding. WCMC trade data indicate that 552 live peregrine falcons, on average, are exported annually (UNEP-WCMC 2016g). The species is currently included in CITES Appendix I and therefore, trade for primarily commercial specimens in registered captive breeding facilities. Eighty-five percent of peregrine falcons in trade are captive-bred birds.

In 2015, the IUCN assessed the peregrine falcon as a species of 'Least Concern' (BirdLife International 2016g). The European regional assessment also identifies the species as Least

Concern. The population size for the peregrine falcon is extremely large, and numbers are not near to the threshold that would establish the species as one of global concern. BirdLife International (2016g) estimates the global population size at 228,800 to 443,000 mature individuals. The largest number of peregrines is found in Europe and North America. Across its European range (including Greenland) there are an estimated 14,900–28,800 breeding pairs. An estimate of 100-1500 breeding pairs in European Russia has been made but no comparable estimate is available for Asiatic Russia, although there are certainly more. Of key traders, Greenland, Spain and the United Kingdom reported 1,000-5,000 breeding pairs. Finland, Germany and the Netherlands reported 100-1,000 breeding pairs. Belgium, Czech Republic and Denmark reported between 10-100 breeding pairs. Based on survey data in the early to mid-2000s, an estimated 3,197 breeding pairs of peregrine falcon occur in North America. An estimated 170 breeding pairs occur in Mexico. Canada reported 100-1,000 breeding pairs of peregrine falcon (but likely 1,000-5,000 due to a vast un-surveyed northern landscape) and the United States reported 1,000-5,000 breeding pairs. At a global scale, peregrine falcon populations are considered secure. The peregrine falcon has an extremely large range, stable population trend, and extremely large population size (BirdLife International 2016g).

Threats to individuals and local populations still exist. Environmental toxins were, and probably still are, the main threat to peregrine falcon populations around the globe. Peregrine falcon populations in North America and Europe declined significantly following World War II, mainly due to the widespread use of organochlorine pesticides such as DDT, which caused eggshell thinning and breakage resulting in breeding failures and ultimately, population declines. In many countries, organochlorine use has been banned and these chemicals are decreasing in the environment and in peregrine falcon populations (BirdLife International 2016g).

According to the IUCN, current threats from falconry are uncertain (BirdLife International 2016g). The peregrine falcon has historically been used extensively for the practice of falconry. Falconry is still the main driver of trade and use of peregrine falcons today (Kenward 2009 *in* BirdLife International 2016g). Falconry is a highly-organized sport represented globally by the International Association for Falconry and Conservation of Birds of Prey (IAF). The IAF currently has 110 member associations from 80 countries worldwide, totaling 60,000 members. These associations work actively with governments to conserve the species and promote the sport.

The U.S. peregrine falcon was originally listed as endangered under the Endangered Species Conservation Act of 1969, in 1970, which was the precursor to the U.S. Endangered Species Act of 1973 ([http://ecos.fws.gov/docs/federal\\_register/fr21.pdf](http://ecos.fws.gov/docs/federal_register/fr21.pdf)). Critical Habitat was designated for the species in 1977 ([http://ecos.fws.gov/docs/federal\\_register/fr151.pdf](http://ecos.fws.gov/docs/federal_register/fr151.pdf)). This species was removed from the List of Threatened and Endangered Species in 1999, as it was considered to be recovered ([http://ecos.fws.gov/docs/federal\\_register/fr3444.pdf](http://ecos.fws.gov/docs/federal_register/fr3444.pdf)). A Monitoring Plan was developed for the species in 2003 ([http://ecos.fws.gov/docs/federal\\_register/fr4204.pdf](http://ecos.fws.gov/docs/federal_register/fr4204.pdf)).

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Based on the report of new construction and activities conducted under the Cooperative Breeding

Program since its last renewal, we believe that the program continues to fulfill the purposes of the Wild Bird Conservation Act and meet the criteria for approval. Therefore, we recommend approval of this renewal application with the following conditions:

1. Birds imported under the cooperative breeding agreement must remain within the breeding program and may not be loaned, sold, or transferred in any manner to individuals outside the breeding program for breeding or falconry purposes.
2. Imported birds and their progeny may only be sent to non-program members if the specimens are deemed to be genetic surplus. Prior to disposing of a bird outside the program, the bird must be made available to other Service-approved programs for the species. To dispose of bird outside an approved program, the lead cooperator must submit a written request to the Division of Management Authority that includes justification for disposing of the bird and a statement of how the bird will be disposed of (e.g., donated to a zoo, sold to a private individual, etc.), prior to removal of the bird from the program.
3. Individuals to whom specimens will be loaned for any purpose, including falconry, must become members of the cooperative breeding program. If the individuals do not plan to breed the specimens, the lead cooperator may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, the lead cooperator must provide the Division of Management Authority with their names, contact information, and qualifications (e.g., falconry permit number). In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.
4. If the individuals plan to breed the specimens, the lead cooperator must designate these individuals as breeding members. Individuals may be added to the cooperative breeding program provided that the requirements in Section 15.26(a)(3) of the Wild Bird Conservation Act are met and the information is submitted to the Division of Management Authority. In addition, the Division of Management Authority must receive formal written notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program, as specified in the application for approval.
5. Cooperative breeding program members must maintain import documentation and veterinary records of the imported specimens and make these records, the imported birds, and their facilities available for review by U.S. Fish and Wildlife Service staff upon request.

#### Literature cited

BirdLife International. 2016a. *Polemaetus bellicosus*. The IUCN Red List of Threatened Species



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BirdLife International. 2016f. *Strix uralensis*. The IUCN Red List of Threatened Species 2016: e.T22688927A86872241. <http://dx.doi.org/10.2305/IUCN.UK.2016-3.RLTS.T22688927A86872241.en>. Downloaded on 19 January 2017.

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Retrieved from <http://www.cites.org/eng/resources/species.html> on January 18, 2017.

United Nations Monitoring Programme-World Conservation Monitoring Centre (UNEP-WCMC). 2017e. UNEP-WCMC Species Database: CITES-Listed Species: (*Bubo bubo*). Retrieved from <http://www.cites.org/eng/resources/species.html> on January 18, 2017.

United Nations Monitoring Programme-World Conservation Monitoring Centre (UNEP-WCMC). 2017f. UNEP-WCMC Species Database: CITES-Listed Species: *Strix uralensis*. Retrieved from <http://www.cites.org/eng/resources/species.html> on January 18, 2017.

  
Marie T. Maltese  
Biologist  
Division of Scientific Authority

  
Eleanora Babij  
Chief, Branch of Consultation and Monitoring  
Division of Scientific Authority



# United States Department of the Interior



## FISH AND WILDLIFE SERVICE

International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

IN REPLY REFER TO:  
FWS/DSA/WBCA

**FEB 23 2018**

William Voelker  
Sia: The Comanche Nation Ethno-Ornithological Initiative

(b) (6)  
Cyril, Oklahoma (b) (6)

Dear Mr. Voelker:

This letter responds to your request, received in this office on January 30, 2018, to transfer the Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B and previously transferred from Sia: Comanche Nation Raptor Program to Mr. Mark Moglich of the approved cooperative breeding program, the Raptor Cooperative Breeding Program (CB030), back to Sia: Comanche Nation Raptor Program.

In your request, you state that you wish to transfer this specimen back to Sia: The Comanche Nation Ethno-Ornithological Initiative because the original breeder of the bird in Peru incorrectly identified the specimen (band number 727 EH) as a female. You have indicated that DNA sexing of the bird from samples taken at the time of shipment to Mr. Moglich and confirmed by Mr. Moglich's DNA sexing of the bird after its arrival have proven that the specimen is in fact male, not female. Given that Mr. Moglich was interested in a potential breeding pair of Ornate hawk-eagles, this male specimen does not meet his needs.

We find that your reason for wishing to transfer this specimen from Mr. Mark Moglich of the Raptor Cooperative Breeding Program (CB030) to Sia is justified. In addition, Mr. David Kanellis, Lead Cooperator of the Raptor Cooperative Breeding Program (CB030) has agreed to the transfer of this specimen from the cooperative breeding program to Sia. Therefore, we approve your request to transfer 1.0 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, from Mr. Mark Moglich, under the Wild Bird Conservation Act (WBCA) Cooperative Breeding Program, CB030, to Sia: The Comanche Nation Ethno-Ornithological Initiative.

Please inform this office once the transfer of the specimen has been completed.

If you have any further questions regarding this issue, please feel free to contact Monica A. Horton, Biologist (CITES Specialist), Division of Scientific Authority, U.S. Fish & Wildlife Service, 5275 Leesburg Pike, MS: IA, Falls Church, VA 22041-3803; telephone 703-358-2505; email: monica\_horton@fws.gov.

Sincerely,



Rosemarie Gnam, Ph.D.  
Chief, Division of Scientific Authority

cc: Mark Moglich, CB030  
David Kanellis, CB030  
Clifton Horton, FWS/DMA/WTCB



# United States Department of the Interior



## FISH AND WILDLIFE SERVICE

International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

**FEB 23 2018**

### MEMORANDUM

To: Chief, Division of Management Authority

From: Chief, Division of Scientific Authority *Rosemarie Anon*

Re: Transfer Authorization for 1.0 Ornate hawk-eagle (*Spizaetus ornatus*) Imported under Permit # MA84424B to Sia: The Comanche Nation Ethno-Ornithological Initiative from Mark Moglich of WBCA Cooperative Breeding Program, CB030

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On December 5, 2017, we approved the transfer of 0.1 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mr. Mark Moglich, under WBCA Cooperative Breeding Program, CB030. The reason for this transfer was outlined in our memorandum to your office dated November 21, 2017.

On January 30, 2018, Mr. William Voelker of Sia: The Comanche Nation Ethno-Ornithological Initiative requested the transfer of the Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B previously transferred from Sia to Mr. Mark Moglich of the approved cooperative breeding program, the Raptor Cooperative Breeding Program (CB030), back to Sia: Comanche Nation Raptor Program. According to Mr. Voelker, the original breeder of the bird in Peru incorrectly identified the specimen (band number 727 EH) as a female. Mr. Voelker states that DNA sexing of the bird from samples taken at the time of shipment to Mr. Moglich and confirmed by Mr. Moglich's DNA sexing of the bird after its arrival have proven that the specimen is in fact male, not female. Given that Mr. Moglich was interested in a potential breeding pair of Ornate hawk-eagles, this male specimen does not meet his needs.

Mr. Mark Moglich is currently a member of an approved cooperative breeding program, the Raptor Cooperative Breeding Program (file CB030), that was renewed February 17, 2017. The background on this species was provided in the finding for amending the cooperative breeding program to include seven additional species, including *Spizaetus ornatus*, issued on January 23, 2017. The program is authorized to import a total of 6.6 Ornate hawk-eagle (*Spizaetus ornatus*). This transfer, once completed, will reduce the total number of *Spizaetus ornatus* in this cooperative breeding program from 0.1 specimens to 0.0 specimens.

On February 2, 2018, Mr. Moglich submitted a signed and dated letter of endorsement from Mr. David Kanellis, Lead Cooperator of the Raptor Cooperative Breeding Program (CB030), agreeing to the transfer of this specimen from Mark Moglich, under CB030, to Sia: The Comanche Nation Ethno-Ornithological Initiative.

Given that the specimen previously transferred was misidentified as a female when it was in fact a male and that this male specimen does not meet Mr. Moglich's need with regards to a potential breeding pair of Ornate hawk-eagles, we **APPROVE** the transfer of 1.0 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, from Mr. Mark Moglich, under WBCA Cooperative Breeding Program, CB030, to Sia: The Comanche Nation Ethno-Ornithological Initiative.

Please note: We have also asked the individuals to please inform our office once the transfer of the specimen has been completed. We will inform you of the completion of this transfer, once we have been notified.



# United States Department of the Interior



## FISH AND WILDLIFE SERVICE

International Affairs  
5275 Leesburg Pike, MS: LA  
Falls Church, VA 22041-3803

NOV 21 2017

### MEMORANDUM

To: Chief, Division of Management Authority

From: Chief, Division of Scientific Authority

Acting Thomas Luterwitz

Re: Transfer Authorization for 0.1 Ornate hawk-eagle (*Spizaetus ornatus*) Imported under Permit # MA84424B to Mark Moglich of WBCA Cooperative Breeding Program, CB030

On November 16, 2017, Mr. William Voelker of Sia: The Comanche Nation Ethno-Ornithological Initiative requested the transfer of 0.1 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B. According to Mr. Voelker, although the specimen was imported for the purpose of zoological breeding and display, the bird is "far too nervous for exhibit," so has been placed off-exhibit. He is requesting the transfer of the specimen to Mr. Mark Moglich of Gardnerville, Nevada, who will, according to Mr. Voelker, continue to work with Sia: The Comanche Nation Ethno-Ornithological Initiative to provide behavioral data from this specimen that will aid in their continued conservation research with this species. Furthermore, Mr. Voelker states that since this specimen is not a candidate for exhibit, Mr. Moglich's off-exhibit facility is ideal for the needs of this bird.

Mr. Mark Moglich is currently a member of an approved cooperative breeding program, the Raptor Cooperative Breeding Program (file CB030), that was renewed February 17, 2017. The background on this species was provided in the finding for amending the cooperative breeding program to include seven additional species, including *Spizaetus ornatus*, issued on January 23, 2017. The program is authorized to import a total of 6.6 Ornate hawk-eagle (*Spizaetus ornatus*). As of the date of this finding, no specimens have been imported. Therefore, this transfer request will not exceed the total number of *Spizaetus ornatus* approved under this program.

On November 19, 2017, Mr. Moglich submitted a signed and dated letter of endorsement from Mr. David Kanellis, Lead Cooperator of the Raptor Cooperative Breeding Program (CB030), agreeing to the transfer of this specimen from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mark Moglich, under CB030. The letters states that he is aware that this female specimen will count towards and reduce the total number of birds that the cooperative breeding program can further import, as this specimen will count towards the total number of specimens approved under the cooperative breeding program. He also confirms that this specimen will be used in accordance with the guidelines established for the cooperative breeding program.

Given that Condition "G." of Permit # MA84424B states that "All birds imported under this permit must remain with SIA: Comanche Nation, unless prior authorization is obtained from the Division of Scientific Authority..." and that the Lead Cooperator of the approved cooperative breeding program, CB030, has agreed to the transfer of this specimen and the conditions of the transfer, as indicated above, we **APPROVE** the transfer of 0.1 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mr. Mark Moglich, under WBCA Cooperative Breeding Program, CB030.





# United States Department of the Interior



## FISH AND WILDLIFE SERVICE

International Affairs  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041-3803

IN REPLY REFER TO:  
FWS/DSA/WBCA

DEC 5 2017

William Voelker  
Sia: The Comanche Nation Ethno-Ornithological Initiative

(b) (6)

Cyril, Oklahoma (b) (6)

Dear Mr. Voelker:

This letter responds to your request, received in this office on November 16, 2017, to transfer one female Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B from the Sia: Comanche Nation Raptor Program to Mr. Mark Moglich of the approved cooperative breeding program, the Raptor Cooperative Breeding Program (CB030).

In your request, you state that you wish to transfer this specimen because the bird is "far too nervous for exhibit" and since this specimen is not a candidate for exhibit, Mr. Moglich's off-exhibit facility is ideal for the needs of this bird. In addition, you indicate that Mr. Moglich will continue to work with Sia: The Comanche Nation Ethno-Ornithological Initiative to provide behavioral data from this specimen that will aid in your continued conservation research with this species.

We find that your reason for wishing to transfer this specimen to Mr. Mark Moglich of the Raptor Cooperative Breeding Program (CB030) is justified. In addition, Mr. David Kanellis, Lead Cooperator of the Raptor Cooperative Breeding Program (CB030) has agreed to the transfer of this specimen to the cooperative breeding program, as well as to the conditions of the transfer. Therefore, we approve your request to transfer 0.1 Ornate hawk-eagle (*Spizaetus ornatus*), band number 727 EH, imported under Permit # MA84424B, from Sia: The Comanche Nation Ethno-Ornithological Initiative to Mr. Mark Moglich, under the Wild Bird Conservation Act (WBCA) Cooperative Breeding Program, CB030.

If you have any further questions regarding this issue, please feel free to contact Monica A. Horton, Biologist (CITES Specialist), Division of Scientific Authority, U.S. Fish & Wildlife

Mr. William Voelker

2

Service, 5275 Leesburg Pike, MS: IA, Falls Church, VA 22041-3803; telephone 703-358-2505;  
email: monica\_horton@fws.gov.

Sincerely,



Rosemarie Gnam, Ph.D.  
Chief, Division of Scientific Authority

Acting

cc: Mark Moglich, CB030  
David Kanellis, CB030  
Clifton Horton, FWS/DMA/WTCB

18 FAL

STATE OF CALIFORNIA  
DEPARTMENT OF FISH AND WILDLIFE

FALCONRY LICENSE

Valid 07/01/2018 to 06/30/2019



D-0023060730-1

Falconry ID: FAL-10291

GO ID: (b) (6)

STATE ID: (b) (6)

ADAM ROBERT CHAVEZ

(b) (6)

SAN JUAN CAPISTRANO, CA (b) (6)

SEX: M HAIR (b) (6) EYES: (b) (6)

HT: (b) (6) WT: (b) (6) DOB: (b) (6)

Class: Master

Sponsor Name: N/A

Raptor Facility Address:

ADAM CHAVEZ

(b) (6)

SAN JUAN CAPISTRANO, CA (b) (6)

Doc No: (b) (6)

Outlet No: (b) (6)

Trans: (b) (6)

5/23/2018 2:31:53 PM

| Item             | Fee*    |
|------------------|---------|
| Falconry License | \$84.20 |

Total: \$84.20

\*Includes any applicable application fees, agent handling fees and license buyer surcharge

I certify under penalty of perjury under the laws of the State of California that all information on this document is true and correct and that I meet the requirements for these licenses. I understand it is unlawful to make any false statement in this application or to use or possess a license obtained by fraud or deceit (Fish and Game Code §§1052(b) and 1054).

X

Signature NOT VALID UNLESS SIGNED

--- End of Document D-0023060730-1 ---

18 H

STATE OF CALIFORNIA  
DEPARTMENT OF FISH AND WILDLIFE

ANNUAL HUNTING LICENSE

Valid 07/01/2018 to 06/30/2019



D-0023060731-2

GO ID: (b) (6)

STATE ID: (b) (6)

ADAM ROBERT CHAVEZ

(b) (6)

SAN JUAN CAPISTRANO, CA (b) (6)

SEX: M HAIR (b) (6) EYES: (b) (6)

HT: (b) (6) WT: (b) (6) DOB: (b) (6)

Resident: I have resided in California continuously for the past 6 months

Evidence of Hunter Education

Previous CA Hunting License: (b) (6)

Doc No: (b) (6)

Trans: (b) (6)

Outlet No: (b) (6)

5/23/2018 2:31:53 PM

| Item               | Fee*    |
|--------------------|---------|
| Hunt License + Res | \$46.09 |

Total: \$46.09

\*Includes any applicable application fees, agent handling fees and license buyer surcharge

I certify under penalty of perjury under the laws of the State of California that all information on this document is true and correct and that I meet the requirements for these licenses. I understand it is unlawful to make any false statement in this application or to use or possess a license obtained by fraud or deceit (Fish and Game Code §§1052(b) and 1054).

X

Signature NOT VALID UNLESS SIGNED

THIS LICENSE MUST BE IN IMMEDIATE POSSESSION WHILE HUNTING

--- End of Document D-0023060731-2 ---



State of Utah  
Division of Wildlife Resources  
Falconry Certificate of Registration (COR)

| State COR Number                                | COR Expiration Date              | Class Designation                      |
|---|----------------------------------|--|
| 1FAL8406  | 1/27/2023                        | Master                                 |
| Falconer Information                            | Raptor Facilities Address        | Facility Notes                         |
| David Dixon<br>(b) (6)<br>Bluffdale, UT (b) (6) | (b) (6)<br>Bluffdale, UT (b) (6) | Outdoor Facility Is<br>Weathering Area |

Specific Provisions:

- This Certificate shall be in possession of the registrant when engaging in any falconry activity.
- The only species of raptor that may be possessed, transported, or used for falconry are raptors of the subfamily Accipitrinae, other than the Bald Eagle, raptors of the subfamily Falconinae, Great Horned Owl, and captive-bred Eurasian Eagle-owl, of the family Strigidae.
- A falconer may not take, purchase, receive, or otherwise acquire, sell, barter, transfer, or otherwise dispose of any raptor unless the falconer completes a federal Form 3-186A and submits the blue copy to the Division and the remaining copies to the US Fish and Wildlife Service within five calendar days of the transaction.
- A falconer may permanently transfer a raptor to another falconer of appropriate class designation with a valid Falconry COR and adequate facilities or a raptor propagator or special purpose possession permittee who has the appropriate COR, licenses, permits and Form 3-186A.
- Annual reports are due by January 31 each year.

**For a complete listing of the Utah State Falconry Rules Refer to the Utah Falconry Rule.**

This Certificate is nontransferable. Change of address, phone number or location of registrant must be reported immediately to the Division's Salt Lake Office.

The validity of this Certificate is dependent upon complying with provisions in R657-20 Falconry, Title 23-17-7, Utah Code, and all applicable foreign, federal, local, or other state law, and specific provisions stipulated herein. Activities authorized herein must be carried out in accordance with and for the purposes described in the application request submitted. This COR is valid only for the dates indicated herein and gives no rights, either express or implied, to registrant for issuance or denial of future applications.

Issued 1/27/2018 under authority granted by R657-20 and Title 23-17-7, Utah Code.

Issued by: Russell Norvell

Region: SLO

Date: 1/27/2018

18 FAL

STATE OF CALIFORNIA  
DEPARTMENT OF FISH AND WILDLIFE  
**FALCONRY LICENSE**

Valid 07/19/2018 to 06/30/2019



D-0023866867-6

Falconry ID: FAL-10121

GO ID: (b) (6)

STATE ID: (b) (6)

ERLAND RENSLO

(b) (6)

ROSEVILLE, CA (b) (6)

SEX: M HAIR: (b) (6) EYES: (b) (6)

HT: (b) (6) WT: (b) (6) DOB: (b) (6)

Class: Master

Sponsor Name: N/A

Raptor Facility Address:

ERLAND RENSLO

(b) (6)

ROSEVILLE, CA (b) (6)

(b) (6)

Doc No: (b) (6)

Trans: (b) (6)

Outlet No: (b) (6)

7/19/2018 11:35:13 PM

| Item             | Fee*    |
|------------------|---------|
| Falconry License | \$88.30 |

Total: \$88.30

\*Includes any applicable application fees, agent handling fees and license buyer surcharge.

I certify under penalty of perjury under the laws of the State of California that all information on this document is true and correct and that I meet the requirements for these licenses. I understand it is unlawful to make any false statement in this application or to use or possess a license obtained by fraud or deceit (Fish and Game Code §§1052(b) and 1054).

X (b) (6)

Signature

NOT VALID UNTIL E-SIGNED

End of Document D-0023866867-6

IN REPLY REFER TO:  
FWS/DMA/CB030

FEB 11 2002

Mr. Stuart E. Rossell

(b) (6)

Toms River, New Jersey (b) (6)

Dear Mr. Rossell:

This letter is formal notification that your application for approval of a cooperative breeding program under the Wild Bird Conservation Act of 1992, has been approved under Title 50 of the Code of Federal Regulations, Section 15.26 (enclosed), as outlined below. Under Section 15.26(e), this approval will be effective for two (2) years from the date of this letter.

**Cooperative Breeding Program Name:** Raptor Cooperative Breeding Program

**Oversight Organization:** California Hawking Club

**Cooperative breeding program application #:** CB030

**Species:** black goshawk (*Accipiter melanoleucus*), Eurasian sparrowhawk (*Accipiter nisus*), Lanner falcon (*Falco biarmicus*), Saker falcon (*Falco cherrug*), red-necked falcon (*Falco chicquera*), African hawk-eagle (*Hieraaetus spilogaster*).

**Expiration Date:** 11 February 2004

**Special Conditions:**

1. Members must demonstrate cooperation with existing cooperative breeding programs that are developing sustainable populations of these species (e.g., sharing breeding stock, progeny, records, and techniques). This cooperation should be documented and included in your program renewal application to this office.
2. Individuals to whom specimens will be loaned for any purpose, including falconry, must become members of the cooperative breeding program. You may designate these individuals as non-breeding members. To include these individuals in an approved cooperative breeding program, you must provide this office with their names, contact information, and qualifications (e.g., falconry permit number). In contrast to program members who will be undertaking breeding, we will not require a letter of endorsement from the oversight organization for non-breeding members.

**General Conditions:** The cooperative breeding program shall maintain records of all birds imported and their progeny, including their sale or transfer, death, or escape, and breeding success. These records shall be made available to the U.S. Fish and Wildlife Service upon our request, and when you submit a request for the renewal of the cooperative breeding program.

This notice entitles members of your cooperative breeding program, as specified in your application, to apply for a permit to import exotic birds that are otherwise prohibited from import under Section 15.11 of the WBCA. Enclosed you will find an application for this purpose under Section 15.24 of the WBCA. Please be advised that the estimated processing time for these import permit applications is sixty (60) days.

Additional individuals may be added to the cooperative breeding program provided that the requirements in Section 15.26(a)(3) for each additional individual are met and submitted to this office, prior to the submission of applications for the import of exotic birds under Section 15.24. In addition, this office must receive formal written notification from the oversight organization specified in the cooperative breeding program application, indicating that each additional individual has met the established criteria for acceptance into the cooperative breeding program, as specified in your application for approval.

Should you have any inquiries regarding this matter, please feel free to contact Biologist Anne St. John of this office at: 4401 N. Fairfax Drive, Room 700, Arlington, Virginia 22203; telephone 703-358-2095, extension 4008.

Sincerely,

(s) Andrea Gaski

Andrea Gaski, Chief  
Branch of CITES Operations  
Division of Management Authority

Enclosures

cc: Surname, RF-2, Originator 2/11/02 AS: 703-358-2095 H:\oma\ops\wbca\CB030\Feb 02 approve.wpd

(b) (6)

Toms River, New Jersey (b) (6)


Dear Miss St. John,

Please find enclosed an application for the approval of a cooperative breeding program under the Wild Bird Conservation Act. Also enclosed are outlines of the experience of the four individuals to be included in our cooperative.

During our conversation a few weeks ago, you had mentioned that an established falconry club could act as overseers to the cooperative. The California Hawking Club has since then agreed to act in this capacity and a letter from them stating such is included.

Attached are answers to the supplemental questions on the reverse side of the application form supplied to me by the OMA of the USFWS. If you require any further information or if I have missed anything, please feel free to contact me either at home on (b) (6) (b) (6) or my cell phone on (b) (6)

Yours sincerely,



Stuart E. Rossell

RECEIVED  
2001 OCT -31 P 3: 04  
MANAGEMENT AUTHORITY




SEND TO: Office of Management Authority  
 U.S. Fish and Wildlife Service  
 4401 N. Fairfax Drive, Rm. 432  
 Arlington, Virginia 22203

PLEASE COMPLETE FRONT AND BACK

CALL: 1-(800)-358-2104 or (703)-358-2104

OMB NO. 42-0070  
 OMB NO. 42-R1870

|   |   |
|---|---|
|  <p><b>DEPARTMENT OF THE INTERIOR<br/>       U.S. FISH AND WILDLIFE SERVICE<br/>       FEDERAL FISH AND WILDLIFE<br/>       LICENSE/PERMIT APPLICATION</b></p>   | <p>1. APPLICATION FOR (Indicate only one):</p> <p><input type="checkbox"/> IMPORT OR EXPORT LICENSE</p> <p><input checked="" type="checkbox"/> Approval of Breeding Program</p>   |
| <p>3. APPLICANT. (Name, complete address and phone number of individual, business, agency, or institution for which permit is requested)</p> <p>Stewart Russell<br/>       (b) (6)<br/>       Long River, NJ (b) (6)<br/>       (b) (6)</p>   | <p>2. BRIEF DESCRIPTION OF ACTIVITY FOR WHICH REQUESTED LICENSE OR PERMIT IS NEEDED.</p> <p>APPROVAL OF COOPERATIVE BREEDING PROGRAM</p>  |
| <p>4. IF "APPLICANT" IS AN INDIVIDUAL, COMPLETE THE FOLLOWING:</p> <p><input checked="" type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS.   HEIGHT (b) (6)</p> <p>DATE OF BIRTH (b) (6)   COLOR OF HAIR (b) (6)   COLOR OF EYES (b) (6)</p> <p>PHONE NUMBER WHERE EMPLOYED   SOCIAL SECURITY NUMBER (b) (6)</p> <p>OCCUPATION<br/>       Manager Bird Control Program JFK</p> <p>ANY BUSINESS, AGENCY, OR INSTITUTIONAL AFFILIATION HAVING TO DO WITH THE WILDLIFE TO BE COVERED BY THIS LICENSE/PERMIT</p> <p>N/A</p> | <p>5. IF "APPLICANT" IS A BUSINESS, CORPORATION, PUBLIC AGENCY, OR INSTITUTION, COMPLETE THE FOLLOWING:</p> <p>EXPLAIN TYPE OR KIND OF BUSINESS, AGENCY, OR INSTITUTION</p> <p>N/A</p> <p>NAME, TITLE, AND PHONE NUMBER OF PRESIDENT, PRINCIPAL OFFICER, DIRECTOR, ETC.</p> <p>IF "APPLICANT" IS A CORPORATION, INDICATE STATE IN WHICH INCORPORATED</p>  |
| <p>6. LOCATION WHERE PROPOSED ACTIVITY IS TO BE CONDUCTED (Port of entry)</p> <p>N/A</p>  | <p>7. DO YOU HOLD ANY CURRENTLY VALID FEDERAL FISH AND WILDLIFE LICENSE OR PERMIT? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO (If yes, list license or permit numbers)</p> <p>Falconry 778697</p> <p>8. IF REQUIRED BY ANY STATE OR FOREIGN GOVERNMENT, DO YOU HAVE THEIR APPROVAL TO CONDUCT THE ACTIVITY YOU PROPOSE? <input type="checkbox"/> YES <input type="checkbox"/> NO (If yes, list jurisdictions and type of documents)</p> <p>N/A</p> |
| <p>9. CERTIFIED CHECK OR MONEY ORDER (if applicable) PAYABLE TO THE U.S. FISH AND WILDLIFE SERVICE ENCLOSED IN AMOUNT OF</p> <p>\$ 25.00</p>  | <p>10. DESIRED EFFECTIVE DATE</p> <p>ASAP</p> <p>11. DURATION NEEDED</p> <p>As long as is permissible</p>   |
| <p>12. ATTACHMENTS. THE SPECIFIC INFORMATION REQUIRED FOR THE TYPE OF LICENSE/PERMIT REQUESTED (See 50 CFR 13.12(b)) MUST BE ATTACHED. IT CONSTITUTES AN INTEGRAL PART OF THIS APPLICATION. LIST SECTIONS OF 50 CFR UNDER WHICH ATTACHMENTS ARE PROVIDED.</p> <p>SEE REVERSE</p>  |   |
| <p><b>CERTIFICATION</b></p>   |   |
| <p>I HEREBY CERTIFY THAT I HAVE READ AND AM FAMILIAR WITH THE REGULATIONS CONTAINED IN TITLE 50, PART 13, OF THE CODE OF FEDERAL REGULATIONS AND THE OTHER APPLICABLE PARTS IN SUBCHAPTER B OF CHAPTER I OF TITLE 50, AND I FURTHER CERTIFY THAT THE INFORMATION SUBMITTED IN THIS APPLICATION FOR A LICENSE/PERMIT IS COMPLETE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF. I UNDERSTAND THAT ANY FALSE STATEMENT HEREIN MAY SUBJECT ME TO THE CRIMINAL PENALTIES OF 18 U.S.C. 1001.</p>   |   |
| <p>SIGNATURE (In ink) *</p> <p>(b) (6)</p>  | <p>DATE</p> <p>1st Oct 2001</p>   |

3-200 16/741 \* Applicant listed in 3 above or principal officer listed in 5 above must sign.

APPROVAL OF COOPERATIVE BREEDING PROGRAM  
 MANAGEMENT AUTHORITY  
 100001-3 P 304  
 RECEIVED

\*NOTE: This is **not** an application to import birds under a cooperative breeding program. This application is for **approval of a cooperative breeding program** which must be granted **before** import permits can be issued for the purpose of cooperative breeding.

### Approval of cooperative breeding programs

Please provide the following information on a **separate** piece of paper.

1. A description of the exotic bird(s) to be imported or to be covered under the program, including:
  - A. common and scientific names of the species
  - B. number
  - C. sex ratio (if applicable)
  - D. age class
  
2. A full statement **justifying** this approval, and a description of the cooperative breeding program, including:
  - A. A breeding protocol, including a genetic management plan and breeding methods
  - B. A statement on the plans for developing and maintaining a self-sustaining population in captivity of the exotic bird species
  - C. Details on the system of recordkeeping and tracking of birds and their progeny, including how individual specimens will be marked or otherwise identified
  - D. A statement on the relationship of such a breeding program to the conservation of the exotic bird species in the wild
  - E. Details on the funding of this program
  - F. Plans for disposition of the exotic birds and any progeny
  
3. A qualification statement for **each** individual who will be overseeing the cooperative breeding program. This statement should include:
  - A. Information on the individual's prior experience with the same or similar bird species.
  - B. Proof of individual's affiliation with an avicultural, conservation, or zoological organization.
  
4. A statement of the oversight of the program by the avicultural, zoological, or conservation organization, including:
  - A. their monitoring of participation in the program
  - B. criteria for acceptance of individuals into the program
  - C. the relationship of the cooperative breeding program to enhancing the propagation and survival of the species
  
5. A history of the cooperative breeding program, including:
  - A. an annual report for the last 3 years (if applicable)
  - B. breeding and mortality records
  - C. studbook if one has been developed for the species.

Supplemental questions on the application form for approval of a cooperative breeding project.

Question 1.

Description of exotic birds to be imported under the program including:

- a) common and scientific names
- b) number
- c) sex ratio
- d) age class

a) It is intended to import for captive breeding the following species:

Saker Falcon *falco cherrug*,  
Lanner Falcon *falco biarmicus*,  
Red headed merlin *falco chicquera*,  
Black sparrowhawk *accipiter melanoleucus*,  
European sparrowhawk *accipiter nisus*, and  
African hawk-eagle *hieraaetus spilogaster*.

b) Our intention is to help build up a self-sustaining population of these species within the United States. It is generally agreed (Fox, Understanding the Bird of Prey 1995) that at least 16 unrelated individuals are required for this purpose and so our goal is to assist other breeders to build up a minimum of 8 unrelated breeding pairs of each species. Some species are already present within the United States and this is reflected in the lower number of these species that we intend to import. Our aim is to establish under our program the following number of pairs of the various species:

|                      |         |
|----------------------|---------|
| Saker falcon         | 4 pairs |
| Lanner falcon        | 3 pairs |
| Red-headed merlin    | 8 pairs |
| Black sparrowhawk    | 4 pairs |
| European sparrowhawk | 4 pairs |
| African hawk-eagle   | 8 pairs |

We anticipate needing to import slightly more than this number to achieve our goal to account for any losses prior to the birds beginning to reproduce. The actual numbers anticipated are outlined in the answer to the next question.

c) A sex ratio of 50/50 is, not surprisingly, normally required for breeding. However, through past experience it has been learnt that the males of some species have a higher mortality due to aggression from the females than other species.

Furthermore, because of mortality, before breeding age it is intended to import slightly in excess of the birds needed to achieve our goal. It should be noted that we have deliberately overstated our needs so that we do not have to reapply for a larger number in the future. It is highly unlikely that we will actually import the total number of birds requested. In any case, because of the size of the project it will take a number of years, possibly ten or more, importing a few birds each year, to achieve our goals.

We are already in possession of 3 female saker falcons of breeding age and this is reflected in the lower number of this sex that we intend to import.

The breakdown of the sexes we intend to import is outlined below. This breakdown takes into account the following three factors :

- 1) Availability of unrelated birds within the United States;
- 2) The known higher mortality of the males of the accipiter species; and
- 3) The lack of breeding data for the Red-headed merlin and the African hawk-eagle.

|                      |  |
|----------------------|--|
| Saker falcon         | (5 males – 2 females) to establish 4 pairs   |
| Lanner falcon        | (4 males – 4 females) to establish 3 pairs   |
| Red-headed merlin    | (10 males – 10 females) to establish 8 pairs |
| Black sparrowhawk    | (7 males – 5 females) to establish 4 pairs   |
| European sparrowhawk | (7 males – 5 females) to establish 4 pairs   |
| African hawk-eagle   | (10 males – 10 females) to establish 8 pairs |

- d) We intend to import a mixture of young and adult birds. Adults will be preferred but it is likely that mainly young birds will be available.

#### Question 2.

A full statement justifying this approval, and a description of the cooperative breeding program, including:

- 1) A breeding protocol, including a genetic management plan and breeding methods;
- 2) A statement on the plans for developing and maintaining a self sustaining population of the exotic birds species;
- 3) Details on the system of record keeping and tracking of birds and their progeny, including how individual specimens will be marked or otherwise identified;
- 4) A statement on the relationship of such a breeding program to the conservation of the exotic bird species in the wild;
- 5) Details on funding the program; and
- 6) Plans for disposition of the exotic birds and their progeny.

- a) It is intended to house the birds in standard seclusion aviaries that have proved successful in breeding programs for these and other species of birds of prey. The various pairs will be split up between the members of the cooperative to ensure that as much input as possible is gained to help overcome any problems. This will also ensure that if there is an accident at any one facility the entire population of any one species will not be in danger. The sexes of black sparrowhawks will be housed in separate aviaries in the run up to the breeding season. This method has proved the most successful with this species abroad (Parry-Jones) and also with the European goshawk (Haddon, Rossell, Parry-Jones et al) a similar species. This is to overcome the increasing aggression often seen by the female of these species as the breeding season approaches. The pairs will be watched carefully so as to time the introduction of the male. We outlined above our intention to build up a stock of at least 16 un-related birds in accordance with standard genetic management plans. These birds will be marked with numbered bands and a computer base established and maintained by Stuart Rossell. All young produced will be marked with seamless bands. The whereabouts of all birds will be kept on the database and be available at all times. Young produced will be flown for falconry for up to three years before being placed, if necessary back into the breeding program. It is generally accepted that birds of prey breed more readily in captivity if they have been flown for falconry. Our hope is that through careful selection of the birds prior to importation, and through careful raising of any young produced, the birds will reproduce naturally without the need for artificial insemination or imprinting procedures. However, if these are needed they can and will be carried out.
- b) We believe this question has already been answered but to reiterate it, it is our intention to assist in establishing a minimum of 8 pairs of the species outlined above. These will consist of at least 16 unrelated individuals. Young produced by these pairs will be made available for falconers for flying before being placed back into the breeding program or with other breeders interested in achieving the same goals.
- c) As stated above, a computer database will be maintained that will identify each individual bird, its whereabouts, and status (being flown, paired up etc). All birds will be marked with bands, with young produced being marked with seamless bands.
- d) As has been shown in the past by reintroduction programs involving the peregrine falcon, Mauritius kestrel, California condor and others, captive breeding plays an important role in ensuring the survival of species in the wild. Our goal is to assist in establishing a self sustaining population of the species listed, so that should the need ever arise that birds need to be reintroduced into the wild there is enough information on captive breeding of these species, as well as a big enough gene pool that a wild population can be supplemented or reintroduced.
- e) The program will be funded entirely by the individuals involved.

- f) The original birds to be imported will be flown for falconry for a period of one to three years or until they are sexually mature. This is to increase the chance of their breeding in captivity. It is anticipated that other falconers who have sufficient expertise may fly some of the original birds. After this period, birds will be placed in breeding chambers by the four members of the cooperative. Any young produced will be flown until they reach sexual maturity before being placed in breeding chambers.

Question 3.

A qualification statement for each individual who will be overseeing the cooperative breeding program.

There are to be four original members of our cooperative:

Stuart Rossell

(b) (6)

Toms River, NJ (b) (6)

Sonny Squicciarino

(b) (6)

West Hempstead NY (b) (6)

Craig Golden

(b) (6)

Torrance, CA (b) (6)

Randy Landis

(b) (6)

Chico, CA (b) (6)

For further information please see enclosed documents.

Question 4.

A statement of oversight of the program by the avicultural, zoological or conservation organization.

Pleased see enclosed letter from the California Hawking Club.

Question 5

A history of the cooperative breeding program.

This is a new venture and as such has no history. However, the resumes included cover our combined experience with birds of prey both for falconry and captive breeding, as well as other areas.



**THE CALIFORNIA HAWKING CLUB, INC.**

Post Office Box 786  
Sacramento, California 95812-0786

Wednesday, August 29, 2001

USFWS  
Office of Management Authority

Dear Sirs,

The cooperative breeding project, which is being set up by Stuart Rossell under the Wild Bird Conservation Act (WBCA), has requested that the California Hawking Club (CHC) Board of Directors be apart of their advisory committee. The board has discussed and reviewed this proposal and the CHC has agreed to act as overseer's of this project.

The CHC believes in the experienced members of this cooperative, which include Stuart Rossell, Craig Golden, Randy Landis, and Sonny Squiciarino, and fully expects that they will be successful breeders of their intended raptor import.

As always, the CHC welcomes the opportunity to take part in responsible raptor organizations and we applaud your efforts toward the preservation of birds of prey.

Sincerely,

(b) (6)

Ron Brown  
President, California Hawking Club

Ron Brown  
Director, 97-98

(b) (6)  
Laguna Hills, CA (b) (6)

(b) (6)

Sonny Squiciarino

(b) (6)

West Hempstead NY (b) (6)

Donald Squiciarino has been a practicing falconer in New York State for 14 years. He has held a breeding permit for the last 10 years and is actively engaged in breeding Peregrine Falcons and Harris Hawks and has so far produced one Peregrine Falcon and a number of Harris Hawks. Mr. Squiciarino is a member of the New York Falconers Association, Vice President of the Long Island Falconers Association and a member of the North American Falconers Association.

Sonny also works as falconer for the falconry program at JFK International Airport.



August 29, 2001

I was walking in the Mohave Desert when suddenly I looked up to see a redtailed hawk fly over. Backlit as it was, the tail was glowing red when those dark obsidian eyes locked on mine. This was a moving moment in my life and started my obsession with raptors and birds at the young age of 8 years old.

In those early years I began my aviculture with fowl, game birds, doves, and pigeons. Successfully breeding over a dozen species, continuing to breed birds right up through my graduation from high school.

After three years without birds, I sought out the first licensed falconer I could find. Being a voracious reader, I soon had enough book knowledge to pass the falconry exam becoming a licensed falconer November 8, 1983.

After my apprenticeship, I hunted Cooper's Hawks for eight years, flying the same male for six of those eight years. Upon the loss of my male Cooper's I began to breed psittacines, building up a collection to its current level of about 400 birds. (b) (6) and I have bred 16 species of parrots, raising over a thousand birds in over a decade. Eight species of macaws, two species of conures, black-headed caiques, senegals, meyers, both congo and timney african greys, indian ring-necked parakeets, cockatiels, and redrumped parakeets have all been raised.

In 1994 I was given a passage female harris' hawk by (b) (6) Rick Clark which started my passion with the Harris. (b) (6) is also a licensed falconer) currently hunt four passage Harris Hawks in a tightly knit socialized group at least four days a week during the falconry season. (b) (6) also has a 2-1/2 year old sharpshinned hawk that she hunts daily.

My passion for birds led to the natural progression to wanting to breed raptors. I have a lifetime of experience in handling and breeding birds with close to 20 years of experience handling raptors. I currently hold a Raptor Propagation Permit (#MBO23824-0) and looking forward to the opportunity to breed some birds for the great sport of falconry.

(b) (6)

Craig A. Golden

ko

## Background and Experience With Raptors

Charles Randolph Landis

(b) (6)

Chico CA (b) (6)

(b) (6)

home  
work

1963 to present; Experience with raising and training birds of prey. I have been in the care of at least one or more birds of prey every year from 1963 to present.

1971 to present; Orphan and injured raptor and non-raptor bird care and rehabilitation; Sacramento Science Center, Fish and Game officers in Sacramento, Yountville, Redlands, Chico CA; and Miami FL.

Avid Bird Watcher and nature enthusiast since childhood, "Life List" of bird species identified over 1000 species.

1973 High School project: Observed, photographed and documented Golden Eagle nest activities and rearing of young through fledging.

1973 Obtained Falconry License; Experience with Red Tailed Hawk, Ferruginous Hawk, Coopers Hawk, Goshawk, Sharp Shinned Hawk, Prairie Falcon, Peregrine Falcon, Merlin, American Kestrel, Great Horned Owl, Ornate Hawk Eagle, Numerous hybrid and exotic species and many non falconry species in the process of rehabilitation for return/release to the wild. *Special interest in Accipiters.*

1974 Participant in project that collected octopus eggs from San Quintin, Baja, and raised and reproduced them in captivity; Dr. Raymond Underhill; Sierra College, Rocklin CA

1975 Banding and nest observation of polygynous Wilson's warblers at Tioga Pass Yosemite CA, scientific papers submitted. Dr. Robert Stewart, Point Reyes Bird Observatory.

1975 – 1979 Biology Major, Pacific Union College, Angwin CA Zoology, Ornithology, and Inorganic Chemistry laboratory assistants. Assistant to Dr. Donald Hemphill in the school's natural history museum and collection. Preparation of study skins and mounted specimens with keying and identification of specimens.

1976 Participant in team on San Pedro Matir Island, Sea of Cortez, Baja Documented bird life and reptile species inhabiting the island. Scientific paper submitted. Graduate student Mark Miller, UC Davis, Davis CA; Richard O'Brien, student, Pacific Union College, Angwin CA

1987 – 2000 Ornate Hawk Eagle breeding project Myself, Jon Neviaser and Dave Mancini

1995 – Present Raptor Propagation Permit # PRT 805314

#### Lectures and Presentations

Over 200 lectures and presentations in areas of Ornithology, bird identification, falconry, ecology, marine biology, herpetology entomology and topics in oral and maxillofacial surgery.

#### Education

1971-1975 Oakmont High School, Diploma

1975-1979 Pacific Union College, Biology Major

1980-1983 Loma Linda University School of Dentistry, DDS

1983-1984 Loma Linda University Research Fellow and part time faculty in oral and maxillofacial surgery, Dr. Phillip Boyne, Loma Linda CA

1984-1988 University of Miami, Jackson Memorial Hospital, Resident in Oral and Maxillofacial Surgery, Certificate in OMS.

2000-Present University of Health Science Antigua, School of Medicine, Anticipated date of graduation November 2002

#### Memberships and Associations (past and present)

California Hawking Club

North American Falconers Association

Florida Hawking Fraternity

American Association of Oral and Maxillofacial Surgeons

California Association of Oral and Maxillofacial Surgeons

California Academy of Cosmetic Surgery

Lassen Foundation

## Stuart E. Rossell

### Summary of experience.

Falconer since 1976.

Professional falconer since 1983.

Head instructor to the World renowned British School of Falconry for 6 1/2 years.

Advised extensively on the book "Falconry, Art & Practice" by Emma Ford.

Advised extensively on the book "The Apprentice Study Guide" by Rick Holderman.

Given talks to The British Falconers Club, The California Hawking Club and the Carolina Falconers Guild.

Retained by the California Hawking Club to teach beginners courses in falconry.

Gave talk and falconry demonstration at Birdstrike USA in Boston, August 1997.

Established and managed the bird control program for McConnell AFB.

Articles published in "The Journal of The British Falconers Club"

"The International Falconer"

"American Falconry"

"The Newsletter of The California Hawking Club"

Handled over three hundred individual hawks, falcons, eagles and owls.

Species of hawks trained - kestrel, merlin, lanner falcon, lugger falcon, prairie falcon, peregrine falcon, saker falcon and gyrfalcon as well as hybrids of the above. European sparrowhawk, coopers hawk, black sparrowhawk and goshawk. Tawny and golden eagles. Barn owls, Bengal eagle owls, great horned owls and European eagle owls.

Species of hawks bred in captivity – kestrel, merlin, lanner falcon, peregrine falcon, saker falcon, European sparrowhawk, goshawk, red-tailed hawk, ferruginous hawk.

Taught over 1000 people the basics of falconry.

Trained hawks for many different roles including bird control, hawking, film work, display work etc.

Advised many other falconers, bird of prey establishments/zoos around the world. Law enforcement agencies and bird protection agencies within the UK

Brought to the United States from England specifically to set up from idea to completion the first School of Falconry in the USA including all facilities, training of staff and hawks etc.

# RESUME

**Stuart E. Rossell**

**Professional Falconer**

**D.o.B.** (b) (6)

**Education:** Bexley and Erith Technical High School, London, England.

**Employers :** Timothy Eaton. February 1984 - August 1986  
Position: Head Falconer.

The British School of Falconry. August 1986 - March 1993  
Position: Head Falconer/head instructor.

The Falconry Academy, inc. March 1993 - May 1995  
Position: Director of Falconry.

Skyout Falcons. July 1996 – April 1998.  
Position: Falconer.

Falcon Environmental Services, Inc. April 1998 – Present  
Bird control program manager JFK International Airport.

Since starting falconry as a hobby I have handled several hundred individual hawks. In 1984 I was asked to become a professional falconer and since that time have been constantly employed in this field except for a one year period in 95/96.

Employed first as a falconer to a private businessman, Mr. Timothy Eaton, I set up and managed a breeding project while at the same time trained and flew a number of hawks at game. In 1986 I bred two of only 18 goshawks produced that year in England and helped standardize the technique for breeding this difficult species in captivity. I also successfully bred merlins, another very difficult species, lanner falcons and European sparrowhawks. The facilities where the hawks were housed were designed by myself and built under my direction. During this time I made several improvements to the design of the standard equipment and housing used for hawks.

Part of my duties were to keep at all times a number of hawks in top flying condition for hawking purposes as well as several demonstration birds, commonly used for lure flying on airfields to scare birds away. During this period I assisted the falconers employed on Fairford USAF Base in Gloucestershire with their work in keeping the airfield clear of birds. I also provided them with a number of our trained hawks for use in this work.

In August 1986 I was employed by Emma Ford at the British School of Falconry in Kent, England. This establishment was the first full time school of falconry in the World and was founded in 1982. I was there for 6 1/2 years as head falconer. My duties included teaching up to 200 students a year how to keep and train hawks. The students were

taught on week long courses which catered for complete beginners to experienced falconers wishing to learn how to fly eagles etc. I also set up new courses while at the school catering for those experienced falconers wishing to learn specialized techniques such as imprinting etc. I trained new staff members how to teach students and fly hawks and was directly responsible for them.

In 1990 I was asked to take over the breeding project for the school to which I had previously been assistant. The following year under my supervision the school produced its first peregrine falcons. During this period I trained and provided a number of hawks and eagles for various films including the golden eagle in the feature film "Willow" produced by Ron Howard. Many of the hawks were flown for wildlife films

One of my duties at the school was to train hawks for export around the world. I trained the first Harris hawk to be flown in Saudi Arabia and provided other trained falcons for Arab Sheiks at their request. I trained and exported a team of eleven display birds to be used at Jurong Birdpark in Singapore and the following year provided another team. I designed specialized curriculums for use in teaching staff members from other bird of prey establishments how to train and fly display birds and how to deal with the public and press and taught these courses. I evaluated potential staff members both for the school and for other establishments.

In 1990 the British Customs and Excise Department seized a number of peregrine falcon eggs from two German smugglers. I was responsible for hatching these eggs and rearing the chicks so that they could be returned to the wild. Later I assisted with gyrfalcons and hawks of different species including eagles seized by the Royal Society for the Protection of Birds (RSPB). I also advised the RSPB in reference to cases involving the illegal taking and trafficking of wild hawks and falcons.

From 1990 to 1992 Emma Ford, co-owner of the school wrote and then published one of the standard works on falconry in the United Kingdom entitled, Falconry, Art and Practice. I advised extensively on this book as well as working on most of the photographs and proof reading the manuscript prior to publication. In 1992/93 I helped the same author with a book published entitled Peregrine, a coffee book table celebrating the most well known of the hawks used in falconry.

In March 1993 I was asked to come to the USA by Duane Zobrist, a former student of The British School of Falconry, to set up the first school of falconry in America. The Falconry Academy was based in Lancaster California where I was Director of Falconry. My duties included establishing curriculums for the courses to be taught and teaching them, training the new hawks and staff members, designing and overseeing the building and maintenance of the facility as well as helping with the permitting requirements, dealing with the press etc. While at the Academy I was asked to provide technical assistance to a new book being published by the California Hawking Club entitled "The apprentice study guide", edited by Rick Holderman, Southern apprentice chairman of the CHC. In February 1995 I was asked to give a talk to the Carolina Falconers Guild and was flown to Carolina by one of their members for this purpose.

In June of 1996 I was asked by Mr. David Jamieson of Skyout Falcons, one of the Worlds' most experienced bird of prey breeders, to assist in training a team of falcons for export to the middle east. Some of these hawks were destined for the Royal family of the United Arab Emirates. In January 1999 I taught a three day beginners course at the California Hawking Clubs' annual field meet. This was the first time a hawking club had sponsored such a course which was repeated in 2000. I also continue to give advice and assistance, upon request, to many falconers both in the USA and the UK and have had several articles published in American Falconry, the newsletter of the California Hawking Club, the journal of the British Falconers club and The International Falconer.

In August 1997 I attended and was invited to speak and give a flying demonstration at the annual conference of 'Birdstrike USA'. The following October I was asked to provide a detailed report on bird control for McGuire AFB in New Jersey. In April 1998 while working for Falcon Environmental Services, Inc. I set up and managed the bird control unit at McConnell AFB, Wichita, Kansas. In 1999 I took over as manager of the Falconry program at JFK International airport and still act in this capacity.

References are available on request.

#### Summary of experience.

Species of hawk trained - kestrel, merlin, lanner falcon, lugger falcon, prairie falcon, peregrine falcon, saker falcon and gyrfalcon as well as hybrids of the above. European sparrowhawk, coopers hawk, black sparrowhawk and goshawk. Tawny and golden eagles. Barn owl, Bengal eagle owl, great horned owl and European eagle owl.

Species of hawks bred - kestrel, merlin, lanner falcon, peregrine falcon and saker falcon, European sparrowhawk and goshawk, red-tailed hawk, ferruginous hawk.

Taught over 1000 people the basics of falconry.

Trained hawks for many different roles including bird control, hawking, film work, display work etc.

Designed and oversaw building of facilities for keeping and breeding hawks.

Advised many other falconers, bird of prey establishments/zoos around the world. Law enforcement agencies and bird protection agencies within the UK

Advised on three published books on falconry including one of the standard works in the UK and one in California.

Provided articles for the top falconry publications in both the UK and the USA, including, American Falconry magazine, The International Falconer, The Journal of The British Falconers Club, The California Hawking Club newsletter.

Established and managed the most comprehensive bird control program for USAF.

Invited to speak and give a demonstration at Birdstrike USA in Boston 1997.

Manager of the worlds largest falconry bird control program at JFK International Airport.

Set up from idea to completion the first school of falconry in the USA including all facilities, all training of staff and hawks etc.

Given presentations to two of the Worlds' top falconry clubs.

Dealt with the public and press regarding the positive promotion of falconry.



C.O.D. NO. 0  
USED FOR

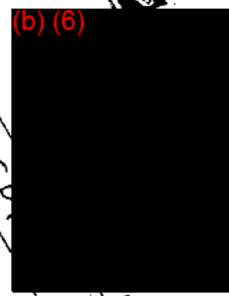
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087530  
258004

AND POSSESSIONS  
CLERK

**F A X**

Falcon Environmental Services

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To: Anne St. John USFWS  
Company:  
Fax number: +1 (703) 358 2298  
Business phone:

From: stuart rossell  
Fax number: (b) (6)  
Business phone:  
Home phone:

Date & Time: 10/25/01 11:36:26 PM  
Pages: 3  
Re: Cooperative breeding program supplemental information

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Miss St. John,

Please find below information regarding our phone conversation yesterday. Can you give me a call or (b) (6) to let me know you recieved it okay.

Regards,

Stuart Rossell

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Dear Miss St. John,

Further to our conversation yesterday please find below additional information as requested.

1) Additional information regarding birds being flown by other falconers outside the cooperative prior to being placed in breeding chambers.

Any birds that need to be flown prior to being placed in breeding chambers will be placed either with members of the cooperative or other falconers who meet the following criteria:-

- 1) They hold a valid master or general falconry permit.
- 2) They, and their abilities, are personally known to at least one member of the cooperative.
- 3) They have at least two full seasons flying birds of prey of the same genus.

The cooperative will retain ownership of all the original stock of birds imported under the breeding cooperative. Monthly reports will be required by either phone or via e-mail for any birds being flown by falconers who are not members of the cooperative to gain an update on the individual birds' progress. The cooperative will retain the right to regain possession of any birds out on loan for any reason at any time should the need arise.

2) Regarding the experience of the members Randy Landis and Craig Golden.

While neither Randy Landis nor Craig Golden has hands on experience in breeding birds of prey in captivity their inclusion in the program is felt justified because of their extensive experience with birds of prey as falconers and in other areas such as rehabilitation of sick or injured birds of prey. Furthermore their knowledge of the particular species or similar species we intend to import is crucial because these birds have not yet been bred in the United States. Craig has traveled to Zimbabwe on a number of occasions and met with, and studied the methods of a number of falconers who have experience with black sparrowhawks, African hawk-eagles and red headed merlins. Craig is in regular contact with his colleagues in Zimbabwe and is able to pass on advice on these particular species to other members of the cooperative. Craig also has vast experience with breeding parrots including a number of rare species and while there are many differences there are also many similarities in management in breeding any birds successfully in captivity. Randy is one of the few falconers in the United States that have experience with flying a hawk-eagle, in his case the ornate hawk-eagle, a species similar to the African hawk eagle. He has flown this species for over a decade and his knowledge of handling hawk-eagles prior to placing them in breeding chambers and in handling any young produced is considered particularly useful.

It is my (Stuart Rossell) opinion that the move from flying birds of prey to breeding them in captivity is a rather simple one. Falconers as experienced as Randy and Craig, that have proven themselves over many years and with a wide variety of individual hawks have the necessary understanding of raptor biology and psychology to make the switch from flying hawks to breeding them. This is why I had no reservation in including them in the cooperative and why the California Hawking Club were prepared to go on record giving their recommendation to the cooperative.

Furthermore, it is my (Stuart Rossell) intention as the most experienced member of the cooperative to advise extensively on all management aspects with all members of the cooperative from designing aviaries, pair selection, diet, pair management prior to and during the breeding season, artificial incubation when needed and all other aspects of breeding birds of prey in captivity. It is my intention to visit both facilities prior to any birds being placed into chambers for breeding. I will also visit each facility during the breeding season as is necessary.

On a personal note I have only recently moved to New Jersey from Reno, Nevada. I have ties to both areas where Randy and Craig live having friends in Reno, which is only 2 hours from where Randy lives while my wife is from Los Angeles, close to where Craig lives. We travel to both areas regularly to visit friends and family and so I expect to have many opportunities to visit both Craig and Randy and check up on operations. This will be in addition to any specific trips made to assist when needed.

I hope this answers your questions. I realize that in issuing permits for cooperative breeding programs the USFWS has to carefully vet the application and be prepared to answer any criticisms they may receive for having issued a permit and as such need to be careful to ascertain the experience of those to who they grant permits. I can only add that I also have to be aware that the responsibility for the success or failure of the program rests fairly squarely on my shoulders. Knowing both Randy and Craig, their abilities as falconers, their enthusiasm for what we are undertaking and their willingness to seek advice have led me to believe that they will be a valid addition to the cooperative. It is for these reasons that I had no hesitation in including them when looking for people to form the cooperative.

Yours sincerely,

Stuart E. Rossell

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## Fwd: Cb040 Renewal

1 message

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**DSA Findings, FWHQ** <dsafindings@fws.gov>

Tue, Jan 16, 2018 at 12:30 PM

To: Monica Horton <monica\_horton@fws.gov>, "Horton, Clifton" <clifton\_horton@fws.gov>

For you...thanks.

----- Forwarded message -----

From: **Horton, Clifton** <clifton\_horton@fws.gov>

Date: Mon, Jan 15, 2018 at 3:42 PM

Subject: Cb040 Renewal

To: FWHQ <dsafindings@fws.gov>


Attached.

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

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 **18-01-10\_76975b\_appren.pdf**  
3036K

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## FOIA 2019-00159 Narrowed request

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: "Tapia, Brenda" <brenda\_tapia@fws.gov>  
Cc: Laura Noguchi <Laura\_Noguchi@fws.gov>

Tue, Feb 26, 2019 at 6:02 AM

Brenda:

FOIA 2019-00159 Narrowed request:

- The OPA will limit its requests to the time period since 10/05/2016 - 11/01/2018. Agreement letters would be acceptable.

**RESPONSE: 6 ATTACHMENTS-Four Appendix I WBCA FWS approval letters issued between 10/05/2016 and 11/01/2018 and 2 DSA findings attached with 2 of the 4 approval letters.**

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- A spreadsheet would also be acceptable if it lists all submitted requests and their outcomes within the proposed period, as well as processing times. The OPA would also like to know whether the cooperative breeding agreements ultimately approved by the Service resulted from negotiated agreements or were approved as submitted.

**RESPONSE: Please be advised that the FOIA does not require the FWS to do research for you, to analyze data, to answer written questions, or to create records in response to your request.**

<https://www.fws.gov/irm/bpim/foia.html>

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- Also requested are any non-publicly available guidance related to how the Service decides to approve such agreements. The information requested is limited to agreements for approval of CITES Appendix 1 species.

**RESPONSE: NONE**


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Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803


(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

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### 6 attachments

 **CB030\_2-17-2017.pdf**  
109K


 **CB004\_1-23-2018.pdf**  
125K

 **CB040\_4-18-2018\_CB040.pdf**  
89K

**CB030\_10-19-2018.pdf**

 200K

 **CB040\_76975B CB040 Renewal 2018\_4-12-18.pdf**  
145K

 **CB004\_14694C\_DSA\_CB004\_11-14-17.pdf**  
414K

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## Question regarding Renewal of (CB040)

1 message

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**Horton, Monica** <monica\_horton@fws.gov>  
To: OakhillAvianCenter@gmail.com  
Cc: "Horton, Clifton" <clifton\_horton@fws.gov>

Tue, Mar 27, 2018 at 1:28 PM

Dear Mr. Aynes,

I am currently reviewing your application for the renewal of your WBCA cooperative breeding program (CB040). I have a question about information needed to satisfy one of the conditions for the original approval of the cooperative breeding program.

On the finding included in the original and amended approval for this cooperative breeding program, the following condition was made:

**Members must demonstrate cooperation with the existing cooperative breeding programs that are developing sustainable populations of these species (e.g., sharing breeding stock, progeny, records, techniques) in order to more rapidly develop sustainable captive populations. Such communication should be documented in the renewal application materials that the applicant will submit to the Division of Management Authority.**

In order to satisfy this condition, please provide the information that is requested in the condition above. Once I receive this information, I can complete my finding.

Please let me know if you have any questions.

Thank you.

Sincerely,  
Monica Horton

--

Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
[5275 Leesburg Pike](#), MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505



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## Re: Cb040 Renewal

1 message

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**Horton, Monica** <monica\_horton@fws.gov>  
To: "Horton, Clifton" <clifton\_horton@fws.gov>

Fri, Apr 13, 2018 at 7:06 AM

Hi Clif,

Attached you will find the completed DSA finding for PRT # 76975B (CB040 Renewal). I have also uploaded this finding, as well as supplemental information (email communication with Mr. Aynes) into SPITS.

Please let me know if you have any questions.

Thanks, Monica

On Tue, Jan 16, 2018 at 12:30 PM, DSA Findings, FWHQ <dsafindings@fws.gov> wrote:  
For you...thanks.

----- Forwarded message -----

From: **Horton, Clifton** <clifton\_horton@fws.gov>  
Date: Mon, Jan 15, 2018 at 3:42 PM  
Subject: Cb040 Renewal  
To: FWHQ <dsafindings@fws.gov>

Attached.

--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

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Monica A. Horton  
Biologist (CITES Specialist)  
Division of Scientific Authority  
International Affairs  
U.S. Fish and Wildlife Service  
5275 Leesburg Pike, MS: IA  
Falls Church, VA 22041  
Phone: (703) 358-2505

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 **76975B CB040 Renewal 2018\_4-12-18.pdf**  
145K

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## CB040

1 message

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**Horton, Clifton** <clifton\_horton@fws.gov>  
To: Oakhill Avian Center <oakhillaviancenter@gmail.com>

Wed, Apr 18, 2018 at 12:04 PM

Please see attached.

Regards,  
Clif


--

Clifton A. Horton  
U.S. Fish & Wildlife Service  
5275 Leesburg Pike  
MS: IA  
Falls Church, VA 22041-3803

(703) 358-1908  
[clifton\\_horton@fws.gov](mailto:clifton_horton@fws.gov)

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### 2 attachments

 **4-18-2018\_CB040.pdf**  
89K

 **76975B CB040 Renewal 2018\_4-12-18.pdf**  
145K